

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4652282

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MICHAEL J. SHAW	10/05/2017
RECEIVING PARTY DATA	
Name:	NATIONAL TECHNOLOGY & ENGINEERING SOLUTIONS OF SANDIA, LLC
Street Address:	P.O. BOX 5800, MS-0161
City:	ALBUQUERQUE
State/Country:	NEW MEXICO
Postal Code:	87185
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15661725
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	SD11949.1/S148902
NAME OF SUBMITTER:	DIANA SCHANNING
SIGNATURE:	/Diana Schanning/
DATE SIGNED:	10/23/2017
Total Attachments: 3	
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ASSIGNMENT

WHEREAS, **Michael J. Shaw, of Albuquerque, NM** (hereinafter "Inventor(s)") has(have) made an invention relating to **OPTICAL MICRORESONATOR DEVICE WITH THERMAL ISOLATION** in the course of the work authorized by Contract DE-NA0003525 between NATIONAL TECHNOLOGY & ENGINEERING SOLUTIONS OF SANDIA, LLC and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in **Application Serial No. 15/661,725 for United States patent, filed July 27, 2017** and identified as DOE Docket No: S-148902 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by NTESS, has(have) assigned to NTESS, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment, and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

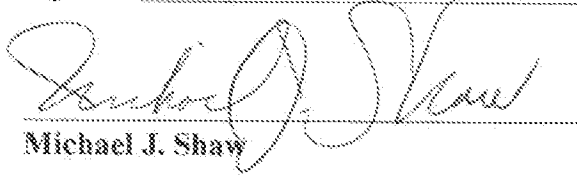
WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to NTESS; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto NTESS their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to NTESS Corporation by Inventor(s) in consideration of Inventor(s) employment by NTESS. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to NTESS, for its interest as NTESS, its successors and assigns.

Inventor(s) covenants with NTESS that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of NTESS, to make, execute, and deliver to NTESS, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in

or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at _____, New Mexico.



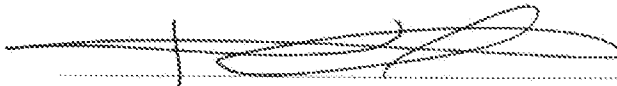
Michael J. Shaw

10-5-17
Date

STATE OF NEW MEXICO)

) ss:
COUNTY OF Bernalillo)

On the above date, **Michael J. Shaw** personally appeared before me, known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



Notary Public

My Commission Expires: Nov. 10, 2019



OFFICIAL SEAL
Kateri Abeita
NOTARY PUBLIC
STATE OF NEW MEXICO

My Commission Expires: Nov. 10, 2019

