### 504672928 12/06/2017

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4719650

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ROBERT F. BENCINI	09/15/2017
FRANK INGLE	12/04/2017
JOSEF V. KOBLISH	08/25/2017
REBECCA TIN	11/06/2017
BYRON CHUN	08/29/2017
DAVID LAWRENCE	12/05/2017
JAMES MAZZONE	12/05/2017

#### **RECEIVING PARTY DATA**

Name:	BOSTON SCIENTIFIC SCIMED, INC.
Street Address:	ONE SCIMED PLACE
City:	MAPLE GROVE
State/Country:	MINNESOTA
Postal Code:	55311-1566

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14747702

### **CORRESPONDENCE DATA**

**Fax Number:** (781)336-4744

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 617-855-9877

**Email:** bbonneville@kdbfirm.com

Correspondent Name: DAVID B. BONHAM

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Address Line 2: 50 DOAKS LANE

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ATTORNEY DOCKET NUMBER:	8150BSC0126C2
NAME OF SUBMITTER:	DAVID B. BONHAM/BONI BONNEVILLE
SIGNATURE:	/David B. Bonham/
DATE SIGNED:	12/06/2017

504672928 PATENT REEL: 044310 FRAME: 0207

This document serves as an Oath/Declaration (37 CFR 1.63).

#### **Total Attachments: 10**

source=8150BSC0126C2 Executed Combined Declaration & Assignment#page1.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page2.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page3.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page4.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page5.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page6.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page7.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page8.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page9.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page9.tif source=8150BSC0126C2 Executed Combined Declaration & Assignment#page10.tif

PATENT REEL: 044310 FRAME: 0208

Attorney Docket No.: 8150BSC0126C2

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Client Ref. No.: 08-00061US03

Title: BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES

#### ASSIGNMENT

WHEREAS, I, Robert F. Bencini, Frank Ingle, Josef V. Koblish, Rebecca Tin, James Mazzone, Byron Chun, David Lawrence, have invented certain new and useful improvements as described in U.S. patent application, entitled BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES, the application having been executed on even date herewith, and/or being identified in the United States Patent and Trademark Office ("USPTO") by Application No. 14/747,702, filed June 23, 2015, ("Invention"); and

WHEREAS, **Boston Scientific Scimed**, **Inc.**, a Corporation of the State of Minnesota, and having an address of One Scimed Place, Maple Grove, MN 55311-1566, U.S.A. (together with its successors and assigns, the "Assignee"), seeks to memorialize its ownership of the entire right, title and interest in and to the Invention;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I transfer to Assignee my entire right, title, and interest in and to the Invention, including all inventions disclosed or claimed in the above-identified patent application, including any improvements thereof, any corresponding domestic applications (e.g., provisional, non-provisional, divisional, continuation, continuation-in-part, reexamination, and/or reissue patent), any corresponding foreign applications, all patents issuing thereon, or other patent application based off or claiming priority to the above-identified patent application, and all rights and benefits under any applicable treaty or convention. I authorize the USPTO (or foreign equivalent thereof) to issue any patent (or similar legal protection) to the Assignee.

I authorize the Assignee to insert in this instrument the filing date and application number of the application when ascertained. I hereby authorize the Assignee (or its designee) to apply for patent (or similar legal protection) in its own name if desired, in any and all countries.

I represent to the Assignee that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I agree when requested, without additional consideration, but at the expense of the Assignee, to provide additional reasonable assistance necessary to memorialize this assignment, to execute all oaths, declarations, assignments, powers of attorney and other papers necessary to perfect and vest title to the rights assigned herein to the Assignee; and will render all assistance in filing, obtaining, maintaining, and enforcing any patent (or similar legal protection) on the Invention in any and all countries.

#### DECLARATION

I have reviewed and understand the contents of the above-identified patent application including the claims, and I believe I am an original joint inventor of a claimed invention in the above-identified patent application. The above-identified patent application was made or authorized to be made by me. I am aware of and acknowledge my duty to disclose to the USPTO all information known to me to be material to patentability of the claims in the above-identified patent application. I acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

PATENT REEL: 044310 FRAME: 0209

Attorney Docket No.: 8150BSC0126C2

Page 2 of 2

Client Ref. No.: 08-00061US03

Title: BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES

Modrie		
Robert E. Bencini	Frank Ingle	
Robert E. Bencini  Date 15 Sept 2017	Date	
Josef V. Koblish	Rebecca Tin	
Date	Date	
James Mazzone	Byron Chun	
Date	Date	
David Lawrence		
Date		

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Page 2 of 2

Cart Ref. No. 08-000511803

THE BALLOON CATHETER WITH PLEASE FELE TROOP ASSEMBLES

Robert F. Hencini Unite	Trake English  Frake English  Date 14 Sec. Sci. 7
Jesof V. Kolvish	Robous Tis
Chase	Date
James Mazzone	Byton Chuz
Ekste	(News
Unred Lawrence	
Date	

Attorney Docket No.: Page 8150BSC0126C2

Page 2 of 2

Client Ref. No.: 08-00061US03

Title: BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES

Robert F. Bencini	Frank Ingle	
Date	Date	
Josef V. Koblish  Date 8/75/17	Rebecca Tin	
Date 8/75/17	Date	
James Mazzone	Byron Chun	
Date	Date	
David Lawrence		
Date		

Attorney Docket No.: 8150BSC0126C2

Page 2 of 2

Client Ref. No.: 08-00061US03

Title: BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES

Robert F. Bencini	Frank Ingle
Date	Date
Josef V. Koblish	Rebecca Tin
Date	Date 06 NOV 2017
James Mazzone	Byron Chun
Date	Date
David Lawrence	
Date	

PATENT REEL: 044310 FRAME: 0213

Attorney Docket No.: 8150BSC0126C2

Page 2 of 2

Client Ref. No.: 08-00061US03

Title: BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES

Robert F. Benciní	Frank Ingle
Date	Date
Josef V. Koblish	Rebecca Tin
Date	
James Mazzone	Býron Chun
Dais	Date 8/29/2017
David Lawrence	
Pate	

Doc code: Oath

Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)

Approved for use through 04/30/2017. OMB 0651-0032

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### SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	BALLOON CATHETER WIT	H FLEXIBLE ELECT	FRODE ASSEMBLIE	ĒS
This stateme	nt is directed to:			
The atta	ached application,			
OR				
United S	States application or PCT international	application number	747,702 filed on	June 23, 2015
LEGAL NA	ME of inventor to whom this sub	ostitute statement appli	ies:	
(E.g., Given	Name (first and middle (if any)) and Fa	amily Name or Surname)		
DAVID	LAWRENCE			
Residence (e	xcept for a deceased or legally incapa	icitated inventor):		
City Mailing Addres	ss (except for a deceased or legally incapac		Country	
Ü	e Dr. Unit B	stated inventory.		
1-TZ THEO	J DI. OIIIL D		1	Į.
Mountain View State CA Zip 94043 Country US				
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.				
The above-io	lentified application was made or auth	orized to be made by me.		
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
Relationship to the inventor to whom this substitute statement applies:				
Legal Representative (for deceased or legally incapacitated inventor only),				
Assignee,				
Person to whom the inventor is under an obligation to assign,				
Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or				
<u></u>	nt Inventor.	proprietary interest in the n	nation (polition and or or	re 1.40 is roquirou), or
	in involution			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:						
Inventor is deceased,						
In	Inventor is under legal incapacity,					
ln:	ventor cannot be found or reached	after diligent effort, or				
In	ventor has refused to execute the o	ath or declaration under 37 CF	R 1.63.			
If there are	joint inventors, please check the ap	opropriate box below:				
	n application data sheet under 37 C is currently submitted.	FR 1.76 (PTO/AIA/14 or equiv	alent) naming the enti	re inventive entity has been		
OR						
St	n application data sheet under 37 C atement Supplemental Sheet (PTO formation is attached. See 37 CFR	/AIA/11 or equivalent) naming	alent) has not been su the entire inventive er	bmitted. Thus, a Substitute ntity and providing inventor		
***************************************	***************************************	WARNING:		000000000000000000000000000000000000000		
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO of support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms are processed in the application of the public to the public that application forms are processed in the application of the public to the public to the public that application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms are processed in the application processed in the application of the public to the public to the public that application is referenced in a published for payment purposes are not retained in the application file and therefore are not publicly available.						
PERSON EX	ECUTING THIS SUBSTITUTE STA	TEMENT:		00000000000000000000000000000000000000		
Sara Citrowske Dec. 5, 2017  Date (Optional):						
Signature:	Jose / House					
APPLICANT	NAME AND TITLE OF PERSON E					
f the applica	nt is a juristic entity, list the applicar		ner:			
Applicant Na	BOSTON SCIENTIFIC	SCIMED, INC.				
Title of Perso	on Executing	00000 <del>00000000000000000000000000000000</del>				
This Substitute Statement: The signer, whose title is supplied above, is authorized to act on behalf of the applicant.						
Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):						
City State Country  Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)						
IMBINING Address of the signer (timess provided in an approximation data shoot, 13 or ordar work).						
City		State	Zip	Country		
	n additional PTO/AIA/02 form for ea	ch inventor who is deceased,	legally incapacitated, o	cannot be found or reached		
atter diligent	effort, or has refused to execute the	e dam or declaration under 37	UPK LOS.			

[Page 2 of 2]

Doc code: Oath

Document Description: Oath or declaration filed

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### SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	BALLOON CATHETER WITH FLEXIBLE ELECTRODE ASSEMBLIES			
This statement is directed to:				
The attached application,				
OR .				
United States application or PCT international application number 14/747,702 filed on filed on				
LEGAL NAME of inventor to whom this substitute statement applies:				
( <i>E.g.</i> , Given Name (first and middle (if any)) and Family Name or Surname)				
JAMES MAZZONE				
Residence (except for a deceased or legally incapacitated inventor):				
City		01-1-	0	
City State Country  Mailing Address (except for a deceased or legally incapacitated inventor):				
142 Kittoe Dr. Unit B				
$_{\text{\tiny City}}Mou$	intain View	<sub>State</sub> CA	<sub>Zip</sub> 94043	Country US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.				
The above-identified application was made or authorized to be made by me.				
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
Relationship to the inventor to whom this substitute statement applies:				
Legal Representative (for deceased or legally incapacitated inventor only),				
Assignee,				
Person to whom the inventor is under an obligation to assign,				
Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or				
Joint Inventor.				

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### SUBSTITUTE STATEMENT Circumstances permitting execution of this substitute statement: Inventor is deceased, Inventor is under legal incapacity, Inventor cannot be found or reached after diligent effort, or Inventor has refused to execute the oath or declaration under 37 CFR 1.63. If there are joint inventors, please check the appropriate box below: An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted. OR An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b). WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO o support a petition or an application. If this type of personal information is included in documents submitted to the USPTO. petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. PERSON EXECUTING THIS SUBSTITUTE STATEMENT: Dec. 5,2017 Name: Sara Citrowske Date (Optional): Signature: APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT: If the applicant is a juristic entity, list the applicant name and the title of the signer: BOSTON SCIENTIFIC SCIMED, INC. Applicant Name: Title of Person Executing This Substitute Statement: The signer, whose title is supplied above, is authorized to act on behalf of the applicant. Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent): Country State Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

[Page 2 of 2]

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached

State

after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Country