

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4724914

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	KARUNYA K. KANDIMALLA	12/06/2017
RECEIVING PARTY DATA		
Name:	REGENTS OF THE UNIVERSITY OF MINNESOTA	
Street Address:	SUITE 600 MCNAMARA ALUMNI CTR.	
Internal Address:	200 OAK STREET SE	
City:	MINNEAPOLIS	
State/Country:	MINNESOTA	
Postal Code:	55455	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14487928
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	request@slwip.com	
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.	
Address Line 1:	P.O. BOX 2938	
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402	
ATTORNEY DOCKET NUMBER:	600.919US1	
NAME OF SUBMITTER:	COLE M. EHLERS	
SIGNATURE:	/Cole M. Ehlers/	
DATE SIGNED:	12/08/2017	
Total Attachments: 4		
source=600919US1AssignmentSigned#page1.tif		
source=600919US1AssignmentSigned#page2.tif		
source=600919US1AssignmentSigned#page3.tif		
source=600919US1AssignmentSigned#page4.tif		

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 600.919US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Karunya K. Kandimalla

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: December 6, 2017

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota

Street Address: Suite 600 McNamara Alumni Ctr.
200 Oak Street SE

City: Minneapolis State: MN Zip: 55455

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 14/487,928

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monique M. Perdok

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, Minnesota 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monique M. Perdok/Reg. No. 42,989

Name of Person Signing

Monique M. Perdok

Signature

December 8, 2017

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 044337 FRAME: 0965



UNIVERSITY OF MINNESOTA
ASSIGNMENT

WHEREAS, I, Karunya K. Kandimalla, have invented certain new and useful improvements in NANOPARTICLES/THERANOSTIC VEHICLES, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: Utility application no. 14/487,928 on September 16, 2014 (the "Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.


I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket Number: 00600.919US1
UM Case No. 20130341

Upon said consideration I do hereby covenant and agree with said Assignee, its successors and assigns, that I will not execute in writing or do any act whatsoever conflicting with these presents, and that I or my executors or administrators will at any time upon request, without further or additional consideration, but at the expense of said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissues or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 6th day of DECEMBER, 2017.

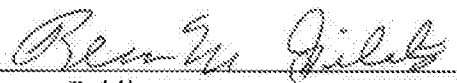

Karunya K. Kandimalla

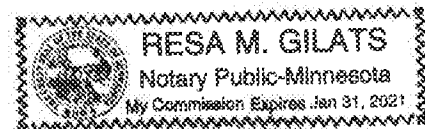
STATE OF Minnesota)

COUNTY OF Heane.p.h)

On this 6th day of December, 2017, before me personally appeared Karunya K. Kandimalla, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.


Notary Public



Attorney Docket Number: 00600.919US1
UM Case No. 20130341

IN WITNESS WHEREOF, I have hereunto set my hand on this 6th day of December, 2017 on behalf of Assignee Regents of the University of Minnesota accepting this assignment.

REGENTS OF THE UNIVERSITY OF MINNESOTA



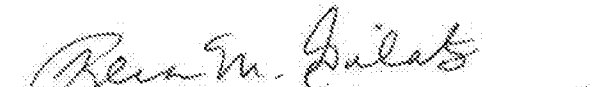
Paul B. Saveride
Senior Associate General Counsel
per Presidential Delegation DA_028016

STATE OF MINNESOTA)

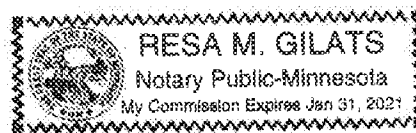
COUNTY OF HENNEPIN)

On this 6th day of December, 2017, before me personally appeared Paul B. Saveride, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public



Attorney Docket Number: 00600.919US1
UM Case No. 20130341