

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4724999

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SANJEEV GANGWAR	04/12/2016
NAIDU S CHOWDARI	04/12/2016
YAM B POUDEL	04/12/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BRISTOL-MYERS SQUIBB COMPANY
<b>Street Address:</b>	ROUTE 206 AND PROVINCE LINE ROAD
<b>City:</b>	PRINCETON
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	08543-4000
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15677959
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(512)334-2999
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	512-334-2900
<b>Email:</b>	mburke@phiplaw.com
<b>Correspondent Name:</b>	STEVE L HIGHLANDER - PARKERHIGHLANDER
<b>Address Line 1:</b>	1120 S CAPITAL OF TEXAS HIGHWAY
<b>Address Line 4:</b>	AUSTIN, TEXAS 78746
<b>ATTORNEY DOCKET NUMBER:</b>	RICE.P0003US.D1 - BMS
<b>NAME OF SUBMITTER:</b>	STEVEN L.HIGHLANDER
<b>SIGNATURE:</b>	/Steven L. Highlander/
<b>DATE SIGNED:</b>	12/08/2017
<b>Total Attachments: 3</b>	
source=RICE.P0003US Signed Assignment for Gangwar, Chowdari and Poudel (00329146xCCC41)#page1.tif	
source=RICE.P0003US Signed Assignment for Gangwar, Chowdari and Poudel (00329146xCCC41)#page2.tif	
source=RICE.P0003US Signed Assignment for Gangwar, Chowdari and Poudel (00329146xCCC41)#page3.tif	

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to BRISTOL-MYERS SQUIBB COMPANY (the "Assignee"), having a place of business at Route 206 and Province Line Road, Princeton, NJ 08543-4000, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **14/911,881**, filed on February 12, 2016 and is entitled "DERIVATIVES OF UNCIALAMYCIN, METHODS OF SYNTHESIS AND THEIR USE AS ANTITUMOR AGENTS," which is as a national phase application under 35 U.S.C. § 371 of International Application No. PCT/US2014/051127 filed on August 14, 2014, which claims priority to Provisional Application No. 61/865,896, filed August 14, 2013; Provisional Application No. 61/868,783 filed August 22, 2013; and Provisional Application No. 61/937,003 filed February 7, 2014, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

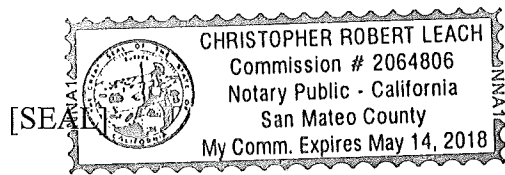
The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature: Sanjeev Gangwar  
Name: Sanjeev GANGWAR

Date: 4/12/2016

STATE OF California  
COUNTY OF San Mateo

BEFORE ME, the undersigned authority, on this 12th day of April, 2016 personally appeared Sanjeev GANGWAR, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/~~she~~ executed the same of his/~~her~~ own free will for the purposes and consideration therein expressed.



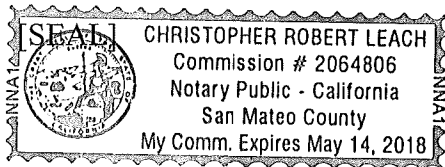
Choy R Seal  
Notary or Consular Officer

Signature: N. Sreedhar  
Name: Naidu S. CHOWDARI

Date: 04/12/16

STATE OF California  
COUNTY OF San Mateo

BEFORE ME, the undersigned authority, on this 12th day of April, 2016 personally appeared Naidu S. CHOWDARI, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/~~she~~ executed the same of his/~~her~~ own free will for the purposes and consideration therein expressed.




Choy R Seal  
Notary or Consular Officer

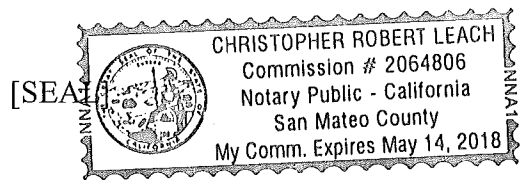
Signature:   
Name: Yam B. POUDEL

Date: 4/12/2016

STATE OF California  
COUNTY OF San Mateo

BEFORE ME, the undersigned authority, on this 12th day of April, 2016 personally appeared Yam B. POUDEL, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/~~she~~ executed the same of his/~~her~~ own free will for the purposes and consideration therein expressed.

  
Notary or Consular Officer



PARKER HIGHLANDER PLLC  
1120 S. Capital of Texas Highway  
Building One, Suite 200  
Austin, TX 78746