

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4739263

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAEIK KIM	10/20/2017
SEMYEONG JANG	10/20/2017
JEMIN PARK	10/20/2017
YOOSANG HWANG	10/20/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SAMSUNG ELECTRONICS CO., LTD.
<b>Street Address:</b>	129, SAMSUNG-RO, YEONGTONG-GU
<b>City:</b>	SUWON-SI, GYEONGGI-DO 16677
<b>State/Country:</b>	KOREA, REPUBLIC OF
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15845141
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(919)277-9177
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	919-277-9100
<b>Email:</b>	patents@wardandsmith.com
<b>Correspondent Name:</b>	WARD AND SMITH, P.A.
<b>Address Line 1:</b>	5430 WADE PARK BLVD
<b>Address Line 2:</b>	WADE II, SUITE 400
<b>Address Line 4:</b>	RALEIGH, NORTH CAROLINA 27636-3009
<b>ATTORNEY DOCKET NUMBER:</b>	170037-00278
<b>NAME OF SUBMITTER:</b>	AUDRA WOOTEN
<b>SIGNATURE:</b>	/Audra Wooten/
<b>DATE SIGNED:</b>	12/18/2017
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 4</b>	
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**COMBINED DECLARATION (37 CFR §1.63) FOR UTILITY PATENT APPLICATION AND  
ASSIGNMENT FORM<sup>1</sup>**

The undersigned acknowledges that this document is being used both as an assignment of the invention and as the declaration (37 CFR 1.63) for a Utility or Design Application.

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

Title: **Semiconductor Memory Devices Including Separate Upper and Lower Bit Line  
Spacers and Methods of Forming the Same**

for which Assignor is about to make or has made United States or International application for patent.

WHEREAS, as a below named inventor(s), I/(we) hereby declare that:

**Section I. Declaration**

This declaration is directed to:

- ☒ The attached U.S. non-provisional patent application, or  
☐ U.S. non-provisional patent application number \_\_\_\_\_, filed  
on \_\_\_\_\_, or  
☐ PCT international patent application number \_\_\_\_\_, filed on  
\_\_\_\_\_.

The above-identified application was made or authorized to be made by me (us).

I (we) believe that I am (we are) the original inventor (original joint inventors) of a claimed invention in the above-identified application.

I (we) hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

<sup>1</sup> This form requires the use of an Application Data Sheet.

SEC Ref No. IE-201701-017-1-USO

Attorney Ref No. 170037-00278

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**PATENT**  
**REEL: 044421 FRAME: 0850**

SAMSUNG ELECTRONICS CO., LTD.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims.

I acknowledge that I am aware of the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

## Section II. Assignment

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned hereby sell(s) and assign(s) to

**Samsung Electronics Co., Ltd.**

having an address at **129, Samsung-ro, Yeongtong-gu, Suwon-si, Gyeonggi-do 16677, Republic of Korea** (hereinafter designated as the Assignee), the entire (100%) right, title and interest for the United States as defined in 35 USC §100, in the invention described in the application identified in Section I of this document.

Assignor hereby confirms any prior assignment to Assignee, and to the extent that Assignor has not already done so, agrees to assign, and hereby does, sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and throughout the world, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the inventions as described in the aforesaid application, to the aforesaid application itself, and all divisions, continuations, continuations-in-part, or other applications claiming priority directly or indirectly from the aforesaid application, and any United States or foreign Letters Patent, utility model, or other similar rights which may be granted thereon, including reissues, reexaminations and extensions thereof, and all copyright rights throughout the world in the aforesaid application and the subject matter disclosed therein, these rights, title and interest to be held and enjoyed by Assignee to the full end of the term for which the Letters Patent, utility model, or other similar rights, are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made, and the right to sue for, and recover for past infringements of, or liabilities for, any of the rights relating to any of the applications, patents, utility models, or other similar rights, resulting therefrom, and the copyright rights;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights, and for copyright, in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letters patent any reissue, re-

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**PATENT**  
**REEL: 044421 FRAME: 0851**

SAMSUNG ELECTRONICS CO., LTD.


examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, and to testify in support thereof, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale, license, agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the United States Patent and Trademark Office to issue the Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue the Letters Patent, Utility Model Registration or other similar right to Assignee.

The undersigned hereby grant(s) the law firm of **Ward and Smith, P.A.** the power to insert on this Declaration and Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

**Section III. Inventor(s)/Assignor(s) Signature(s)**

LEGAL NAME OF FIRST INVENTOR/ASSIGNOR	Family Name	First Given Name	Second Given Name
	Kim	Daeik	
DRAM TD팀(반도체연)/장세명/00121915			
SIGNATURE 		DATE <u>2017.10.20</u>	

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**PATENT**  
**REEL: 044421 FRAME: 0852**

LEGAL NAME OF SECOND INVENTOR/ASSIGNOR	Family Name	First Given Name	Second Given Name
	Jang	SeMyeong	

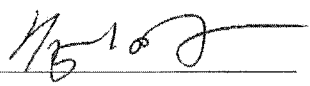
SIGNATURE  DATE 10/20, 2017

LEGAL NAME OF THIRD INVENTOR/ASSIGNOR	Family Name	First Given Name	Second Given Name
	Park	JeMin	

SIGNATURE Jemin Park DATE 10/20, 2017

DRAM TD팀(반도체연)/장세명/00121915

LEGAL NAME OF FOURTH INVENTOR/ASSIGNOR	Family Name	First Given Name	Second Given Name
	Hwang	YooSang	

SIGNATURE  DATE 10/20, 2017

SEC Ref No. IE-201701-017-1-USO

Attorney Ref No. 170037-00278

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RECORDED: 12/18/2017

**PATENT**  
**REEL: 044421 FRAME: 0853**