#### 12/19/2017 504694978

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4741700

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
RICARDO G. SANFELICE	10/10/2014
JUN CHAI	10/10/2014

#### **RECEIVING PARTY DATA**

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	
Street Address:	1111 FRANKLIN STREET, 5TH FLOOR	
City:	OAKLAND	
State/Country:	CALIFORNIA	
Postal Code:	94607	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15846938

### CORRESPONDENCE DATA

Fax Number: (650)424-0141

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

650-331-8412 Phone: Email: tom@lumen.com

**Correspondent Name:** THOMAS J. MCFARLANE Address Line 1: 438 CAMBRIDGE AVE.

Address Line 2: **SUITE 225** 

Address Line 4: PALO ALTO, CALIFORNIA 94306

ATTORNEY DOCKET NUMBER:	USC-160/CON	
NAME OF SUBMITTER:	THOMAS J. MCFARLANE	
SIGNATURE:	/Thomas J. McFarlane/	
DATE SIGNED:	12/19/2017	

**Total Attachments: 2** 

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> **PATENT REEL: 044435 FRAME: 0190** 504694978

## ASSIGNMENT OF INVENTION AND PATENT

This Assignment of Invention and Patent (the "Assignment") is made and effective as of October 10, 2014, by and between Ricardo G. Sanfelice, an individual residing in Santa Cruz, CA, US, (the "Assignor") and The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200 (the "Assignee").

- 1) For good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee and the successors, assigns, and legal representatives of Assignee, all of its right, title and interest in and to (i) the invention or inventions described in US provisional patent application 62/062385 filed 10/10/2014 entitled Algorithm for robust control of a single power DC/AC inverter for highly varying voltages (the "Application") naming Assignor as an inventor, (ii) any and all patent applications (whether provisional or non-provisional) relating to said invention(s), including all continuations, continuations-in-part, divisions, substitutes, reissues or renewals thereof, in any and all countries, (iii) any and all patents relating to such invention(s) in any and all countries that may be granted on any such application(s), including all reissues or extensions of any such patents and (iv) any and all domestic and foreign rights of priority resulting from the filing of the Application.
- 2) Assignor hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into by Assignor which would conflict with this Assignment. Assignor represents and warrants to Assignee that at the time of the execution and delivery of this Assignment, Assignor solely owns (or jointly owns, if the invention(s) were jointly made) the entire right, title and interest in and to said invention(s).
- 3) Assignor agrees that Assignee may apply for foreign patents relating to said invention(s) and irrevocably authorizes Assignee and grants Assignee the right to do so.
- 4) Assignor agrees, on request and without further consideration, but at the expense of Assignee, to sign all lawful papers, make all rightful oaths, and give any required testimony in any legal proceedings relating to said invention(s), and to generally aid Assignee, or its successors or assigns to obtain and enforce patent protection for said invention(s) in any and all countries.
- 5) Assignor authorizes and requests any official whose duty it is to issue patents to issue any patent on said invention(s) to Assignee, as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made.
- 6) This Assignment shall bind and inure to the benefit of the successors, assigns and personal representatives of the respective parties.

Assignor has executed this Assignment as of the Effective Date of October 10, 2014.

Signature:

Assignor: Ricardo G. Sanfelice

Signed and hereby accepted on behalf of the Assignee:

**PATENT REEL: 044435 FRAME: 0191** 

#### **ASSIGNMENT OF INVENTION AND PATENT**

This Assignment of Invention and Patent (the "Assignment") is made and effective as of **October 10, 2014**, by and between **Jun Chai**, an individual residing in **Santa Cruz, CA, US,** (the "Assignor") and **The Regents of the University of California**, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200 (the "Assignee").

- 1) For good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee and the successors, assigns, and legal representatives of Assignee, all of its right, title and interest in and to (i) the invention or inventions described in US provisional patent application 62/062385 filed 10/10/2014 entitled Algorithm for robust control of a single power DC/AC inverter for highly varying voltages (the "Application") naming Assignor as an inventor, (ii) any and all patent applications (whether provisional or non-provisional) relating to said invention(s), including all continuations, continuations-in-part, divisions, substitutes, reissues or renewals thereof, in any and all countries, (iii) any and all patents relating to such invention(s) in any and all countries that may be granted on any such application(s), including all reissues or extensions of any such patents and (iv) any and all domestic and foreign rights of priority resulting from the filing of the Application.
- 2) Assignor hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into by Assignor which would conflict with this Assignment. Assignor represents and warrants to Assignee that at the time of the execution and delivery of this Assignment, Assignor solely owns (or jointly owns, if the invention(s) were jointly made) the entire right, title and interest in and to said invention(s).
- 3) Assignor agrees that Assignee may apply for foreign patents relating to said invention(s) and irrevocably authorizes Assignee and grants Assignee the right to do so.
- 4) Assignor agrees, on request and without further consideration, but at the expense of Assignee, to sign all lawful papers, make all rightful oaths, and give any required testimony in any legal proceedings relating to said invention(s), and to generally aid Assignee, or its successors or assigns to obtain and enforce patent protection for said invention(s) in any and all countries.
- 5) Assignor authorizes and requests any official whose duty it is to issue patents to issue any patent on said invention(s) to Assignee, as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made.
- 6) This Assignment shall bind and inure to the benefit of the successors, assigns and personal representatives of the respective parties.

Assignor has executed this Assignment as of the Effective Date of October 10, 2014.

Signature: \_\_\_

Assignor: Jun Chai

**RECORDED: 12/19/2017** 

Signed and hereby accepted on behalf of the Assignee:

PATENT REEL: 044435 FRAME: 0192