

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4690550

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ANDREW KOFF	09/06/2016
AIMEE CRAGO	10/10/2016
DAVID LIU	10/14/2017
MARTA KOVATCHEVA	09/06/2016
SAMUEL SINGER	05/01/2017
GARY K. SCHWARTZ	01/25/2017
MARK A. DICKSON	09/21/2016
MARY ELIZABETH KLEIN	09/06/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	MEMORIAL SLOAN-KETTERING CANCER CENTER
<b>Street Address:</b>	1275 YORK AVENUE
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10021
<b>PROPERTY NUMBERS Total: 4</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	14884329
Application Number:	61893755
Application Number:	61812412
PCT Number:	US2014034399
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(212)408-2501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(212) 408-2500
<b>Email:</b>	nycdocket@bakerbotts.com
<b>Correspondent Name:</b>	BAKER BOTTS L.L.P.
<b>Address Line 1:</b>	30 ROCKEFELLER PLAZA
<b>Address Line 2:</b>	44TH FLOOR
<b>Address Line 4:</b>	NEW YORK, NEW YORK 10112

PATENT

<b>ATTORNEY DOCKET NUMBER:</b>	072734.0331
<b>NAME OF SUBMITTER:</b>	CHANELLE ROGERS
<b>SIGNATURE:</b>	/Chanelle Rogers/
<b>DATE SIGNED:</b>	11/15/2017

**Total Attachments: 29**

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### WORLDWIDE ASSIGNMENT

WHEREAS, we, **Andrew Koff**, a citizen of the United States, and whose post office address is 593 Kathleen Place, Westbury, New York 11590; **Aimee Crago**, a citizen of the United States, and whose post office address is 430 East 63rd Street, Apt. 5I, New York, New York 10065; **David Liu**, a citizen of the United States, and whose post office address is 7419 43rd Avenue, Apt. 2, Elmhurst, New York 11373; **Marta Kovatcheva**, a citizen of Canada, and whose post office address 1233 York Avenue, Apt. 14g2, New York, New York 10065; **Samuel Singer**, a citizen of the United States, and whose post office address is 515 East 72nd Street, Apt. 8b, New York, New York 10021; **Gary K. Schwartz**, A citizen of the United States, and whose post office address is 630 West 168th Street, New York, New York 10032; **Mark A. Dickson**, a citizen of the United States, and whose post office address is 21 East 1st Street, Apt. 1202, New York, New York 10003; and **Mary Elizabeth Klein**, a citizen of the United States, and whose post office address is 1233 York Avenue, Apt. 11E, New York, New York 10065 (hereinafter referred to as "ASSIGNORS"), invented a new invention as disclosed in a patent application entitled "**COMPANION DIAGNOSTIC FOR CDK4 INHIBITORS**" identified by Attorney Docket No.: 072734.0331; which;

- ☐ is being filed concurrently with the recordation of this Assignment.
- ☒ the Provisional Application was filed on October 21, 2013 as Application No. 61/893,755;  
the Provisional Application was filed on April 16, 2013 as Application No. 61/812,412
- ☒ the NonProvisional Application was filed on October 15, 2015 as Application No. 14/884,329;
- ☒ the PCT Application was filed on April 16, 2014 as Application No. PCT/US2014/034399;

WHEREAS, **MEMORIAL SLOAN-KETTERING CANCER CENTER**, a 501(c)(3) corporation organized and existing under the laws of the State of New York, having its principal place of business at 1275 York Avenue, New York, New York 10021 (hereinafter referred to as "ASSIGNEE"), is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto ASSIGNEE, its successors,

legal representatives and assigns, the entire right, title and interest in, to and under said invention and said United States provisional application or said application for United States Letters Patent, as the case may be, and said invention in all applications claiming priority thereto or converted therefrom, and all divisions, renewals, continuations and continuations-in-part thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries other than the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said applications above under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

ASSIGNORS hereby covenant and agree that ASSIGNORS have full right to convey the entire right, title and interest herein assigned, that such right, title and interest is unencumbered, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

ASSIGNORS further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, legal representatives and assigns;


ASSIGNORS further covenant and agree that ASSIGNORS will communicate to ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional,

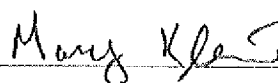
continuing, reissue, reexamination, foreign applications or other such Letters Patent, make all rightful oaths, and generally do everything possible to aid ASSIGNEE or, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries;

ASSIGNORS hereby authorize ASSIGNEE's patent attorney to complete this form by the addition of the application number, application filing date, and attorney docket number, if necessary.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6 day of September, 2016

  
\_\_\_\_\_  
Andrew Koff

Witness:  [signature]  
Marta Karatcheva [name]

Witness:  [signature]  
Mary Klein [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 10<sup>th</sup> day of October, 2016.

Aimee Crago  
Aimee Crago

Witness: Danette O'Sampson [signature]  
DANETTE O'SAMPSON [name]

Witness: Monica Friday Ramirez [signature]  
Monica Friday Ramirez [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 14 day of  
October, 2017.

Da Lin

David Liu

Witness: Da Lin [signature]  
David Lin [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
**Marta Kovatcheva**


Witness: \_\_\_\_\_[signature]

\_\_\_\_\_ [name]

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 1 day of  
May, 2017.

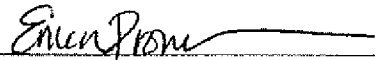
  
\_\_\_\_\_  
Samuel Singer


Witness: Krystal Hasan [signature]  
Krystal Hasan [name]


Witness: Maricela Ortiz [signature]  
Maricela Ortiz [name]

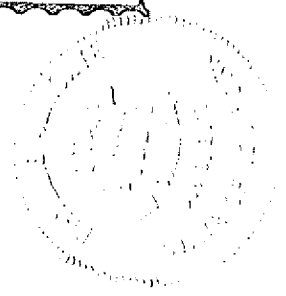
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 25<sup>th</sup> day of January, 2017.

  
\_\_\_\_\_  
Gary K. Schwartz

Witness:  [signature]  
Emra Promnaam [name]

Witness:  [signature]  
Gail Fagan [name]

  
PHYLLIS ALMONTE  
Notary Public - State of New York  
NO. 01AL6293410  
Qualified in Rockland County  
My Commission Expires Dec 9, 2017



**IN WITNESS WHEREOF**, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
**Mark A. Dickson**

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6 day of  
September, 2016.

Mary Klein  
Mary Elizabeth Klein

Witness: [signature] [signature]

Marta Kratchew [name]

Witness: [signature] [signature]

ANDREW KOFF [name]

### WORLDWIDE ASSIGNMENT

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legal representatives and assigns, the entire right, title and interest in, to and under said invention and said United States provisional application or said application for United States Letters Patent, as the case may be, and said invention in all applications claiming priority thereto or converted therefrom, and all divisions, renewals, continuations and continuations-in-part thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries other than the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said applications above under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

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continuing, reissue, reexamination, foreign applications or other such Letters Patent, make all rightful oaths, and generally do everything possible to aid ASSIGNEE or, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries;

ASSIGNORS hereby authorize ASSIGNEE's patent attorney to complete this form by the addition of the application number, application filing date, and attorney docket number, if necessary.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Andrew Koff

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
David Liu


Witness: \_\_\_\_\_[signature]

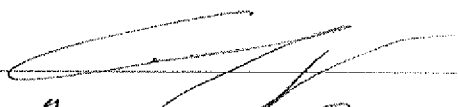
\_\_\_\_\_[name]

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_[name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6 day of  
September, 2016.

  
\_\_\_\_\_  
Marta Kovatcheva

Witness:  [signature]  
Amanda Koff [name]

Witness: Mary Klein [signature]  
Mary Klein [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Samuel Singer

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Gary K. Schwartz

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_[name]

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_[name]

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\_\_\_\_\_  
Mark A. Dickson

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]

\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Mary Elizabeth Klein

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_[name]

Witness: \_\_\_\_\_[signature]

\_\_\_\_\_[name]

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\_\_\_\_\_  
Andrew Koff

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_.

\_\_\_\_\_  
Aimee Crago

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of  
\_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
David Liu

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of  
\_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Marta Kovatcheva

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Samuel Singer

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

Witness: \_\_\_\_\_ [signature]  
\_\_\_\_\_ [name]

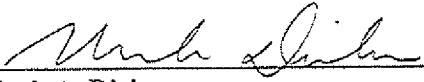
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\_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Gary K. Schwartz

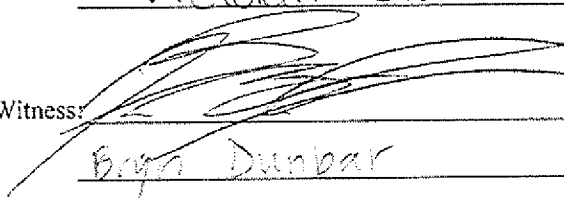
Witness: \_\_\_\_\_[signature]  
\_\_\_\_\_[name]

Witness: \_\_\_\_\_[signature]  
\_\_\_\_\_[name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 21 day of  
September, 2016.

  
Mark A. Dickson

Witness:  [signature]  
VICTORIA GROSS [name]

Witness:  [signature]  
Bryan Dunbar [name]

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Mary Elizabeth Klein

Witness: \_\_\_\_\_[signature]  
\_\_\_\_\_[name]

Witness: \_\_\_\_\_[signature]  
\_\_\_\_\_[name]