# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date	
CATHERINE ANNE SABATOS-PEYTON	01/20/2017	
JENNIFER MARIE MATARAZA	01/20/2017	
WALTER A. BLATTLER	01/03/2017	
DANIEL J. HICKLIN	01/03/2017	

### **RECEIVING PARTY DATA**

Name:	COSTIM PHARMACEUTICALS INC.		
Street Address:	250 MASSACHUSETTS AVENUE		
City:	CAMBRIDGE		
State/Country:	MASSACHUSETTS		
Postal Code:	02139		

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	15432819
Application Number:	15462585

#### CORRESPONDENCE DATA

**Fax Number:** (617)395-7070

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (617) 395-7000

Email: DLpatents@LALaw.com

Correspondent Name: LANDO & ANASTASI, LLP

Address Line 1: RIVERFRONT OFFICE PARK

ONE MAIN STREET, SUITE 1100

Address Line 4: CAMBRIDGE, MASSACHUSETTS 02142

ATTORNEY DOCKET NUMBER:	C2160-700241FT
NAME OF SUBMITTER:	DAVID LU
SIGNATURE:	/David Lu/
DATE SIGNED:	12/21/2017

**Total Attachments: 7** 

PATENT REEL: 044467 FRAME: 0158

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PATENT REEL: 044467 FRAME: 0159

#### ASSIGNMENT

This Assignment Agreement is entered into by and between <u>Walter A. Blattler</u> of Brookline, Massachusetts; <u>Daniel J. Hicklin</u> of Montclair, New Jersey; <u>Jennifer Marie Mataraza</u> of Cambridge, Massachusetts; and <u>Catherine Anne Sabatos-Peyton</u> of Cambridge, Massachusetts (hereinafter "Inventors"), and **CoStim Pharmaceuticals Inc.**, whose address is 250 Massachusetts Avenue, Cambridge, MA 02139, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, We the Inventors do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in our country of employment, CoStim Pharmaceuticals Inc. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, We the Inventors nevertheless have any ownership right, title or interest in any of the items described herein (which We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, We the Inventors do hereby sell, assign and transfer to **CoStim Pharmaceuticals Inc.** and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional application entitled

## ANTIBODY MOLECULES TO TIM-3 AND USES THEREOF

for which we have filed a PCT International Application on <u>January 30, 2015</u> and accorded International Patent Application Number <u>PCT/US2015/013913</u>;

and/or filed in the United States Patent and Trademark Office on <u>January 30, 2015</u>, and accorded Application Number <u>14/610,837</u>;

- (2) the application identified in paragraph (1), and all applications claiming priority from such application, directly or indirectly, including all national stages of any international patent applications;
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional applications identified in paragraphs (1) or (2);

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- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent applications or international patent applications designating the United States, any national stages of any international applications, and any other patent applications described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

We the Inventors hereby covenant and agree that We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and

declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

Executed this day of	<u> </u>
BY	<u> </u>
Witnessed by:	
Name: Se soes 5 among	
Name: Der Coet & Lessonsee Address: \$ 48446799 20 Lessonsee	Name: Ask That Address: 24 To Pak A Cor
Executed this day of	, 20
BY Daniel J. Hicklin	L.S.
Witnessed by:	
Name: Address:	Name: Address:

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declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

Executed this day of	, 20
BYWalter A. Blattler	L.S.
Witnessed by:	
Name: Address:	Name: Address:
Executed this day of	<u>~, 20</u>
BY Daniel J. Hicklin	L.S.
Witnessed by:	
Name: STROPA GALBERTO Address: 197 OTTS ST. # A	Name: Address:

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Executed this day of	, 20,
BY	L.S.
Daniei J. Hicklin	
Witnessed by:	
Name: Address:	Name: Address:
Executed this 20th day of 100	120 17.
BY Jennifer Marie Mataraza	L.S.
Witnessed by:	
Kathleer M Oswy	jugas m Oillen
Name: Address: Kathleen M. Drury 700 Main Street Gambridge, MA 02139	Name: Susan M. Dilion Address: 700 Main Street Cambridge, MA 02139 US

Executed this 20th day of January 20 17

Latherine Anne Sabota lafton LS

Catherine Anne Sabatos-Peyton

Witnessed by:

Name:

Address:

Kathleen M. Drury 700 Main Street Cambridge, MA 02139 Name:

Address: Susan M. Dillon

700 Main Street

Combridge, MA 02139 US

CoStim !	Ph	armace	utica	S	Inc.
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Executed this 15th day of February 20/7.

By J. L.S. Name:

Cambridge, MA 02139

Title: Authorized Signatory

Witnessed by:

Mame: Susan M. Dillon

Name: Susan M. Dillon

Name: Susan M. Dillon
Address: Address: 700 Main Street
Kathleen M. Drury Cambridge, MA C

Rathleen W. Drury Cambridge, MA 02139 US 700 Main Street

Attention Services: This document requires witnessing by two people after each signature – they can be anyone including non-patent related individuals excluding inventors listed in this document and authorized signatories who signed on behalf of the entity in this document.

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**RECORDED: 12/21/2017**