

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4754233

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PINGCHEN FAN	01/20/2016
JAROSLAW KALISIAK	01/27/2016
ANTONI KRASINSKI	01/20/2016
REBECCA M. LUI	01/20/2016
JAY POWERS	01/25/2016
SREENIVAS PUNNA	01/20/2016
HIROKO TANAKA	01/30/2016
PENGLIE ZHANG	01/20/2016
RECEIVING PARTY DATA	
Name:	CHEMOCENTRYX, INC.
Street Address:	850 MAUDE AVENUE
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15659468
CORRESPONDENCE DATA	
Fax Number:	(415)432-6001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(415) 432-6000
Email:	kaheldt@mintz.com
Correspondent Name:	WILLIAM B. KEZER
Address Line 1:	MINTZ LEVIN COHN FERRIS GLOVSKY AND POPEO PC
Address Line 2:	44 MONTGOMERY STREET, 36TH FLOOR
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94104
ATTORNEY DOCKET NUMBER:	050779-561C01US-010411US
NAME OF SUBMITTER:	KIMBERLY HELDT
SIGNATURE:	/Kimberly Heldt/

PATENT

DATE SIGNED:	12/28/2017
Total Attachments: 12 source=Executed Assignment 14867669 0010410US#page1.tif source=Executed Assignment 14867669 0010410US#page2.tif source=Executed Assignment 14867669 0010410US#page3.tif source=Executed Assignment 14867669 0010410US#page4.tif source=Executed Assignment 14867669 0010410US#page5.tif source=Executed Assignment 14867669 0010410US#page6.tif source=Executed Assignment 14867669 0010410US#page7.tif source=Executed Assignment 14867669 0010410US#page8.tif source=Executed Assignment 14867669 0010410US#page9.tif source=Executed Assignment 14867669 0010410US#page10.tif source=Executed Assignment 14867669 0010410US#page11.tif source=Executed Assignment 14867669 0010410US#page12.tif	

ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

“PROCESSES AND INTERMEDIATES IN THE PREPARATION OF C5aR ANTAGONISTS,”

filed with the U.S. Patent & Trademark Office on September 28, 2015

and assigned serial no. 14/867,669.

For good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to **ChemoCentryx, Inc.**, a corporation of the State of Delaware having a principal place of business at 850 Maude Avenue, Mountain View CA 94043 (“Assignee”), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of

ASSIGNMENT

U.S. Serial No. 14/867,669

Attorney Docket No. 085236-0958743-010410US

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this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

(d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.

2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

KILPATRICK TOWNSEND 68109216 1

ASSIGNMENT
U.S. Serial No. 14/867,669
Attorney Docket No. 085236-0958743-010410US
Page 3 of 6

Signature: [Signature]

Pingchen Fan

Date: 1-20-2016

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California (Seal)
County of Santa Clara
Subscribed and sworn to (or affirmed) before me this 20th day of JAN, 2016, by PINGCHEN FAN, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Signature Ron K. Ikebe (Seal)

Signature: _____

Jarek Kalisiak

NOTARY PUBLIC
My Commission Expires:



Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

ASSIGNMENT
U.S. Serial No. 14/867,669
Attorney Docket No. 085236-0958743-010410US
Page 3 of 6

Signature: _____

Date: _____

Pingchen Fan

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____

Date: 1.27.2016

Jaroslav Kalisiak

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

**PLEASE SEE
NOTARY ATTACHMENT**

(Seal)

NOTARY PUBLIC
My Commission Expires:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

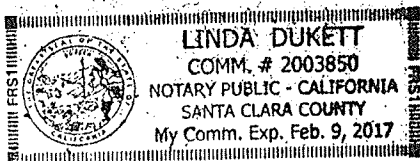
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of Santa Clara)
 On Jan. 27, 2016 before me, Linda Dukett, Notary Public,
 Date Here Insert Name and Title of the Officer
 personally appeared Jakob Kalisiak
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Linda Dukett
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment (Patent Application)
 Document Date: 01/27/2016 Number of Pages: _____
 Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

ASSIGNMENT

U.S. Serial No. 14/867,669

Attorney Docket No. 085236-0958743-010410US

Page 4 of 6

Signature: [Signature]

Date: 01/20/2016

Antoni Krasinski

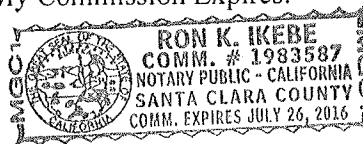
STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of SANTA CLARA
Subscribed and sworn to (or affirmed) before me this 20th day of JAN, 2016, by Antoni Krasinski, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.
Signature Ron K Ikebe (Seal)

NOTARY PUBLIC
My Commission Expires:



Signature: [Signature]

Date: 1/20/16

Rebecca Lui

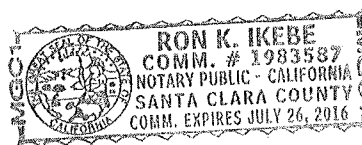
STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of SANTA CLARA
Subscribed and sworn to (or affirmed) before me this 20th day of JAN, 2016, by Rebecca Lui, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.
Signature Ron K Ikebe (Seal)

NOTARY PUBLIC
My Commission Expires:



KILPATRICK TOWNSEND 68109216 1

ASSIGNMENT
U.S. Serial No. 14/867,669
Attorney Docket No. 085236-0958743-010410US
Page 5 of 6

Signature: Jay Powers Date: 1/25/16
Jay Powers

STATE OF California

Before me, a Notary Public in and for the State of California, on this 25th day of January, 2016, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/~~her~~ free act and deed.

(Seal) Daksha Patel
NOTARY PUBLIC
My Commission Expires: 12/22/16

Also Please See Attached
New CA Ack.



Signature: _____ Date: _____
Sreenivas Punna

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal) _____
NOTARY PUBLIC
My Commission Expires: _____

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of San Mateo

On 01/25/2016 before me, DAKSHA PATEL, Notary Public,
(Here insert name and title of the officer)

personally appeared Jay Powers

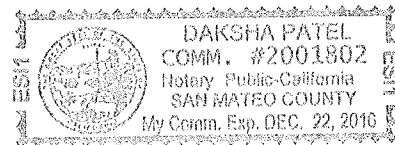
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Daksha Patel
Signature of Notary Public

(Notary Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Assignment of patent-
(Title or description of attached document)

Application
(Title or description of attached document continued)

Number of Pages 6 Document Date 1/25/16

(Additional information)

INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. he/she/they, is/are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
 - ❖ Additional information is not required but could help to ensure the acknowledgment is not misused or attached to a different document.
 - ❖ Indicate title or type of attached document, number of pages and date
 - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)
☐ Corporate Officer

(Title)

- ☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Other _____

PATENT

REEL: 044502 FRAME: 0685

Signature: _____

Date: _____

Jay Powers

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires: _____

Signature: *[Signature]*

Date: 01/20/2016

Sreenivas Punna

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of SANTA CLARA
Subscribed and sworn to (or affirmed) before me this 20th day
of JAN, 2016, by SREENIVAS
PUNNA, proved to me on the basis
of satisfactory evidence to be the person(s) who appeared before me.
Signature *Ron K Ikebe* (Seal)

NOTARY PUBLIC
My Commission Expires: _____



KILPATRICK TOWNSEND 68109216 1

ASSIGNMENT
U.S. Serial No. 14/867,669
Attorney Docket No. 085236-0958743-010410US
Page 6 of 6

Signature:  Date: 1/30/2016
Hiroko Tanaka

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

PLEASE SEE
NOTARY ATTACHMENT

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____ Date: _____
Penglie Zhang

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Santa Clara)

On 01/30/2016 before me, _____
Date

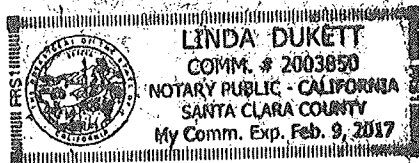
Linda Dukett, Notary Public
Here Insert Name and Title of the Officer
Husko (Husko)
Name(s) of Signer(s)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature _____

Linda Dukett
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment / Patent Application

Document Date: 01/30/2016 Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

ASSIGNMENT

U.S. Serial No. 14/867,669

Attorney Docket No. 085236-0958743-010410US

Page 6 of 6

Signature: _____

Date: _____

Hiroko Tanaka

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires: _____

Signature: _____

Date: 11/20/2016

Penglie Zhang

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Santa Clara

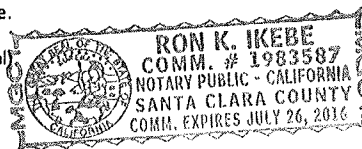
Subscribed and sworn to (or affirmed) before me this 20th day

of JAN, 2016, by Penglie Zhang

_____, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Signature Ron K. Ikebe (Seal)

NOTARY PUBLIC
My Commission Expires: _____



KILPATRICK TOWNSEND 68109216 1

RECORDED: 12/28/2017

PATENT
REEL: 044502 FRAME: 0689