# 504662554 11/29/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4709276

NATURE OF CONVEYANCE:  Corrective Assignment to correct the RECEIVING PARTY NAME previously recorded on Reel 031710 Frame 0331. Assignor(s) hereby confirms the CORRECT RECEIVING PARTY NAME TO BE FARASIS ENERGY, INC	SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
		previously recorded on Reel 031710 Frame 0331. Assignor(s) hereby confirms the CORRECT RECEIVING PARTY NAME TO BE FARASIS

## **CONVEYING PARTY DATA**

Name	Execution Date
KEITH D. KEPLER	12/08/2010
YU WANG	12/08/2010
HONGJIAN LIU	12/08/2010
FLORIS TSANG	12/08/2010

# **RECEIVING PARTY DATA**

Name:	FARASIS ENERGY, INC.
Street Address:	23575 CABOT BOULEVARD, SUITE 206
City:	HAYWARD
State/Country:	CALIFORNIA
Postal Code:	84545

## **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Patent Number:	8609284	

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: dkerner@vklaw.com

**Correspondent Name:** VOLPE AND KOENIG, P.C. **Address Line 1:** 30 SOUTH 17TH STREET

Address Line 2: UNITED PLAZA

Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:	RAP3-1B/FEI-025
NAME OF SUBMITTER:	DAWN C. KERNER
SIGNATURE:	/Dawn C. Kerner/
DATE SIGNED:	11/29/2017
	·

**Total Attachments: 4** 

PATENT REEL: 044536 FRAME: 0203

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> PATENT REEL: 044536 FRAME: 0204

11/20/2013



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RECORDA				
COV 1-18-13 PATENTS ONLY Attorney Docket No.: 8007P028				
To the Director of the United States Patent and Trademark Office: Pl	lease record the attached documents or the new address(es) below.			
1. Name of conveying party(ies):	2. Name and address of receiving party(les):			
Keith D. KEPLER, Yu WANG,	Name: Farasis Enerygy, Inc.			
Hongjian LIU, and Floris TSANG				
,	Internal Address:			
Additional name(s) of conveying party(ies) attached?   Yes   No				
3. Nature of conveyance/Execution Date(s):	Street Address: 23575 Cabot Boulevard, Suite 206			
Execution Date(s) 12.08.2010; 12.08.2010;				
12.08.2010; and 12.08.2010;				
☐ Assignment ☐ Merger	City: Hayward			
☐ Security Agreement ☐ Change of Name				
☐ Joint Research Agreement	State: California			
☐ Government Interest Assignment				
☐ Executive Order 9424, Confirmatory License	Country: USA Zip: 94545			
Other <u>Corrective Assignment to Correct Assignee</u>				
name recorded at Reel No. 025774 Frame No. 0061.				
The assignors hereby confirm the assignment of the entire interest.				
	Additional name(s) & address(es) attached? ☐ Yes ☒ No			
4. Application or patent number(s):	document is being filed together with a new application.			
A. Patent Application No.(s): 12/913,722	B. Patent No.(s)			
	sattached? ☐ Yes ⊠ No			
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents			
•	involved: 1			
Name: BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP	7. Total Fee (37 CFR 1.21(h) & 3.41) \$ 40.00			
Internal Address:	Authorized to be charged to deposit account			
Street Address: 1279 Oakmead Parkway	☐ Enclosed			
City: Sunnyvale	None required (government interest not affecting title)			
State: California Zip Code: 94085	8. Payment Information			
Phone Number: 408-720-8300				
Fax Number: 408-720-8383	Deposit Account Number 02-2666			
Email Address: mail@bstz.com	Authorized User Name <u>Lester J. Vincent</u>			
	11/20/5013 HTOWLL 080000024 022656 12 13726			
9. Signature:	01 FC:0021 40.00 DA			
Signature:	Neugnin 19 2013			
$oldsymbol{V}$				
Lester J. Vincent, Reg. No. 31,460 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents: 6			
The state of the s	sheet, attachments, and documents.			

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, Virginia 22313-1450

Based on Form PTO-1595 (Rev. 03-11) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 01/10/12.

Δ	ASSIGNMENT OF PATENT APPLICATION Attorney Docket No.: 8007P028				
	For good and valuable consideration, the receipt and sufficiency of which is hereby				
	acknowledged, I/we, the undersigned, Keith D. KEPLER, Yu WANG, Hongian LIU, and				
	Floris TSANG				
	hereby sell, assign, and transfer to Farasis Energy, Inc.				
	of California				
	a <u>corporation</u> of <u>California</u> (Type of Assignee: e.g., corporation, company, partnership, university, etc.)				
)	having a principal place of business at 23575 Cabot Boulevard, Suite 208.				
	Hayward, California 94545, USA				
	("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions or improvements that are disclosed in the application (provisional or non-provisional) for the United States patent which may have a declaration executed by the undersigned prior hereto or concurrently herewith and is entitled A COMPOSITE FOR CATHODE OF LI-ION BATTERY, ITS PREPARATION PROCESS AND				
	THE LI-ION BATTERY				
	said patent application also identified as follows (when known):				
	[I/we hereby authorize an attorney or agent for said Assignee to insert below the application number and filling date of said patent application when known.]				
	United States Patent Application Number 12/813,722 filed October 27, 2010				
	and in and to said application (provisional or non-provisional) and all provisional applications, non-provisional applications, utility applications, design applications, divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be fited in the United States and all foreign countries on any of said inventions or improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all toreign countries on said inventions or improvements; and in and to all rights of priority resulting from the filing of said United States application;				
	agree that said Assignee may apply for and receive a patent or patents for said Inventions or improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all provisional applications, non-provisional applications, utility applications, design applications, divisional applications, continuation applications, continued prosecution applications, continuation-ln-part applications, substitute applications, renewal applications, reference. From the patent applications on any and all said inventions or improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said inventions or improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said inventions or improvements and for vesting title to said inventions or improvements, and all applications for patents				

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REEL: 034336 FRAME: 0206

and all patents on said inventions or improvements, in said Assignes, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

		•	
Each inventor:	Please Sig	gn and Date Below:	•
11.2	nn 1 O	11.11.	•
11-8 Date	20 1 0	Signature	,
•		Kelth D. KEPLER	. *
		Printed/Typed Name	
12/8	20/0	X	
Date		Signature	
	• • • •	Yu WANG	
. /		Printed/Typed Name	· · · · · · · · · · · · · · · · · · ·
(1/8 min	20/0	Lew Hugh	·
· Date	-		
		Hongilan LIU Printed/Typed Name	
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/&	, <u> </u>	Signature Signature	·
•	,	Florie TSANG	
		Printed/Typed Name	
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Date	, a.v	Signature	
v. *		Printed/Typed Name	-
`	, 20		
Date	•	Signature	,
		Printed/Typed Name	
		· ······ · than same	
•	• •	Assignment Document Return Addr	888;
		BLAKELY, SOKOLOFF, TAYLOR &	LAPMAN LLP
		Sunnyvale, California 94085-4040 (408) 720-8300	
		-2-	Rev. 2/20/2007

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