#### 504717157 01/08/2018

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4763879

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CRAIG DAVID MALINS	05/08/2013

#### **RECEIVING PARTY DATA**

Name:	GALLAGHER GROUP LIMITED	
Street Address:	181 KAHIKATEA DRIVE	
City:	HAMILTON	
State/Country:	NEW ZEALAND	
Postal Code:	3206	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	15863447	

#### **CORRESPONDENCE DATA**

**Fax Number:** (704)378-2057

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 7043312359

**Email:** iplaw@mvalaw.com, cindigraser@mvalaw.com

Correspondent Name: MOORE & VAN ALLEN PLLC

Address Line 1: 3015 CARRINGTON MILL BOULEVARD, STE 400

Address Line 2: POST OFFICE BOX 13706

Address Line 4: RESEARCH TRIANGLE PA, NORTH CAROLINA 27709

ATTORNEY DOCKET NUMBER: 900076.000019	
NAME OF SUBMITTER:	PATRICK B. HORNE
SIGNATURE:	/Patrick B. Horne/
DATE SIGNED:	01/08/2018

#### **Total Attachments: 7**

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PATENT 504717157 REEL: 044556 FRAME: 0096

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PATENT REEL: 044556 FRAME: 0097

**BETWEEN:** 

**Craig David Malins** 

AND:

**Gallagher Group Limited** 

#### **DESCRIPTION:**

By this Deed Craig David Malins assigns to Gallagher Group Limited the Intellectual Property Rights relating to the Invention.

James & Wells Intellectual Property

Level 12, KPMG Centre 85 Alexandra Street Private Bag 3140 HAMILTON

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PATENT REEL: 044556 FRAME: 0098

**BETWEEN** 

Craig David Malins of 27 Whyte Street, Hamilton East,

New Zealand

("Assignor")

AND

Gallagher Group Limited, a New Zealand company having its registered office at Kahikatea Drive, Hamilton, New Zealand

("Assignee")

#### ON THE BASIS THAT-

#### 1.0 DEFINED TERMS

- 1.1. Copyright shall mean the property rights which exist in any Copyright Work.
- 1.2. Copyright Work shall mean:
  - **1.2-1.** a work of any of the types described in Section 14 of the New Zealand Copyright Act 1994; and/or
  - 1.2-2. a work in which copyright exists; and

which relates to the Invention.

- 1.3. Design Rights shall mean the right to apply for a registered design relating to the Invention or equivalent protection in any country of the world and to claim priority under international convention from any such applications and the rights conferred by such registered designs or equivalent protection when granted.
- **1.4.** Intellectual Property Rights shall mean all intellectual property rights whatsoever relating to the Invention including without limitation the Patent, the Patent Rights, the Design Rights, the Copyright and the Technical Information.
- 1.5. Invention shall mean the invention the subject of the Patent.
- **1.6.** Patent shall mean the patent application set out in the Schedule and any patent application or letters patent claiming priority from that patent, and any letters patent granted upon any of the foregoing patent applications.
- 1.7. Patent Rights shall mean:
  - 1.7-1. the right to apply for any patent relating to the *Invention* or equivalent protection in any country of the world and to claim priority under any international convention from any such application(s) and the rights conferred by such patents or equivalent protection when granted; and
  - **1.7-2.** the rights conferred by the *Patent* including the right to claim priority under any international convention and the right conferred by such *Patent* now and when granted.

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**1.8.** Technical Information shall mean all inventions, designs, drawings, tests, reports and procedures, models, manuals, formulae, tables of operating conditions and the like relating to the *Invention* and all other knowledge, know-how and showhow relating to the foregoing, whether or not capable of being protected by patent or otherwise.

#### 2.0 BACKGROUND

- 2.1. The Assignor devised the Invention.
- 2.2. The Patent was filed in the names of the Assignor and the Assignee to comply with the requirements of the Patents Act 1953.
- 2.3. The Assignor acknowledges that he was employed by the Assignee at the time the *Invention* was devised and that he devised the *Invention* in the course of his duties.
- 2.4. The Assignor acknowledges that the Assignee is or should be the owner of the Intellectual Property Rights as a result of the Assignor's employment by the Assignee, and hereby agrees to assign same to the Assignee on the terms described below.

### BY THIS DEED THE PARTIES AGREE -

#### 3.0 THE ASSIGNMENT

- 3.1. The Assignor hereby assigns all his right, title and interest in and to the Intellectual Property Rights to the Assignee.
- 3.2. The assignment will take effect on the date this Deed is fully executed by the Assignor or on the date the first patent application relating to the *Invention* is filed in the name of one or more of the parties, whichever is the earlier lawful date.

#### 4.0 CONSIDERATION

- 4.1. In consideration for the assignment detailed in clause 3.1, the Assignee will pay to the Assignor upon execution of this Deed the sum of one New Zealand dollar (NZ\$1.00), the receipt and sufficiency of which is acknowledged by the Assignor.
- **4.2.** Any and all rights of the **Assignor** with respect to the *Invention* and *Intellectual Property Rights* will pass to the **Assignee** upon the effective date of this Deed.

#### 5.0 ASSIGNOR'S OBLIGATIONS

5.1. The Assignor undertakes to (at the Assignee's cost) execute any documents and authorisations, and depose to or swear any declarations or oaths as may be requested by the Assignee for vesting absolutely all their right, title and interest to the Intellectual Property Rights in favour of the Assignee, and for conferring on the Assignee the right to take action against any third party who copies the Invention or infringes the Intellectual Property Rights.

- **5.2.** The **Assignor** shall forthwith disclose to the **Assignee** all improvements in, modifications of or additions to the *Invention* devised or created by the **Assignor** while in the employ of the **Assignee** and/or under a commission for money or money's worth from the **Assignee**, and the intellectual property in all such improvements, modifications or additions will be owned by the **Assignee**.
  - **5.2-1.** The **Assignor** shall assign to the **Assignee** upon request all intellectual property rights relating to all improvements in, modifications of or additions to the *Invention* devised or otherwise created while in the employ and/or under a commission for money or money's worth from the **Assignee**.
- 5.3. The Assignor hereby waives his moral rights in relation to the Copyright.
- 5.4. At the request of the Assignee, the Assignor shall at Assignee's expense execute all documents and do all acts necessary or convenient to enable Assignee to:
  - **5.4-1.** make, prosecute or register in **Assignee's** name an application for a patent, registered design, registered trade mark, plant variety rights or other intellectual property protection in respect of any of the *Intellectual Property Rights*;
  - 5.4-2. defend opposition proceedings in respect of any of the *Intellectual Property Rights* against a third party or conduct opposition proceedings against a third party in respect of any application for intellectual property protection that may adversely affect **Assignee's** ability to exploit the *Intellectual Property Rights*;
  - **5.4-3.** defend proceedings in any court, tribunal or other forum which relate to the validity of any of protection obtained in respect of the *Intellectual Property Rights*;
  - **5.4-4.** enforce the *Intellectual Property Rights* including obtaining all such remedies as may be available for infringement of the *Intellectual Property Rights*.
- **5.5.** The **Assignor** shall, at the request of the **Assignee**, and to the extent outstanding, furnish the **Assignee** with full details of and relating to the *Invention*, and the *Intellectual Property Rights* (including the circumstances of invention, creation and/or design of same) and where possible all original versions of the *Invention* and the *Copyright Works*.
- 5.6. The Assignor agrees to treat as confidential all information relating to the Invention and the Intellectual Property Rights and shall not use, disclose or publish same without the express prior written consent of the Assignee. Such obligations shall not extend to information which already is in or which enters the public domain through no fault of the Assignor. The Assignor agrees to seek prior clearance from the Assignee in any case of uncertainty.

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#### 6.0 ASSIGNOR'S WARRANTIES

- **6.1.** The **Assignor** warrants:
  - **6.1-1.** There are no encumbrances or other matters affecting the **Assignor's** capacity to assign the *Invention* and/or the *Intellectual Property Rights* to the **Assignee** free of any encumbrances or interests whatsoever; and
  - **6.1-2.** The Copyright Works are the **Assignor's** original work and are not copied in whole or in part from any other work.

#### 7.0 GOVERNING LAW

- 7.1. This Deed and any disputes relating to it shall be governed by and construed in all respects in accordance with the laws of New Zealand.
- **7.2.** Each party to this Deed submits to the non-exclusive jurisdiction of the courts of New Zealand.

Executed as a Deed to Craig David Malins	his 8th day of May 2013 by	
Signature		
Witnessed by:	Richard Johnston	
	N hats Signapure	
	I P MAIVAGER Occupation	
	Hamilto- Wen Zealand	

Place of Residence

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Executed as a Deed behalf of <b>Gallagher</b>	this 8 <sup>1</sup> C	day of May its duly authorised officers	2013 for and on
Richard Johnsto Name		Name	
Myh. Signature		Signature	
I ( Manage/ Position	and the second s	Position	
* This Deed must be sa) Two or more directsb) (If the company has	Signature  PCB Design Occupation  Hawwite Place of Resider  Signed by: ors of the company; or anny one director), as only one director).	Lew Lorence of the compa	any and a witness; or
d) One or more perso	ns with a power of at h the laws relating to	any other person and a witne torney to act on the company the execution of deeds in the	/ S Deliali

#### SCHEDULE

Patent (clause 1.6):

Title	Country	Application Number	Filing Date
Securing Device	New Zealand	607726	6 March 2013

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