# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4787277

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|---|---|
| **SUBMISSION TYPE:** | NEW ASSIGNMENT |
| **NATURE OF CONVEYANCE:** | ASSIGNMENT |

**CONVEYING PARTY DATA**

| Name | Execution Date |
|---|---|
| TRAVERSE TECHNOLOGIES CORP. | 10/20/2017 |

**RECEIVING PARTY DATA**

| | |
|---|---|
| **Name:** | CF TRAVERSE LLC |
| **Street Address:** | ONE MARKET PLAZA, SPEAR TOWER |
| **Internal Address:** | 42ND FLOOR |
| **City:** | SAN FRANCISCO |
| **State/Country:** | CALIFORNIA |
| **Postal Code:** | 94105 |

**PROPERTY NUMBERS Total: 1**

| Property Type | Number |
|---|---|
| **Application Number:** | 13779472 |

**CORRESPONDENCE DATA**

**Fax Number:** (612)332-9081

***Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***

**Phone:** 612-336-5300
**Email:** kwebber@merchantgould.com
**Correspondent Name:** E. JOSEPH GESS
**Address Line 1:** P.O. BOX 2903
**Address Line 4:** MINNEAPOLIS, MINNESOTA 55402-0903

| | |
|---|---|
| **ATTORNEY DOCKET NUMBER:** | 18032.0015USI3 |
| **NAME OF SUBMITTER:** | E. JOSEPH GESS |
| **SIGNATURE:** | /E. Joseph Gess/ |
| **DATE SIGNED:** | 01/22/2018 |

**Total Attachments: 6**
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# PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment") is made and entered into as of October 20, 2017 ("Effective Date") by and between Traverse Technologies Corp., a Texas corporation ("Assignor"), and, CF Traverse LLC, a Delaware limited liability company ("Assignee"), having a principal place of business at One Market Plaza, Spear Tower, 42nd Floor, San Francisco, CA 94105.

**FOR GOOD AND VALUABLE CONSIDERATION**, the receipt, sufficiency and adequacy of which are hereby acknowledged, ASSIGNOR does hereby:

**SELL, ASSIGN AND TRANSFER** to Assignee the entire right, title and interest in and to in the patents and patent applications listed on the attached Schedule 1 (the "Patents"), all rights in the United States and all foreign countries in and to any and all inventions and improvements disclosed therein, and all divisional, continuing, substitute, renewal, reissue and all other applications for patent or the legal equivalent thereof that have been or may be filed in the United States and all foreign countries relating to any of such inventions and improvements; all original, reexamined and reissued patents that have been or shall be issued in the United States and all foreign countries on such inventions, improvements and applications; specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application made in the United States; and all causes of action pertaining to the foregoing, including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of patent rights and registrations, pertaining solely to the foregoing patent rights.

**AUTHORIZE** the ASSIGNEE to apply for and receive any and all such United States and foreign patents in the name of the ASSIGNEE;

**AUTHORIZE AND REQUEST** the issuing authority to issue any and all United States and foreign patents granted on such inventions, improvements and applications to and in the name of the ASSIGNEE;

**AGREE AND ACKNOWLEDGE** that the SALE, ASSIGNMENT AND TRANSFER of rights and property set forth herein is and shall be IRREVOCABLE and BINDING upon the heirs, assigns, representatives and successors of ASSIGNOR and the successors, assigns and nominees of the ASSIGNEE; and

**COVENANT** to carry out in good faith the intent and purpose of this Assignment and, upon receipt of the prior written request of ASSIGNEE, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications relating to any and all such inventions and improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the ASSIGNEE all facts and provide to the ASSIGNEE all documents and things known and accessible to the undersigned relating to the

rights and property set forth herein and the history thereof, and testify as to the same in any interference, litigation or other proceeding relating thereto; and generally do everything possible to give full effect to and perfect the rights of ASSIGNEE under this Agreement.

This Assignment may be executed in any number of counterparts, each of which shall be deemed as original, but all of which together shall constitute one instrument.

IN WITNESS WHEREOF, ASSIGNOR and ASSIGNEE have executed this Assignment as of the Effective Date.

AGREED AND ACCEPTED BY:

ASSIGNOR: TRAVERSE TECHNOLOGIES CORP.

By: _____

Title: CFO

Date: 10/19/17

State of Colorado
County of Denver

On October 19 2017 before me, Benjamin Z. Arnold, Notary Public, personally appeared Francis P. Knuettel II, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

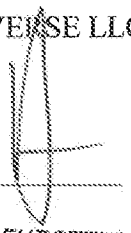
I certify under PENALTY OF PERJURY under the laws of the State of Colorado that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Seal)

BENJAMIN Z. ARNOLD
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20154006463
MY COMMISSION EXPIRES 03/04/2019

ASSIGNEE: CF TRAVERSE LLC

By: 

MARC K. FURSTEIN
Title: CHIEF OPERATING OFFICER

Date: October 20, 2017

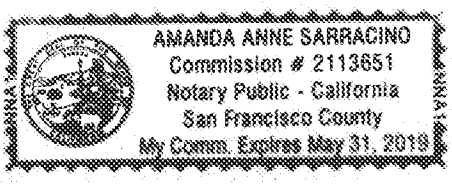
State of California
County of San Francisco

On October 20, 2017 before me, Amanda Anne Sarracino
Notary Public, personally appeared Marc K. Furstein,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Amanda Anne Sarracino (Seal)



Schedule 1

Patents and Patent Applications

| Country | Patent # | APLN # | Issued Date | Expiry Date | Status |
|---|---|---|---|---|---|
| US | 8658310 | 13/670,208 | 02/25/14 | 02/25/28 | Issued-Live |
| US | 8420258 | 12/392,525 | 04/16/13 | 05/23/30 | Issued-Live |
| US | 8481214 | 12/904,113 | 07/09/13 | 01/25/29 | Issued-Live |
| US | 8652683 | 13/670,235 | 02/18/14 | 02/25/28 | Issued-Live |
| US | 9349544 | 13/779,409 | 05/24/16 | 09/23/29 | Issued-Live |
| US | 9362549 | 13/935,334 | 06/07/16 | 07/28/32 | Issued-Live |
| US | | 13/779,571 | | | App-Live |
| US | | 13/779,522 | | | App-Live |
| US | | 13/779,472 | | | App-Live |
| US | 9412998 | 13/725,969 | 08/09/16 | 07/08/30 | Issued-Live |
| US | | 13/868,957 | | | App-Live |
| US | 9705136 | 14/176,137 | 07/11/17 | 5/7/29 | Issued-Live |
| US | | 14/262,497 | | | App-Live |
| US | | 14/262,514 | | | App-Live |
| US | 9431181 | 14/262,528 | 08/30/16 | 09/13/29 | Issued-Live |
| US | | 14/625,372 | | | App-Live |
| US | | 15/096,094 | | | App-Live |
| US | | 61/067,018 | | | App-Dead |
| US | | 61/130,679 | | | App-Dead |
| US | | 61/254,090 | | | App-Dead |
| US | | 61/578,545 | | | App-Dead |
| US | | 61/603,833 | | | App-Dead |
| US | | 61/615,179 | | | App-Dead |
| US | | 61/667,876 | | | App-Dead |
| US | | 61/677,317 | | | App-Dead |
| US | | 61/752,437 | | | App-Dead |
| US | | 61/806,819 | | | App-Dead |
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| US | | 61/887,447 | | | App-Dead |
| US | | 61/904,417 | | | App-Dead |
| US | | 61/910,955 | | | App-Dead |
| US | | 61/941,205 | | | App-Dead |
| US | | 61/978,161 | | | App-Dead |
| US | | 62/077,221 | | | App-Dead |
| CHINA | CN101953014 | 200980106188.5 | 11/05/14 | 02/25/29 | Issued-Live |

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|---|---|---|---|---|---|
| CHINA | CN102044659 | 201010519800.6 | 07/20/16 | 10/22/29 | Issued-Live |
| CHINA | | 201410525768.0 | | | App-Live |
| CHINA | CN104145355 | 201280070082.6 | 09/28/16 | 12/21/31 | Issued-Live |
| CHINA | 104247089 | 201380020549.0 | 11/30/16 | 02/27/22 | Issued-Live |
| CHINA | | 201380035121.3 | | | App-Live |
| CHINA | | 201610681078.3 | | | App-Live |
| CHINA | | CN20161882352 | | | App-Live |
| GERMANY | 1.12009E+11 | 112009000443.1 | 01/20/17 | 02/25/29 | Issued-Live |
| EPO | | 13755702.1 | | | App-Live |
| UNITED KINGDOM | | GB1411191.8 | | | App-Live |
| UNITED KINGDOM | | GB1500515.0 | | | App-Live |
| HONG KONG | | 15102781.2 | | | App-Live |
| JAPAN | 5765942 | 2010-547867 | 06/26/15 | 02/25/29 | Issued-Live |
| JAPAN | | 2010-236771 | | | App-Dead |
| JAPAN | 6082862 | 2014-209155 | 02/03/17 | 02/25/29 | Issued-Live |
| JAPAN | | 2015-075220 | | | App-Dead |
| JAPAN | | 2015-520694 | | | App-Live |
| SOUTH KOREA | 101513384 | 1020137020275 | 04/17/15 | 02/25/29 | Issued-Live |
| SOUTH KOREA | 101307623 | 1020107018725 | 09/12/13 | 02/25/29 | Issued-Live |
| SOUTH KOREA | 101503659 | 1020147025921 | 03/17/15 | 02/25/29 | Issued-Live |
| SOUTH KOREA | | 1020100103245 | | | App-Live |
| SOUTH KOREA | | 1020147019213 | | | App-Live |
| SOUTH KOREA | | 1020147027205 | | | App-Live |
| SOUTH KOREA | | 1020157002572 | | | App-Live |
| SINGAPORE | 11201405271X | 11201405271X | 02/08/17 | 02/27/33 | Issued-Live |
| WO/PCT | | PCT/US2009/035195 | | | App-Dead |
| WO/PCT | | PCT/US2012/071529 | | | App-Dead |
| WO/PCT | | PCT/US2013/028108 | | | App-Dead |
| WO/PCT | | PCT/US2013/049382 | | | App-Dead |
| WO/PCT | | PCT/US2014/011556 | | | App-Dead |