

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT4790838

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Andrew J. Nagengast	01/09/2018
Christopher Heung-Gyun Lee	01/08/2018
Thomas Li	01/08/2018
Anand N. Iyer	01/08/2018
Jie Diao	01/20/2018
Huanbo Zhang	01/09/2018
Erik S. Rondum	01/10/2018
Wei-Cheng Lee	01/14/2018
Jeonghoon Oh	01/08/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	APPLIED MATERIALS, INC.
<b>Street Address:</b>	3050 BOWERS AVENUE M/S 1269
<b>City:</b>	SANTA CLARA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95054
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15658294
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(877)769-7945
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650) 839-5070
<b>Email:</b>	Ruettimann@fr.com, apsi@fr.com
<b>Correspondent Name:</b>	DAVID J. GOREN
<b>Address Line 1:</b>	FISH & RICHARDSON P.C.
<b>Address Line 2:</b>	P.O.BOX 1022
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55440-1022
<b>ATTORNEY DOCKET NUMBER:</b>	05542-0870001
<b>NAME OF SUBMITTER:</b>	JESSICA RUETTIMANN

PATENT

<b>SIGNATURE:</b>	/Jessica Ruettimann/
<b>DATE SIGNED:</b>	01/24/2018
<b>Total Attachments: 5</b> source=023915USA_ExecutedAssignment_05542-0870001#page1.tif source=023915USA_ExecutedAssignment_05542-0870001#page2.tif source=023915USA_ExecutedAssignment_05542-0870001#page3.tif source=023915USA_ExecutedAssignment_05542-0870001#page4.tif source=023915USA_ExecutedAssignment_05542-0870001#page5.tif	

Case # 023915USAL/AGS/AGS\_Spares-CMP/Matthew Balun/05542-0870P01; and  
Case # 023915USA/AGS/AGS\_Spares-CMP/Matthew Balun/05542-0870001; and  
Case # 023915TAIW/AGS/Spares/Matthew Balun/05542-0870TW1; and  
Case # 023915PCT/AGS/Spares/Matthew Balun/05542-0870WO1

### ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

Andrew J. Nagengast 659 Santa Paula Avenue Sunnyvale, CA 94085	Huanbo Zhang 2204 Heritage Drive San Jose, CA 95124
Christopher Heung-Gyun Lee 646 Kiowa Circle San Jose, CA 95123	Erik S. Rondum 572 Colombia Creek Drive San Ramon, CA 94582
Thomas Li 330 Elan Village Ln, Unit 111 San Jose, CA 95134	Wei-Cheng Lee 12100 Old Snakey Rd. Los Altos Hills, CA 94022
Anand N. Iyer 3480 Granda Avenue, Apt. 285 Santa Clara, CA 95051	Jeonghoon Oh 18372 Purdue Drive Saratoga, CA 95070
Jie Diao 4471 Macbeth Circle Fremont, CA 94555	

(hereinafter referred to as Assignors), have invented a certain invention entitled:

#### RETAINING RING FOR CMP

for which a provisional application in the United States was filed on 7/25/2016, under Serial No.  
62/366,550, executed on even date herewith; and

for which application for Letters Patent in the United States was filed on 7/24/2017, under Serial  
No. 15/658,294, executed on even date herewith; and

for which application for Letters Patent in Taiwan was filed on 7/25/2017, under Serial No.  
106124871, executed on even date herewith; and

for which application for Letters Patent in the WIPO was filed on 7/24/2017, under Serial No.  
PCT/US2017/043551, executed on even date herewith; and


WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as the Application), and the invention disclosed therein (hereinafter referred to as the invention), and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as the Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.
2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.
3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

Date: 1/9/2018

  
ANDREW J. NAGEGAST

Date: 1/9/2018

  
CHRISTOPHER HEUNG-GYUN LEE

Date: 1/8/2018<sup>TL</sup>

  
THOMAS LI


Date: 1/8/2018

  
ANAND N. IYER

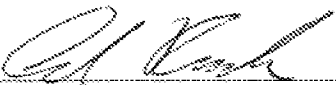
Date: \_\_\_\_\_

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JIE DIAO

Date: 1/9/18

  
\_\_\_\_\_  
HUANBO ZHANG

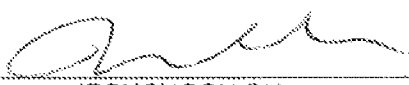
Date: 1/10/2018

  
\_\_\_\_\_  
ERIK S. RONDUM

Date: \_\_\_\_\_

\_\_\_\_\_  
WEI-CHENG LEE

Date: 1/8/18

  
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Date:

JIE DIAO

Date:

1/9/18

JOHANNES ZIMMEL

Date:

1/10/2018

ERIK S. RONDUM

Date:

1/12/2018

WEI-CHENG LEE

Date:

1/8/18

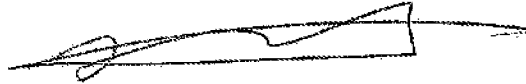
JEFFREY SHOUN OH

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PATENT

REEL: 044713 FRAME: 0137

Date: 1/20/2018



JIE DIAO

Date: \_\_\_\_\_

HUANBO ZHANG

Date: \_\_\_\_\_

ERIK S. RONDUM

Date: \_\_\_\_\_

WEI-CHENG LEE

Date: \_\_\_\_\_

JEONGHOON OH

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