

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4791599

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ASTRAZENECA UK LIMITED	05/06/2015
RECEIVING PARTY DATA		
Name:	ASTRAZENECA AB	
Street Address:	SE-151 85	
Internal Address:	ASTRAZENECA AB	
City:	SODERTALJE	
State/Country:	SWEDEN	
Postal Code:	SE-151 85	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15708634
CORRESPONDENCE DATA		
Fax Number:	(202)842-7899	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	2028427800	
Email:	zpatdcdocketing@cooley.com, ccunningham@cooley.com	
Correspondent Name:	COOLEY LLP	
Address Line 1:	ATTN: PATENT GROUP	
Address Line 2:	1299 PENNSYLVANIA AVENUE, SUITE 700	
Address Line 4:	WASHINGTON, D.C. 20004	
ATTORNEY DOCKET NUMBER:	INMD-132/03US 315953-3513	
NAME OF SUBMITTER:	SANDHYA DEO	
SIGNATURE:	/SANDHYA DEO/	
DATE SIGNED:	01/23/2018	
Total Attachments: 8		
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 14, 2017

PTAS

COOLEY LLP
ATTN: PATENT GROUP
1299 PENNSYLVANIA AVENUE, SUITE 700
WASHINGTON, DC 20004

504454604

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 07/13/2017

REEL/FRAME: 042992/0822

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: INMD-132/02US 315953-3443

ASSIGNOR:

ASTRAZENECA UK LIMITED

DOC DATE: 05/06/2015

ASSIGNEE:

ASTRAZENECA AB

SE-151 85

ASTRAZENECA AB

SODERTALJE, SWEDEN SE-151 85

APPLICATION NUMBER: 15346411

FILING DATE: 11/08/2016

PATENT NUMBER:

ISSUE DATE:

TITLE: CERTAIN

(2S)-N-[(1S)-1-CYANO-2-PHENYLETHYL]-1,4-OXAZEPANE-2-CARBOXAMIDES
AS DIPEPTIDYL PEPTIDASE 1 INHIBITORS

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4501305

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ASTRAZENECA UK LIMITED	05/06/2015
RECEIVING PARTY DATA		
Name:	ASTRAZENECA AB	
Street Address:	SE-151 85	
Internal Address:	ASTRAZENECA AB	
City:	SODERTALJE	
State/Country:	SWEDEN	
Postal Code:	SE-151 85	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15346411
CORRESPONDENCE DATA		
Fax Number:	(202)842-7899	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	2028427800	
Email:	zpatdcdocketing@cooley.com, ccunningham@cooley.com	
Correspondent Name:	COOLEY LLP	
Address Line 1:	ATTN: PATENT GROUP	
Address Line 2:	1299 PENNSYLVANIA AVENUE, SUITE 700	
Address Line 4:	WASHINGTON, D.C. 20004	
ATTORNEY DOCKET NUMBER:	INMD-132/02US 315953-3443	
NAME OF SUBMITTER:	SANDHYA DEO	
SIGNATURE:	/Sandhya Deo/	
DATE SIGNED:	07/11/2017	
Total Attachments: 5		
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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT3353938

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ASTRAZENECA UK LIMITED	05/06/2015
RECEIVING PARTY DATA		
Name:	AstraZeneca AB	
Street Address:	SE-151 85	
Internal Address:	AstraZeneca AB	
City:	Sodertalje	
State/Country:	SWEDEN	
Postal Code:	SE-151 85	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14601371
CORRESPONDENCE DATA		
Fax Number:	(781)839-4121	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	1 781 839 4951	
Email:	patents@astrazeneca.com	
Correspondent Name:	ASTRAZENECA	
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Address Line 4:	WALTHAM, MASSACHUSETTS 02451	
ATTORNEY DOCKET NUMBER:	200167-US-NP	
NAME OF SUBMITTER:	KALLIOPI GANTZOUDIS	
SIGNATURE:	/Kalliopi Gantzoudis/	
DATE SIGNED:	05/14/2015	
Total Attachments: 4		
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ASSIGNMENT OF PATENT RIGHTS

This Assignment of Patent Rights (this "**Assignment**") is made as of the 23rd day of January 2015 (the "**Effective Date**") by and between

- (1) ASTRAZENECA UK LIMITED, a company incorporated in England and Wales under no. 3674842, whose principal place of business is at 2 Kingdom Street, London, England, W2 6BD (the "**Assignor**"); and
- (2) ASTRAZENECA AB, a company incorporated in Sweden under no. 556011-7482, whose principal place of business is at SE-151 85 Södertälje, Sweden (the "**Assignee**").

Recitals

- (A) WHEREAS, Assignor has agreed to assign to Assignee the Assignor's entire right, title and interest and to the patent application(s) identified in the attached Schedule (the "**Patent Application(s)**") and in and to the inventions and improvements disclosed in such Patent Application(s).

Agreement

NOW, THEREFORE, it is hereby agreed as follows:

1 Assignment

In consideration of the payment by Assignee to Assignor of the sum of £1, the receipt of which is hereby acknowledged, Assignor hereby assigns, sells and transfers to Assignee, with full title guarantee free from all and any charges or other third party rights, the following rights (the "**Patent Rights**"):

- 1.1 all of Assignor's entire right, title and interest in and to the Patent Application(s), any rights under the International Convention for the Protection of Industrial Property and all other applicable treaties and conventions, any rights of priority with respect to the Patent Application(s), including any divisional, continuation and continuation-in-part applications claiming, directly or indirectly, the benefit of, or priority to, the Patent Application(s) (and equivalents in countries other than the United States), any patents in any country that may be granted to or on any of the foregoing applications ("**Subsequent Patents**"), any reissues, re-examinations or extensions of such Subsequent Patents (and equivalents in countries other than the United

States), to the full end of the term for which the Subsequent Patents have been granted, reissued, re-examined or extended;

- 1.2 all of Assignor's entire right, title and interest in and to the inventions and improvements disclosed in the Subsequent Patents and Patent Application(s); and
- 1.3 any and all causes of action, claims, demands or other rights occasioned from or because of any and all past and future infringement of any of the Subsequent Patents or Patent Application(s), including all rights to recover damages, profits and injunctive relief for infringement of any of the Subsequent Patents or Patent Application(s).

2 Further Action

Assignor agrees, at the reasonable request of Assignee: (a) to execute and deliver any further documents and to take any further actions reasonably necessary to enable Assignee to become the registered owner of the Patent Rights and to secure the benefits of the rights hereby assigned; and (b) to aid in securing and maintaining patent protection for the Patent Rights throughout the world, including, without limitation, cooperating in any proceedings involving examinations, re-examinations, reissues, opposition and cancellation proceedings, interferences, infringement proceedings, court actions and the like.

3 Recordation

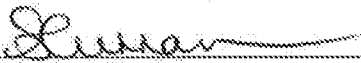
Assignor hereby authorizes the United States Commissioner of Patents and Trademarks and, as appropriate, the corresponding officials of other countries, to record this Assignment.

4 Governing Law and Jurisdiction

The interpretation and construction of this Agreement shall be governed by the laws of England and Wales and the Assignor and Assignee hereby submit to the exclusive jurisdiction of the English courts for any action, suit or proceeding arising out of or relating to this Agreement.

THIS ASSIGNMENT IS EXECUTED by the authorised representatives of the parties and is effective from the date first written above.

Signed for and on behalf of
ASTRAZENECA UK LIMITED

Signature: 

Name: Sally CURRAN


Title: Authorised Signatory

Date: 6 May 2015

Witnessed by: 

Name: TARA COONEY

Signed for and on behalf of
ASTRAZENECA AB (PUBL)

Signature: 

Name : Lucy PADGET

Title : Authorised Signatory

Date: May 6, 2015

Witnessed by: 

Name: TARA COONEY

THE SCHEDULE

THE PATENT APPLICATION(S)					
AstraZeneca Case No:	Application Ref:	Country	Filing Type	Application No. and/or Title of Invention	Filing Date
200167-AR-NP	A	Argentina	National	20150100202	23 Jan 2015
200167-BO-NP	B	Bolivia	National	SP00006-2015	23 Jan 2015
200167-WO-PCT	C	International	PCT	PCT/GB2015/050155	23 Jan 2015
200167-LB-NP	D	Lebanon	National	10549	23 Jan 2015
200167-PY-NP	E	Paraguay	National	2227/2015	27 Jan 2015
200167-PK-NP	F	Pakistan	National	12/2015	8 Jan 2015
200167-TW-NP	G	Taiwan	National	104102345	23 Jan 2015
200167-UY-NP	H	Uruguay	National	35963	23 Jan 2015
200167-US-NP	I	USA	National	14/601371	21 Jan 2015
200167-VE-NP	J	Venezuela	National	000067-2015	23 Jan 2015
200167-BS-NP	K*	Bahamas	National	CERTAIN (2S)-N- [(1S)-1-CYANO-2-PHENYLETHYL]- 1,4-OXAZEPANE-2-CARBOXAMIDES AS DIPEPTIDYL PEPTIDASE I INHIBITORS	Not available yet
200167-JM-NP	L*	Jamaica	National	18/1/5647	07 Jan 2015

Patent Application(s) referenced A to J inclusive claim priority from the following US Provisional Application(s)

Application No.	Filing Date
61/931090	24 January 2014

Patent Application(s) referenced K* and L* do not claim priority