

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RICHARD STRESAU	12/21/2017
RECEIVING PARTY DATA	
Name:	ETP ELECTRON MULTIPLIERS PTY LTD
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15748022
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ATTORNEY DOCKET NUMBER:	E074.0001US1
NAME OF SUBMITTER:	DAVID D. BRUSH
SIGNATURE:	/David D. Brush/
DATE SIGNED:	01/26/2018
Total Attachments: 4	
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IMPORTANT NOTICE

Attorney Docket No.

NOTICE TO:

1. INVENTOR(S) SIGNING THIS APPLICATION
2. PERSONS ASSOCIATED WITH THIS APPLICATION

The Inventor(s) must read and approve the contents of this application, including the Declaration, before signing. A copy of the application is provided, the attorney docket number and title identifying the application, and/or serial number and filing date of the application, are listed on the Declaration.

Under 37, C.F.R. § 1.56, the inventor(s) and each individual substantively involved in the filing and prosecution of this patent application has a duty of candor and good faith in dealing with the U.S. Patent and Trademark Office ("USPTO"), which includes a duty to disclose to the USPTO all information known to that individual to be material to patentability as described below. **NO valid patent will be granted where fraud on the USPTO was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct.** The USPTO encourages you to carefully examine all information that may affect patentability, such as prior publications, prior disclosures to others, prior sales and offers for sale, prior art cited in foreign search reports, and prior uses, to make sure that any material information is disclosed to the USPTO.

Information is material to patentability when it establishes, by itself or in combination with other information, possible unpatentability of the invention, or it refutes, or is inconsistent with, a position the applicant takes in any arguments with the USPTO.

To comply with this duty, disclose material information to us.

In sum, if you know of any pertinent prior uses or publications, or any sales, or offers for sale of similar devices before this application is/was filed with the USPTO, you must let us know. You must let us know this information whether the prior uses, publications, sales or offers for sale were made by you or others, or whether they were made for your invention or another similar device. Further, if you know of prior work on the same or a similar invention by someone other than the inventor(s) signing, you must also disclose this information to us.

If you have any questions, please ask them now. Complete disclosure is not only required, but will increase the likelihood of obtaining enforceable patent protection.

The Declaration must be signed and dated by the inventor(s) in the spaces provided. Please return the signed Declaration to us for filing in the USPTO.

ASSIGNMENT-DECLARATION
FOR PRIORITY APPLICATION ENTERING UNITED STATES

Attorney Docket No.

SPECIFICATION AND INVENTORSHIP IDENTIFICATION

This assignment-declaration is directed to the subject matter which is claimed in the application entitled, APPARATUS AND METHODS FOR FOCUSING ELECTRONS the specification of which having the above title and/or attorney docket number ---:

- is attached hereto, or as previously filed with the USPTO;
- was filed on July 29, 2015, as United States Patent Appln. No. 62/198,216;
- was amended on ---;
- corresponds to Australian Patent Application No. ---;
- corresponds to European Patent Application No. ---, filed on ---;
- was described and claimed as PCT International Application Number PCT/AU2016/050612 and was filed on July 14, 2016 and as amended with any preliminary filed with National Stage Entry

A. ASSIGNMENT

WHEREAS, ETP ELECTRON MULTIPLIERS PTY LTD (hereinafter referred to as Assignee), a company organized and existing under the laws of Australia and having offices at, 2-8 Martha Street, Clyde New South Wales 2142, Australia is desirous of acquiring the entire right, title and interest in and to the above-identified invention(s), the application(s) and any and all Letters Patent(s) or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention(s), the above-identified application(s), all inventions and improvements which are disclosed in the patent applications, all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent(s) which have been or shall be filed in the United States on any of said inventions and/or improvements, all rights of priority resulting from the filing of the above-identified patent application(s), and all Letters Patent or similar legal protection issuing thereon; and I authorize the Director of the United States Patent and Trademark Office to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and serial number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

B. DECLARATION

AUTHORIZATION TO PERMIT ACCESS TO APPLICATION BY PARTICIPATING OFFICE

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual

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FOR PRIORITY APPLICATION ENTERING UNITED STATES

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property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

STATEMENTS

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the United States Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part.

If the above-identified application is to be or was filed on or after September 16, 2012:

I declare that the above-identified application was made or authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

If the above-identified application was filed before September 16, 2012:

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed, and for which a patent is sought, on the subject matter which is claimed in the above-identified application.

I declare that all statements made herein that are of my own knowledge are true and that all statements that are made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

DESIGNATION OF CORRESPONDENCE ADDRESS

Please address all correspondence and telephone calls to **David D. Brush** in care of:

Customer Number
27367

ASSIGNMENT-DECLARATION

Attorney Docket No.

FOR PRIORITY APPLICATION ENTERING UNITED STATES

<u>Richard Stresau</u> Signature of Inventor (1)	<u>Paul Swenington</u> Signature of Witness
Date : <u>21 December / 17</u>	Date : <u>21 Dec 2017</u>
<u>Richard Stresau</u> Printed Name of Inventor	<u>PAUL SWENINGTON</u> Printed Name of Witness
Residence of Inventor: <u>2-8 Martha Street, Clyde, New South Wales 2142,</u>	Residence of Witness: <u>2-8 MARTHA ST</u>
<u>Australia</u>	<u>CLYDE, NEW SOUTH WALES 2142</u>
	<u>AUSTRALIA.</u>
Citizenship: <u>Australian</u>	<u>CITIZENSHIP : AUSTRALIAN, U.K.</u>