

PATENT ASSIGNMENT COVER SHEET

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|---|------------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| MATTEO STAFFARONI | 12/04/2017 |
| KOJI SHIMAZAWA | 12/04/2017 |
| TOBIAS MALETZKY | 12/04/2017 |
| XUHUI JIN | 12/04/2017 |
| YOSHIHIRO TSUCHIYA | 12/04/2017 |
| TSUTOMU CHOU | 12/04/2017 |
| RECEIVING PARTY DATA | |
| Name: | HEADWAY TECHNOLOGIES, INC |
| Street Address: | 678 S. HILLVIEW DRIVE |
| City: | MILPITAS |
| State/Country: | CALIFORNIA |
| Postal Code: | 95035 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 15857847 |
| CORRESPONDENCE DATA | |
| Fax Number: | (845)471-2064 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 8454525863 |
| Email: | debby@saileackerman.com |
| Correspondent Name: | SAILE ACKERMAN LLC |
| Address Line 1: | 28 DAVIS AVENUE |
| Address Line 4: | POUGHKEEPSIE, NEW YORK 12603 |
| ATTORNEY DOCKET NUMBER: | HT17-016/021 |
| NAME OF SUBMITTER: | STEPHEN B ACKERMAN |
| SIGNATURE: | /Stephen B Ackerman/ |
| DATE SIGNED: | 02/07/2018 |
| Total Attachments: 4 | |

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HT17-016/021

For ☒ U.S. and/or ☒ Foreign Rights
For ☒ Application or ☐ U.S. Patent
By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,
ASSIGNOR:

MATTEO STAFFARONI
Type or print name of ASSIGNOR
525 VAN BUREN PLACE
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City, State, Country, Zip
Germany
Nationality

XUHUI JIN
Type or print name of ASSIGNOR
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Street Address
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City, State, Country, Zip
USA
Nationality

hereby sells, assigns and transfers to

ASSIGNEE:

Headway Technologies, Inc.
678 S. Hillview Drive
Milpitas, CA 95035
USA
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

Double Ridge Near-Field Transducers

HT17-016/021

For ☒ U.S. and/or ☒ Foreign Rights
For ☒ Application or ☐ U.S. Patent
By ☒ Inventor(s) or ☐ Present Owner

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ASSIGNOR:

YOSHIHIRO TSUCHIYA

Type or print name of ASSIGNOR

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City, State, Country, Zip

Japan
Nationality

hereby sells, assigns and transfers to

ASSIGNEE:

Headway Technologies, Inc.
678 South Hillview Drive
Milpitas, CA 95035
USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

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in and to any and all improvements which are disclosed in the invention entitled:

Double Ridge Near-Field Transducers

and which is found in

- ☒ (a) U.S. patent application executed on even date herewith
- ☐ (b) U.S. patent application executed on _____
- ☐ (c) U.S. application serial no. _____ filed on _____
- ☐ (d) U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- ☒ (e) and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

[Signature]
Signature of ASSIGNOR

[Signature]
Signature of ASSIGNOR

[Signature]
Signature of ASSIGNOR

[Signature]
Signature of ASSIGNOR

12/04/2017
Date of Signing

12/04/2017
Date of Signing

12/04/2017
Date of Signing

12/04/2017
Date of Signing

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

___Notarization of Legalization Page Added.

and which is found in

- ☒ (a) U.S. patent application executed on even date herewith
- ☐ (b) U.S. patent application executed on _____
- ☐ (c) U.S. application serial no. _____ filed on _____
- ☐ (d) U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- ☒ (e) and any legal equivalent thereof in a foreign country, including the right to claim priority

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IN WITNESS WHEREOF, I / We have signed

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Yoshihiro Tsuchiya
Signature of ASSIGNOR

Tsutomu Chou
Signature of ASSIGNOR

12/09/2017
Date of Signing

12/09/2017
Date of Signing

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

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___Notarization of Legalization Page Added.