504767306 02/07/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4814034

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Execution Date
UNIVERSITE PIERRE ET MARIE CURIE (PARIS 6)	04/23/2017

RECEIVING PARTY DATA

Name:	SORBONNE UNIVERSITE	
Street Address:	21, RUE DE L'ECOLE DE MEDECINE	
City:	PARIS	
State/Country:	FRANCE	
Postal Code:	75006	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15744194

CORRESPONDENCE DATA

Fax Number: (703)685-0573

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7035212297

Email: DCashion@young-thompson.com

Correspondent Name: YOUNG & THOMPSON Address Line 1: 209 MADISON STREET

Address Line 2: SUITE 500

Address Line 4: ALEXANDRIA, VIRGINIA 22314

ATTORNEY DOCKET NUMBER:	0600-1661
NAME OF SUBMITTER:	ERIC JENSEN
SIGNATURE:	/Eric Jensen/
DATE SIGNED:	02/07/2018

Total Attachments: 3

source=Sorbonne_Universite_EN_Document#page1.tif source=Sorbonne_Universite_EN_Document#page2.tif source=Sorbonne_Universite_EN_Document#page3.tif

PATENT 504767306 REEL: 044855 FRAME: 0327

Decrees, orders, circulars

GENERAL BILLS

MINISTRY FOR PRIMARY, SECONDARY AND HIGHER EDUCATION AND RESEARCH

Decree 2017-596 of April 21, 2017 concerning the creation of "Sorbonne Université"

NOR: MENS1705589D

Public affected: Users and employees of the Paris-IV and Paris-VI universities

Purpose: The creation of a public institution of a scientific, cultural and professional nature (French acronym EPSCP) known as "Sorbonne Université" and combining Paris-IV and Paris-VI Universities

Effective date: The new institution will replace the two pre-existing universities starting January 1, 2018. The necessary transitional measures, particularly those establishing a structure of governance for the new institution, shall become effective the day following the publication of this decree.

Note: The decree provides that Sorbonne Université shall carry out all of the functions carried out by its constituent universities, Paris-IV and Paris-VI. The transitional measures of the decree provide the manner in which the bylaws and budget shall be adopted by Sorbonne Université and how the institution shall be governed internally. The assets, rights and obligations and employee contracts of Paris-IV and Paris-VI are transferred to Sorbonne Université. Likewise, agents previously assigned to those institutions are assigned to Sorbonne Université. Lastly, the students enrolled in these two universities shall be enrolled in Sorbonne Université.

References: The decree can be consulted online at Légifrance (http://www.legifrance.gouv.fr).

The Prime Minister.

Acting on the report of the Minister for Primary, Secondary and Higher Education and Research,

In view of the Primary and Secondary Education Code, particularly Articles L. 711-4, L. 712-4, L. 718-6, D.

711-1 and D 719-1

In view of Law 2013-660 of July 22, 2013, amended, concerning higher education and research,

In view of Decree 70-1290 of December 23, 1970 constituting the Paris-VI and Paris-X universities as public institutions of a scientific and cultural nature,

In view of the Decree of December 23, 1970 constituting universities and national polytechnic institutes as public institutions of a scientific and cultural nature.

In view of Decree 86-83 of January 17, 1986, amended, concerning the general provisions pertaining to agents of the French State contracted pursuant to Article 7 of Law 84-16 of January 11, 1984 governing the status of personnel in the French Civil Service,

In view of Decree 99-272 of April 06, 1999, amended, regarding the non-academic personnel committees (commissions paritaires d'établissement) of public institutions of higher learning,

In view of Decree 2011-184 of February 15, 2011 regarding civil service committees (*comités techniques*) in the administrative offices and public institutions of the French State,

In view of the opinions of the civil service committees of the Paris-IV and the Paris-VI universities,

In view of the opinion of the National Council on Higher Education and Research dated February 21, 2017

And in view of the deliberations of the boards of governors of the Paris-IV and the Paris-VI universities,

hereby decrees:

Article 1: — Sorbonne Université is a public institution of a scientific, cultural and professional nature constituted in the form of a university as defined in Article L.711-2 of the Education Code. It is subject to the Education Code and its enforcing texts, with provision for the departures contemplated under Article L.711-4 of that code.

Article 2: — Sorbonne Université shall carry out all of the functions carried out by its constituent universities, Paris-IV and Paris-VI.

The assets, rights and obligations of the two universities shall be assigned to the new institution on the date this decree takes effect.

Agents previously assigned to those institutions shall be assigned to Sorbonne Université.

PATENT REEL: 044855 FRAME: 0328 The students enrolled in Paris-IV and Paris-VI shall be enrolled in Sorbonne Université.

Article 3: — In a departure from Article L. 719-1 of the Education Code, in the election of representatives of teaching-research faculty and similar personnel, of teachers and researchers as well as representatives of students and persons receiving continuing education on the board of governors, each ballot shall give representation to the three major educational branches referred to in Article L.712-4 of the Education Code.

Article 4: — There shall be instituted within Sorbonne Université a provisional constitutive assembly including:

- 1. The 36 governors sitting on the board of governors of Paris-IV University
- 2. The 28 governors sitting on the board of governors of Paris-VI University, plus
- 2 representatives of professors and similar personnel
- 2 representatives of the other teaching-research faculty, researchers and similar personnel
- 2 representatives of engineering, administrative, technical and library personnel,
- And 2 student representatives.

The President of Paris-VI University, after consulting with his or her board of governors, shall designate these representatives from among the candidates not elected in the voting on February 16 and 17, 2016 and, with respect to students, from among the alternates or, in the absence of alternates, from among the candidates not elected in that vote.

Until the governance bodies provided in Article L.712-1 of the Education Code have been put in place, this assembly shall exercise the powers of those bodies.

This assembly shall, in the manner prescribed by Article L.711-7 of the Education Code, adopt the bylaws of the institution, which shall be forwarded to the minister responsible for higher education within three months of the publication of this decree.

If the bylaws of Sorbonne Université are not adopted within that period, they shall be drawn up by the minister responsible for higher education.

Article 5: — Until the President of Sorbonne Université has been elected in the manner provided by Article L.712-2 of the Education Code, the presidency of the institution shall be held by an acting administrator named by the Rector of the Académie de Paris, who is Chancellor of the universities. The acting administrator shall have the powers of the university president granted by the same Article.

He or she shall call and chair the provisional constitutive assembly and before December 31, 2017 shall organize the elections to the various councils of the institution.

The personnel and users of Paris-IV and Paris-VI Universities shall be able to vote and to be elected, in the manner prescribed by Articles D.71902 to D.719-40 of the Education Code.

The acting administrator shall chair the meeting called to elect the first president of Sorbonne Université. Should the acting administrator himself or herself be a candidate for the presidency of the university, the eldest elected member of the board of governors shall chair the meeting.

- **Article 6:** The councils and directors of components of Paris-IV and Paris-VI shall remain in office and continue to carry out their duties until the end of their respective terms.
- The councils and directors of shared services of Paris-IV and Paris-VI shall remain in office until the installation of new councils and the appointment or election of new directors of the university's shared services.
- **Article 7:** The financial statements of Paris-IV and Paris-VI Universities for the year 2017 shall be prepared by the respective accounting agents employed at the time each university was eliminated. They shall be approved by the board of governors of Sorbonne Université.

The provisional constitutive assembly shall adopt Sorbonne Université's budget for 2018, prepared by the acting administrator.

Article 8: — Until the installation of the civil service committee, the advisory committee on personnel (commission consultative paritaire) and the non-academic personnel committee in accordance with the aforementioned decrees of February 15, 2011, January 17, 1986 and April 6, 1999, these committees shall be composed of representatives of the institution and of the individuals on the respective civil service committees, advisory committees on personnel and non-academic personnel committees of Paris-IV and Paris-VI universities.

The acting administrator, and subsequently the President of Sorbonne Université, once elected, shall call and chair the meetings of these committees. He or she shall sit on the personnel committees, ex officio and with a vote.

The the civil service committee, the advisory committee on personnel and the non-academic personnel committee shall be constituted in accordance with the aforementioned Decrees of February 15, 2011, January 17, 1986 and April 06, 1999 upon the earliest nation-wide professional elections following the creation of Sorbonne Université.

Article 9: — I. — In Article 1 of Decree 70-1290 of December 23, 1970 cited above, the words: "Paris-VI and Paris-X Universities shall be established as public institutions of a scientific and cultural nature," are replaced with the words: "Paris-X University shall be established as a public institution of a scientific and cultural nature."

In Article 1 of the Decree of December 23, 1970 constituting universities and national polytechnic institutes as public institutions of a scientific and cultural nature, the words: "Paris-IV" are deleted.

PATENT REEL: 044855 FRAME: 0329 II. - Decree 70-473 of June 5, 1970 concerning elections to the provisional constitutive assembly of Paris-IV University and Decree 70-475 of June 5, 1970 concerning elections to the provisional constitutive assembly of Paris-VI University are repealed.

Article 10: — In I of Article D.711-1 of the Education Code, the words: "50. Paris-IV" are replaced with the words: "50. Sorbonne Université," and the words: "52. Paris-IV" are deleted.

Article 11: — Articles 2, 9 and 10 take effect January 1, 2018.

Article 12: — The Minister For Primary, Secondary And Higher Education And Research, the Minister of the Economy and Finance, the Secretary of State for Higher Education and Research and the Secretary of State for the Budget and Public Accounts are charged with implementing this decree in their respective areas, and the decree shall be published in the *Journal Officiel de la République Française*.

Given April 21, 2017

By the Prime Minister:

BERNARD CAZENEUVE

Minister For Primary, Secondary And Higher Education And Research NAJAT VALLAUD-BELKACEM

Minister of the Economy and Finance, MICHEL SAPIN

Secretary of State for Higher Education and Research, THIERRY MANDON

Secretary of State for the Budget and Public Accounts CHRISTIAN ECKERT

PATENT REEL: 044855 FRAME: 0330

RECORDED: 02/07/2018