

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4753940

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
BERGENBIO AS	02/16/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BERGENBIO ASA
<b>Street Address:</b>	JONAS LIES VEI 91
<b>City:</b>	BERGEN
<b>State/Country:</b>	NORWAY
<b>Postal Code:</b>	5009
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	12735222
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(510)337-7876
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	510-337-7871
<b>Email:</b>	info@quinelaw.com
<b>Correspondent Name:</b>	QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.
<b>Address Line 1:</b>	2033 CLEMENT AVENUE
<b>Address Line 2:</b>	SUITE 200
<b>Address Line 4:</b>	ALAMEDA, CALIFORNIA 94501
<b>ATTORNEY DOCKET NUMBER:</b>	126-000110US
<b>NAME OF SUBMITTER:</b>	PAUL LITTLEPAGE
<b>SIGNATURE:</b>	/Paul Littlepage/
<b>DATE SIGNED:</b>	12/28/2017
<b>Total Attachments: 31</b>	
source=126-000110US_Attorney_Statement_for_Name_Change_Recordation#page1.tif	
source=126-000110US_BerGenBio_ASA_Change_of_Name_Commercial_Reg_Extract#page1.tif	
source=126-000110US_BerGenBio_ASA_Change_of_Name_Commercial_Reg_Extract#page2.tif	
source=126-000110US_BerGenBio_ASA_Change_of_Name_Commercial_Reg_Extract#page3.tif	
source=126-000110US_BerGenBio_ASA_Change_of_Name_Commercial_Reg_Extract#page4.tif	

source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page5.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page6.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page7.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page8.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page9.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page10.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page11.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page12.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page13.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page14.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page15.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page16.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page17.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page18.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page19.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page20.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page21.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page22.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page23.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page24.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page25.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page26.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page27.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page28.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page29.tif  
source=126-000110US\_BerGenBio\_ASA\_Change\_of\_Name\_Commercial\_Reg\_Extract#page30.tif

**STATEMENT TO ACCOMPANY RECORDATION OF NAME CHANGE DOCUMENT**

Appl. No.	:	12/735,222	Confirmation No.	2687
Applicant	:	BerGenBio AS	Docket No.:	126-000110US
Inventor:		David Micklem, et al.	Customer No.:	22798
Filed	:	September 8, 2010		
Patent No.	:	8,735,064		

UNITED STATES PATENT AND TRADEMARK OFFICE  
Assignment Recordation Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby declare that the information on the attached document from the Bronnoysundregistrene Registry of the Norwegian government, which memorializes the name change of the Applicant from "BerGenBio AS" to "BerGenBio ASA" on page 3, is true and accurate to the best of my knowledge and belief, and that I understand this statement is subject to penalty for perjury.

QUINE INTELLECTUAL PROPERTY LAW GROUP  
2033 Clement Ave , Alameda, CA 94501  
Tel: 510 337-7871  
Fax: 510 337-7877  
PTO Customer No.: **22798**  
Deposit Account No.: **50-0893**

Respectfully submitted,  
  
/Paul Littlepage/  
  
Paul Littlepage  
Reg. No. 48,581  
December 28, 2017

## Announcements

Announcement for:

.....  
**Organization number** 992219688 (BerGenBio ASA)  
.....

### **Amendment of the Articles of Association Date**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**Statutory Date :** 03/22/2017

### **Business Enterprises 04/06/2017**

#### **Change of capital**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**capital :** nOK 4,974,220.00

### **Business Enterprises 04/06/2017**

.....  
**approved financial statements**

The Register has the 03.24.2017 received and approved the financial statements for fiscal year 2016 from:

Name / Company **BerGenBio ASA**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: BERGEN

Legal entity: Public limited

The financial statements comprises period from 01.01.2016 to 31.12.2016. The company is in this period is not registered as the parent company in the group.

**The Register 25.03.2017**

---

**Authorization capital increase**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**Date given :** 03/22/2017  
**Date expires :** 06/30/2018  
**Max. capital increase**  
: nOK 329,340.00

**Business Enterprises 24/03/2017**

**Amendment of the Articles of Association Date**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**Statutory Date :** 03/22/2017

**Business Enterprises 24/03/2017**

---

**Amendment of the Articles of Association Date**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**Statutory Date :** 02/16/2017

**Business Enterprises 13/03/2017**

**Change of capital**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**capital :** nOK 3,374,220.00

**Business Enterprises 13/03/2017**

.....  
**Change of company name**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**New company name**

**:** **BerGenBio ASA**

**Business Enterprises 17/02/2017**

**Amendment of the Articles of Association Date**

Company name: **BerGenBio ASA**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Public limited

**Statutory Date :** 02/16/2017

**Business Enterprises 17/02/2017**

---

### Change of control

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

### Control :

Chairman: Stein Annexstad, Gazebo Braten 14, 1383 ASKER

Director: Jon Øyvind Eriksen  
Stener Kvinnsland  
Sveinung Hole  
Kari Grønås  
Susan Elizabeth Foden  
Hilde Furberg

### Business Enterprises 01/02/2017

---

### Amendment of the Articles of Association Date

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 06/21/2016

### Business Enterprises 11/07/2016

### Change of capital

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 3,369,220.00

#### **Business Enterprises 11/07/2016**

##### **approved financial statements**

The Register has the 08.07.2016 received and approved the financial statements for fiscal year 2015 from:

Name / Company     **BerGenBio AS**  
name:  
Organization number: 992219688  
Address:             Jonas Lies vei 91  
                           5009 BERGEN  
Municipality:       BERGEN  
Legal entity:         Limited company

The financial statements comprises period from 01.01.2015 until 31.12.2015. The company is in this period is not registered as the parent company in the group.

#### **The Register 11.07.2016**

---

##### **Amendment of the Articles of Association Date**

Company name:     **BerGenBio AS**  
Organization number: 992219688  
Address:             Jonas Lies vei 91  
                           5009 BERGEN  
Municipality:       1201 BERGEN  
Legal entity:         Limited company

**Statutory Date :**    02/18/2016

#### **Business Enterprises 17/03/2016**

##### **Change of capital**

Company name:     **BerGenBio AS**  
Organization number: 992219688  
Address:             Jonas Lies vei 91  
                           5009 BERGEN  
Municipality:       1201 BERGEN  
Legal entity:         Limited company

**capital :**            nOK 2,931,720.00

#### **Business Enterprises 17/03/2016**

---



## Amendment of the Articles of Association Date

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 02/18/2016

## Business Enterprises 10/03/2016

### Change of capital

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 2,922,470.00

## Business Enterprises 10/03/2016

---

### Change of control

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

### Control :

Chairman: Hilde Furberg, Marktstraat 7A 1411 CX Naarden,  
Netherlands

Director: Jon Øyvind Eriksen  
Stener Kvinnsland  
Sveinung Hole  
Kari Grønås  
Stein Annexstad  
Susan Elizabeth Foden

**Business Enterprises 17/02/2016**

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 02/01/2016

**Business Enterprises 17/02/2016**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 2,916,740.00

**Business Enterprises 17/02/2016**

**Rights Shares**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 02/01/2016

**Deadline for use :** 06/30/2016

**Max. capital increase**

**:** nOK 437,500.00

**Business Enterprises 17/02/2016**

---

**Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 06/22/2015  
**Date expires :** 06/22/2017  
**Max. capital increase**  
:  
nOK 329,340.00

**Business Enterprises 16/09/2015**

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 08/18/2015

**Business Enterprises 16/09/2015**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 2,479,240.00

**Business Enterprises 16/09/2015**

---

**Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Director: John Barrie Ward  
Jon Øyvind Eriksen  
David Ian Wilson  
Hilde Furberg  
Stener Kvinnsland

**Business Enterprises 11/09/2015**

---

**convertible loan**

Upon registration of a convertible loan 17/12/2014 was only part of the decision notified. This registration replaces previous registration.

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date of borrowing :** 09/01/2014  
**Deadline for conversion :** 08/01/2019  
**Max. capital increase**  
: nOK 135,720.00

**Business Enterprises 01/09/2015**

---

**Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688

Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Director: John Barrie Ward  
Jon Øyvind Eriksen  
David Ian Wilson  
Hilde Furberg

**Business Enterprises 07/07/2015**

**Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 06/22/2015  
**Date expires :** 06/22/2017  
**Max. capital increase**  
: nOK 329,340.00

**Business Enterprises 07/07/2015**

---

**approved financial statements**

The Register has the 01.07.2015 received and approved the financial statements for fiscal year 2014 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2014 until 31.12.2014. The company is in this period is not registered as the parent company in the group.

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 16/12/2014

**Business Enterprises 29/12/2014**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 2,415,180.00

**Business Enterprises 29/12/2014**

---

**convertible loan**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date of borrowing :** 09/01/2014

**Deadline for**

**conversion :** 08/01/2019

**Max. capital increase**

**:** nOK 57,410.00

**Business Enterprises 17/12/2014**

---

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 27/11/2014

**Business Enterprises 12/08/2014**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 2,357,770.00

**Business Enterprises 12/08/2014**

---

**Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 24/11/2014

**Date expires :** 06/30/2015

**Max. capital increase**

**:** nOK 648,650.00

**Business Enterprises 26/11/2014**

---

**Change of address**

Company name : **BerGenBio AS**  
Identification : 992 219 688  
Business Address : Jonas Lies vei 91  
5021 BERGEN  
Municipality : 1201 BERGEN  
Form of organization : Limited company

New business address Jonas Lies vei 91  
:  
5009 BERGEN  
Municipality : 1201 BERGEN

**Business Enterprises 25/09/2014**

---

**Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Vice-president: Sveinung Hole

Director: John Barrie Ward  
Hans Ivar Robinson  
Leif Rune Rinnan  
Jon Øyvind Eriksen  
David Ian Wilson  
Kåre Rommetveit

deputy: Ann-Tove Kongsnes

**Business Enterprises 23/07/2014****Amendment of the Articles of Association Date**



Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 06/19/2014

#### **Business Enterprises 23/07/2014**

##### **Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 06/19/2014

**Date expires :** 06/19/2016

**Max. capital increase**

: nOK 240,000.00

#### **Business Enterprises 23/07/2014**

---

##### **approved financial statements**

The Register has the 06.20.2014 received and approved the financial statements for fiscal year 2013 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2013 to 31.12.2013. The company is in this period is not registered as the parent company in the group.

#### **The Register 25.06.2014**

---

##### **Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 01/28/2014

**Business Enterprises 02/04/2014**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 1,795,620.00

**Business Enterprises 02/04/2014**

---

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 03/01/2014

**Business Enterprises 01/02/2014**

---

**Change of signature**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN

Legal entity: Limited company

**signature :** CEO and Chairman of the Board jointly or the chief executive and deputy chairman jointly.

#### **Business Enterprises 01/09/2014**

---

#### **Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

#### **Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Vice-president: Sveinung Hole

Director: John Barrie Ward  
Hans Ivar Robinson  
Leif Rune Rinnan  
Jon Øyvind Eriksen  
David Ian Wilson

deputy: Rianne Antonia W Vogels  
Ann-Tove Kongsnes

#### **Business Enterprises 01/09/2014**

#### **Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91  
5021 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Date given :** 06/20/2013

**Date expires :** 06/20/2015

#### **Max. capital increase**

: nOK 120,000.00

**Business Enterprises 01/09/2014**

---

**correction**

**Correction of business address**

Company name : **BerGenBio AS**  
Identification : 992 219 688  
Business Address : 5 Jonas Lies vei 91  
5021 BERGEN  
Municipality : 1201 BERGEN  
Form of organization : Limited company

New business address Jonas Lies vei 91  
:  
5021 BERGEN  
Municipality : 1201 BERGEN

**Business Enterprises 16/10/2013**

---

**Change of address**

Company name : **BerGenBio AS**  
Identification : 992 219 688  
Business Address : 5 Jonas Lies vei 91  
5009 BERGEN  
Municipality : 1201 BERGEN  
Form of organization : Limited company

New business address 5 Jonas Lies vei 91  
:  
5021 BERGEN  
Municipality : 1201 BERGEN

**Business Enterprises 10/04/2013**

---

**approved financial statements**

The Register has the 30.08.2013 received and approved the financial statements for fiscal year 2012 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: 5 Jonas Lies vei 91  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2012 until 31.12.2012. The company is in this period is not registered as the parent company in the group.

#### **The Register 10.09.2013**

---

##### **Change of signature**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**signature :** CEO and Chairman of the Board jointly.

#### **Business Enterprises 25/06/2013**

---

##### **Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Statutory Date :** 04/19/2013

#### **Business Enterprises 21/06/2013**

##### **Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**capital :** nOK 1,122,970.00

**Business Enterprises 21/06/2013**

---

**Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: 1201 BERGEN  
Legal entity: Limited company

**Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Vice-president: Sveinung Hole

Director: John Barrie Ward  
Hans Ivar Robinson  
Leif Rune Rinnan  
Jon Øyvind Eriksen

deputy: Rianne Antonia W Vogels  
Ann-Tove Kongsnes

**Business Enterprises 19/06/2013**

---

**approved financial statements**

The Register has the 09.07.2012 received and approved the financial statements for fiscal year 2011 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2011 to 31.12.2011. The company is in this period is not registered as the parent company in the group.

**The Register 16.07.2012**

---

**Change of control**

Company name: **BerGenBio AS**

Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Control :**

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Vice-president: Sveinung Hole

Director: Heidi Pavliska  
Hans Ivar Robinson  
Leif Rune Rinnan  
Jon Øyvind Eriksen

deputy: Rianne Antonia W Vogels  
Ann-Tove Kongsnes

**Business Enterprises 10/05/2012**

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 30/01/2012

**Business Enterprises 10/05/2012**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 769,670.00

**Business Enterprises 10/05/2012**

**Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Date given :** 30/01/2012  
**Date expires :** 01/30/2014  
**Max. capital increase**  
:  
nOK 80,000,00

**Business Enterprises 10/05/2012**

---

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 21/12/2011

**Business Enterprises 16/01/2012**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 760,250.00

**Business Enterprises 16/01/2012**



---

### Change of control

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

### Control :

Chairman: Susan Elizabeth Foden, Flat 1, Newlands Court 1A  
Staverton Road OX2 6XH Oxford, United Kingdom

Vice-president: Sveinung Hole

Director: Hans Johan Hekland  
Heidi Pavliska  
James Bradley Lorens  
Hans Ivar Robinson  
Leif Rune Rinnan

### Business Enterprises 15.12.2011

---

### Amendment of the Articles of Association Date

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 05/07/2011

### Business Enterprises 20/10/2011

### Change of capital

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 416,490.00

#### **Business Enterprises 20/10/2011**

---

#### **Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

#### **Control :**

Chairman: Sveinung Hole, Grendatunvegen 29 E, 5259 HJELLESTAD  
  
Director: Hans Johan Hekland  
Heidi Pavliska  
Anders Haugland  
James Bradley Lorens  
Susan Elizabeth Foden

#### **Business Enterprises 09/09/2011**

---

#### **Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 14/06/2011

#### **Business Enterprises 11/08/2011**

#### **Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688

Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 251,630.00

#### **Business Enterprises 11/08/2011**

---

#### **approved financial statements**

The Register has the 11.07.2011 received and approved the financial statements for fiscal 2010 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2010 until 31.12.2010. The company is in this period is not registered as the parent company in the group.

#### **The Register 18.07.2011**

---

#### **Change of control**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

#### **Control :**

Chairman: Anders Haugland, Midtbø Road 21, 5200 OS

Director: Sveinung Hole  
Hans Johan Hekland  
Heidi Pavliska  
James Bradley Lorens

#### **Business Enterprises 01/06/2011**

#### **Authorization capital increase**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Date given :** 07/03/2011  
**Date expires :** 07/03/2013  
**Max. capital increase**  
:  
NOK 60,000.00

**Business Enterprises 01/06/2011**

---

**Amendment of the Articles of Association Date**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 21/09/2010

**Business Enterprises 19/10/2010**

**Change of capital**

Company name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 231,630.00

**Business Enterprises 19/10/2010**

---

**approved financial statements**

The Register has the 29.06.2010 received and approved the financial statements for fiscal 2009 from:

Name / Company     **BerGenBio AS**  
name:  
Organization number: 992219688  
Address:             Jonas Lies vei 91 5th floor.  
                          5009 BERGEN  
Municipality:       BERGEN  
Legal entity:         Limited company

The financial statements comprises period from 01.01.2009 to 31.12.2009. The company is in this period is not registered as the parent company in the group.

**The Register 03.07.2010**

---

**Amendment of the Articles of Association Date**

Name / business  
name:                **BerGenBio AS**  
Organization number: 992219688  
Address:             Jonas Lies vei 91 5th floor.  
                          5009 BERGEN  
Municipality:       BERGEN  
Legal entity:         Limited company

**Statutory Date :**     19/03/2010

**Business Enterprises 15/06/2010**

**Change of capital**

Name / business  
name:                **BerGenBio AS**  
Organization number: 992219688  
Address:             Jonas Lies vei 91 5th floor.  
                          5009 BERGEN  
Municipality:       BERGEN  
Legal entity:         Limited company

**capital :**             nOK 198,540.00

**Business Enterprises 15/06/2010**

---

**Amendment of the Articles of Association Date**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 10/02/2010

**Business Enterprises 24/02/2010**

**Change of capital**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 158,830.00

**Business Enterprises 24/02/2010**

---

**Amendment of the Articles of Association Date**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Statutory Date :** 30/10/2009

**Business Enterprises 02/12/2009**

**Change of capital**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688

Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 141,710.00

**Business Enterprises 02/12/2009**

---

**Change of CEO**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**CEO :** Richard Stuart Godfrey

**Business Enterprises 17/09/2009**

---

**approved financial statements**

The Register has the 13.08.2009 received and approved a new version of the financial statements for fiscal year 2008 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 01.01.2008 to 31.12.2008. The company is in this period is not registered as the parent company in the group.

**The Register 18.08.2009**

---

**approved financial statements**

The Register has the 29.06.2009 received and approved the financial statements for fiscal year 2008 from:

Name / Company **BerGenBio AS**  
name:  
Organization number: 992219688

Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

The financial statements comprises period from 21.12.2007 to 31.12.2008. The company is in this period is not registered as the parent company in the group.

**The Register 04.07.2009**

---

**Change of capital**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**capital :** nOK 116,680.00

**Business Enterprises 31/01/2009**

**Authorization capital increase**

Name / business  
name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**Date given :** 16/09/2008  
**Date expires :** 16/09/2010  
**Max. capital increase**  
: nOK 16,670.00

**Business Enterprises 31/01/2009**

---

**correction**

**Correction of business address**



Name / business name: **BerGenBio AS**  
Organization number: 992219688  
Address: Jonas Liesvei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN  
Legal entity: Limited company

**New business address :** Jonas Lies vei 91 5th floor.  
5009 BERGEN  
Municipality: BERGEN

#### **Business Enterprises 01.04.2008**

---

#### **New registration**

##### **Name / business**

**name :** **BerGenBio AS**  
**Identification :** 992 219 688  
**Business Address :** Jonas Liesvei 91 5th floor.  
5009 BERGEN  
**Municipality :** BERGEN  
**Form of organization**  
:  
Limited company

**Statutory Date :** 21/12/2007

**purpose :** Research and development in biotechnology with a focus on new pharmaceutical terpeutika.

**capital :** nOK 100,000.00

**CEO :** James Bradley Lorens

##### **Control :**

**Chairman :** Anders Haugland, Midtbø Road 21, 5200 OS

**Director :** James Bradley Lorens  
Arne Sivert Svindland

**auditor :** Org.nr 976389387 ERNST & YOUNG AS Christian  
Frederiks plass 6, 0154 OSLO

**signature :** The Chairman alone.  
CEO alone.

#### **Business Enterprises 31/01/2008**