# 504787724 02/21/2018

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT		
CONVEYING PARTY D	ΔΤΔ			
		Name	Execution Date	
MICHAEL JOHN CARR			03/03/2016	
<b>RECEIVING PARTY DA</b>	TA			
Name:	GLAXOSMITHKLINE LLC			
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City:	WILMINGT	WILMINGTON		
State/Country:	DELAWAR	DELAWARE		
Postal Code:	19808	19808		
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PROPERTY NUMBERS	Total: 1			
Property Type		Number		
Application Number:	155	52475		
CORRESPONDENCE D				
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Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NUNAME OF SUBMITTER: SIGNATURE:	pate BAK 2929 CIR PHII	ents@bakerlaw.com KER & HOSTETLER LLP 9 ARCH STREET A CENTRE, 12TH FLOOR LADELPHIA, PENNSYLVANIA 19104 108983.000024 HEATHER LUNCEFORD /Heather Lunceford/		
Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 3	pate BAK 2929 CIR PHII <b>JMBER:</b>	ents@bakerlaw.com KER & HOSTETLER LLP 9 ARCH STREET A CENTRE, 12TH FLOOR LADELPHIA, PENNSYLVANIA 19104 108983.000024 HEATHER LUNCEFORD /Heather Lunceford/ 02/21/2018		
Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 3 source=Assignment - Exe	pate BAK 2929 CIR PHII JMBER:	ents@bakerlaw.com KER & HOSTETLER LLP 9 ARCH STREET A CENTRE, 12TH FLOOR LADELPHIA, PENNSYLVANIA 19104 108983.000024 HEATHER LUNCEFORD /Heather Lunceford/		

#### PB65858

## ASSIGNMENT

WHEREAS, I/WE, Michael John CARR, a citizen of Australia and the United States of America, of King of Prussia, Pennsylvania, 19406-0939, United States of America, (hereinafter called "the inventor(s)") have invented or discovered certain improvements in "Neuromodulation Device" (hereinafter referred to as "the invention and improvements") for which priority application 62/119998 was filed on 24 February 2015 in the United States, and for which a PCT international application PCT/US2016/019234 was filed on 24 February 2016, designating the United States of America.

WHEREAS, GLAXOSMITHKLINE LLC, a company incorporated in the State of Delaware, United States of America, whose registered address is 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808, United States of America, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements, including the right to claim priority, and in and to and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions, and

WHEREAS, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England, whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE LLC the whole right, title and interest in and to the invention and improvements and in and to the application, and

WHEREAS, GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED, a company incorporated in England, whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring the whole right, title and interest in and to the invention and improvements and in and to any applications for the invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we, Michael John CARR, for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said GLAXOSMITHKLINE LLC, throughout the United States of America, its territories and possessions, and in and to said application, and any extensions, rejssues,

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continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America, including any priority rights under the International Convention,

AND, my/our whole right, tille and interest in and to said invention and improvements to GLAXOSMITHKLINE LLC, in all other countries throughout the world, and in end to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

GLAXOSMITHKLINE LLC, in turn, hereby assigns and transfers to GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and improvements and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof, and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, in turn, hereby assigns and transfers to GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and improvements and in and to the application including the right to claim priority from the application, continuation or re-issue thereof, and transfers to GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and improvements and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof,

AND I/we, the inventor(s) and GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED;

AND GLAXOSMITHKLINE SERVICES UNLIMITED and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED hereby, and i/we, the inventor(s), for myself/ourselves and my/our respective executors and legal representatives hereby, agree at the request and cost of GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually

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secure to and vest in GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED, its successors and assigns, the whole right, title and interest in and to the invention and improvements and in and to the application hereby assigned and transferred in all countries, including the United States of America, its territories and possessions.

IN WITNESS whereof and with effect from the 24 February 2015, the inventor(s) and the Attorney & Authorised Official of GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED have hereunto set their respective hands.

Inventor Name	Signature	Date	
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Michael John CARR:	1 110 2000	3 Miner 2016	
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SIGNED by Edword Omm As the Attorney & Authorised Official of GLAXOSMITHKLINE LLC and GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXOSMITHKLINE INTELLECTUAL PROPERTY DEVELOPMENT LIMITED:

Signátu

3/12/16 Date:

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#### **RECORDED: 02/21/2018**