

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4766090

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the SIGNATURE DATE previously recorded on Reel 044446 Frame 0947. Assignor(s) hereby confirms the CHANGE OF SIGNATURE DATE OF INVENTOR SHI WAN ZHAO FROM 06/13/2017 TO 06/26/2017.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DONGXU DUAN	06/13/2017
JIAN MIN JIANG	06/13/2017
ZHONG SU	06/13/2017
LI ZHANG	06/13/2017
SHI WAN ZHAO	06/26/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	INTERNATIONAL BUSINESS MACHINES CORPORATION
<b>Street Address:</b>	NEW ORCHARD ROAD
<b>City:</b>	ARMONK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10504
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15848329
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(845)892-6363
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	8458944279
<b>Email:</b>	fdciplaw@us.ibm.com
<b>Correspondent Name:</b>	IBM CORPORATION - FISHKILL DRAFTING CENT
<b>Address Line 1:</b>	1101 KITCHAWAN ROAD, ROUTE 134, P.O. BOX 218
<b>Address Line 4:</b>	YORKTOWN, NEW YORK 10589
<b>ATTORNEY DOCKET NUMBER:</b>	CN920160177US02
<b>NAME OF SUBMITTER:</b>	MELISSA M. O'DONNELL
<b>SIGNATURE:</b>	/Melissa M. O'Donnell/
<b>DATE SIGNED:</b>	01/09/2018

**Total Attachments: 9**

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RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Dongxu Duan  
Jian Min Jiang  
Zhong Su  
Li Zhang  
Shi Wan Zhao

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Street Address: New Orchard Road

City: Armonk

State: NY

Country: US Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 26, 2017

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☒ Other Corrective Assignment

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

15/848,329

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Minh-Hien Vo

Internal Address: 321

Street Address: 2070 Route 52

City: Hopewell Junction

State: NY Zip: 12533

Phone Number: 845-894-3045

Docket Number: CN920160177US02

Email Address: Steven.Vo@ibm.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 140.00

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-0510

Authorized User Name Melissa M. O'Donnell

9. Signature: /Minh-Hien Vo/

1/8/2018

Signature

Date

Minh-Hien Vo

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT ASSIGNMENT COVER SHEET**Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4743586

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DONGXU DUAN	06/13/2017
JIAN MIN JIANG	06/13/2017
ZHONG SU	06/13/2017
LI ZHANG	06/13/2017
SHI WAN ZHAO	<del>06/13/2017</del> 06-26-2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	INTERNATIONAL BUSINESS MACHINES CORPORATION
<b>Street Address:</b>	NEW ORCHARD ROAD
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<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10504
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15848329
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(607)429-4119
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	914-945-2947
<b>Email:</b>	fdciplaw@us.ibm.com
<b>Correspondent Name:</b>	IBM CORP. - FISHKILL DRAFTING CENTER IP
<b>Address Line 1:</b>	1101 KITCHAWAN ROAD, ROUTE 134
<b>Address Line 2:</b>	P.O. BOX 218
<b>Address Line 4:</b>	YORKTOWN, NEW YORK 10589
<b>ATTORNEY DOCKET NUMBER:</b>	CN920160177US02
<b>NAME OF SUBMITTER:</b>	MELISSA M. O'DONNELL
<b>SIGNATURE:</b>	/Melissa M. O'Donnell/
<b>DATE SIGNED:</b>	12/20/2017
This document serves as an Oath/Declaration (37 CFR 1.63).	

# CORRECTED ASSIGNMENT/DECLARATION

IBM DOCKET NUMBER:CN920160177US01

## DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: HIEROGLYPHIC FEATURE-BASED DATA PROCESSING

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number \_\_\_\_\_  
filed on \_\_\_\_\_.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document:

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this

CORRECTED ASSIGNMENT/DECLARATION

IBM DOCKET NUMBER:CN920160177US01

Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: DONGXU DUAN

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(2) Legal Name of Inventor: JIAN MIN JIANG

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(3) Legal Name of Inventor: ZHONG SU

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(4) Legal Name of Inventor: LI ZHANG

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(5) Legal Name of Inventor: SHI WAN ZHAO

Signature: Shi, shiwan Date: 2017.6.26

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN  
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

Title of Invention: HIEROGLYPHIC FEATURE-BASED DATA PROCESSING

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number \_\_\_\_\_  
filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

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Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto:

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this

Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: DONGXU DUAN

Signature: Dongxu Duan Date: 2017.6.13

(2) Legal Name of Inventor: JIAN MIN JIANG

Signature: Jian Min Jiang Date: 2017.6.13

(3) Legal Name of Inventor: ZHONG SU

Signature: [Signature] Date: 2017.6.13

(4) Legal Name of Inventor: LI ZHANG

Signature: Li Zhang Date: 2017.6.13

(5) Legal Name of Inventor: SHI WAN ZHAO

Signature: Zhao, Shiwan Date: 2017.6.13