

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT4786094

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT	
NATURE OF CONVEYANCE:	Corrective Assignment to correct the INCORRECT APPLICATION NUMBER 15556954 previously recorded on Reel 043904 Frame 0948. Assignor(s) hereby confirms the ASSIGNMENT.	
SEQUENCE:	1	
CONVEYING PARTY DATA		
	Name	Execution Date
	TOMOYA MIYAKAWA	09/21/2017
RECEIVING PARTY DATA		
Name:	NEC CORPORATION	
Street Address:	7-1, SHIBA 5-CHOME	
City:	MINATO-KU, TOKYO	
State/Country:	JAPAN	
Postal Code:	1088001	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15556694
CORRESPONDENCE DATA		
Fax Number:	(202)672-5399	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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ATTORNEY DOCKET NUMBER:	117103-0102	
NAME OF SUBMITTER:	STEPHEN B. MAEBIUS	
SIGNATURE:	/Stephen B. Maebius/	
DATE SIGNED:	01/22/2018	
Total Attachments: 4		
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PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
SEQUENCE:	1
CONVEYING PARTY DATA	
Name	Execution Date
TOMOYA MIYAKAWA	09/21/2017
RECEIVING PARTY DATA	
Name:	NEC CORPORATION
Street Address:	7-1, SHIBA 5-CHOME
City:	MINATO-KU, TOKYO
State/Country:	JAPAN
Postal Code:	1088001
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15556954-694
CORRESPONDENCE DATA	
Fax Number:	(202)672-5399
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	STEPHEN B. MAEBIUS
Address Line 1:	FOLEY & LARDNER LLP
Address Line 2:	3000 K STREET N.W., SUITE 600
Address Line 4:	WASHINGTON, D.C. 20007
ATTORNEY DOCKET NUMBER:	117103-0102
NAME OF SUBMITTER:	KRISTEL SCHORR
Signature:	/Kristel Schorr/
Date:	10/19/2017

Total Attachments: 2

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RECEIPT INFORMATION**EPAS ID:** PAT4648726**Receipt Date:** 10/19/2017

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

NEC Corporation
7-1, Shiba 5-chome
Minato-ku, Tokyo, Japan 1088001

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions in and to this invention relating to

PEPTIDE DERIVED FROM GPC3, PHARMACEUTICAL COMPOSITION FOR TREATMENT OR
PREVENTION OF CANCER USING SAME, IMMUNITY INDUCER, AND METHOD FOR PRODUCING
ANTIGEN-PRESENTING CELLS

as set forth in this United States Patent Application

Check
one

☐ executed concurrently herewith
☐ executed on _____
☒ Serial No. PCT/JP2016/057356 Filed 3/9/2016
and

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the Patent Cooperation Treaty, the Paris Convention and any other international agreement; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by

ASSIGNEE, its successors and assigns, in any such proceeding in the United States; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Foley & Lardner LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Foley & Lardner LLP** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

NAME AND SIGNATURE OF INVENTOR

Name: TOMOYA MIYAKAWA SIGNATURE Tomoaya Miyakawa Date September 21, 2017

NAME AND SIGNATURE OF WITNESSES

Name: YOSHINORI NAKAMURA SIGNATURE Yoshinori Nakamura Date September 21, 2017

Name: YURIE MATSUYAMA SIGNATURE Yurie Matsuyama Date September 21, 2017

Note: *Prima facie* evidence of execution may optionally be obtained by execution before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.