

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4794533

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ELECTRO-MOTIVE DIESEL, INC.	09/01/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PROGRESS RAIL LOCOMOTIVE INC.
<b>Street Address:</b>	9301 W. 55TH STREET
<b>City:</b>	LAGRANGE
<b>State/Country:</b>	ILLINOIS
<b>Postal Code:</b>	60525
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15044463
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(309)675-1236
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(309) 675-8091
<b>Email:</b>	Mayernich_Linda_L@cat.com
<b>Correspondent Name:</b>	CATERPILLAR INC.
<b>Address Line 1:</b>	100 NE ADAMS STREET
<b>Address Line 2:</b>	AH9510
<b>Address Line 4:</b>	PEORIA, ILLINOIS 61629-9510
<b>ATTORNEY DOCKET NUMBER:</b>	15-1640
<b>NAME OF SUBMITTER:</b>	LINDA L. MAYERNICH
<b>SIGNATURE:</b>	/Linda L. Mayernich/
<b>DATE SIGNED:</b>	01/25/2018
<b>Total Attachments: 2</b>	
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# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ELECTRO-MOTIVE DIESEL, INC.", CHANGING ITS NAME FROM "ELECTRO-MOTIVE DIESEL, INC." TO "PROGRESS RAIL LOCOMOTIVE INC.", FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF AUGUST, A.D. 2016, AT 5:02 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF SEPTEMBER, A.D. 2016.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Jeffrey W. Bullock, Secretary of State

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SR# 20165606301

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202929304  
Date: 09-02-16

**PATENT**  
**REEL: 045150 FRAME: 0965**

**STATE OF DELAWARE**  
**CERTIFICATE OF AMENDMENT**  
**OF CERTIFICATE OF INCORPORATION**

The corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware does hereby certify:

FIRST: That at a meeting of the Board of Directors of

**Electro-Motive Diesel, Inc.**

resolutions were duly adopted setting forth a proposed amendment of the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Certificate of Incorporation of this corporation be amended by changing the article thereof numbered "First" so that, as amended, said article shall be and read as follows:

"FIRST: The name of this corporation is **Progress Rail Locomotive Inc.**"

SECOND: That thereafter, pursuant to resolution of its Board of Directors, a special meeting of the stockholders of said corporation was duly called and held upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware at which meeting the necessary number of shares as required by statute were voted in favor of the amendment.

THIRD: That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

FOURTH: That said amendment be effective as of September 1, 2016.

IN WITNESS WHEREOF, said corporation has caused this certificate to be signed this 19<sup>th</sup> day of August 2016.

By: 

Authorized Officer

Title: Senior Vice President

Name: Jonathan G. Newman

Print or Type