

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4797662

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Execution Date
MONOSOL RX, LLC	12/29/2017

RECEIVING PARTY DATA

Name:	AQUESTIVE THERAPEUTICS, INC.
Street Address:	30 TECHNOLOGY DRIVE
City:	WARREN
State/Country:	NEW JERSEY
Postal Code:	07059

PROPERTY NUMBERS Total: 68

Property Type	Number
Patent Number:	6337083
Patent Number:	7357891
Patent Number:	7425292
Patent Number:	7500984
Patent Number:	7666337
Patent Number:	7910031
Patent Number:	7910641
Patent Number:	7972618
Patent Number:	8017150
Patent Number:	8241661
Patent Number:	8282954
Patent Number:	8298583
Patent Number:	8393255
Patent Number:	8568777
Patent Number:	8577488
Patent Number:	8603514
Patent Number:	8617589
Patent Number:	8652378
Patent Number:	8663687
Patent Number:	8663696

PATENT

Property Type	Number
Patent Number:	8685437
Patent Number:	8765167
Patent Number:	8790704
Patent Number:	8900497
Patent Number:	8900498
Patent Number:	8906277
Patent Number:	8936825
Patent Number:	8956685
Patent Number:	8974826
Patent Number:	9095495
Patent Number:	9095577
Patent Number:	9108340
Patent Number:	9149959
Patent Number:	9150341
Patent Number:	9303918
Patent Number:	9346601
Patent Number:	9474687
Patent Number:	9511033
Patent Number:	9561191
Patent Number:	9771173
Patent Number:	9855221
Application Number:	15342448
Application Number:	15634776
Application Number:	15438458
Application Number:	13853290
Application Number:	15672228
Application Number:	14980836
Application Number:	15818997
Application Number:	15865755
Application Number:	12686531
Application Number:	12915849
Application Number:	13084681
Application Number:	12702668
Application Number:	14872672
Application Number:	15710260
Application Number:	13844775
Application Number:	15369101
Application Number:	15534630

Property Type	Number
Application Number:	15699760
Application Number:	15069875
Application Number:	15587364
Application Number:	15724234
Application Number:	15717856
Application Number:	15717859
Application Number:	15791249
Application Number:	62563534
PCT Number:	US1731167
PCT Number:	US1731170

CORRESPONDENCE DATA

Fax Number: (973)331-1717

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 9733311700

Email: SJBdocket@hbiplaw.com

Correspondent Name: STEPHEN J. BROWN

Address Line 1: HOFFMANN & BARON, LLP

Address Line 2: 6900 JERICHO TURNPIKE

Address Line 4: SYOSSET, NEW YORK 11791-4407

ATTORNEY DOCKET NUMBER:	1199-201
NAME OF SUBMITTER:	STEPHEN J. BROWN
SIGNATURE:	/Stephen J. Brown/
DATE SIGNED:	01/29/2018

Total Attachments: 12

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "MONOSOL RX, LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "MONOSOL RX, LLC" TO "AQUESTIVE THERAPEUTICS, INC.", FILED IN THIS OFFICE ON THE TWENTY-NINTH DAY OF DECEMBER, A.D. 2017, AT 2:25 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2018.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.




Jeffrey W. Bullock, Secretary of State

3753153 8100V
SR# 20177853465

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203858776
Date: 12-29-17

PATENT
REEL: 045196 FRAME: 0392

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF INCORPORATION OF "AQUESTIVE THERAPEUTICS, INC." FILED IN THIS OFFICE ON THE TWENTY-NINTH DAY OF DECEMBER, A.D. 2017, AT 2:25 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF INCORPORATION IS THE FIRST DAY OF JANUARY, A.D. 2018.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.




Jeffrey W. Bullock, Secretary of State

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Authentication: 203858776
Date: 12-29-17

PATENT
REEL: 045196 FRAME: 0393

**CERTIFICATE OF CONVERSION
OF
MONOSOL RX, LLC,
A DELAWARE LIMITED LIABILITY COMPANY,
TO
AQUESTIVE THERAPEUTICS, INC.,
A DELAWARE CORPORATION**

For the purpose of converting MonoSol Rx, LLC, a Delaware limited liability company (the "Company"), to Aquestive Therapeutics, Inc., a Delaware corporation (the "Corporation"), pursuant to Section 265 of the Delaware General Corporation Law (the "DGCL"), the undersigned hereby certifies:

FIRST: The Company was first formed on January 15, 2004.

SECOND: The jurisdiction in which the Company was first formed is the State of Delaware.

THIRD: The jurisdiction of the Company immediately prior to the filing of this Certificate of Conversion is the State of Delaware.

FOURTH: The name of the Company immediately prior to the filing of this Certificate of Conversion is "MonoSol Rx, LLC".

FIFTH: The name of the Corporation as set forth in its Certificate of Incorporation filed in accordance with Section 265(a)(b)(2) of the DGCL, is "AQUESTIVE THERAPEUTICS, INC.".

SIXTH: The effective date of this Certificate of Conversion shall be January 1, 2018.

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Conversion to be executed as of December 29, 2017.

MONOSOL RX, LLC

By: _____

Name: John Maxwell

Title: Chief Financial Officer

ARTICLE VII

In furtherance and not in limitation of the powers conferred by statute, the Board of is expressly authorized to make, alter, amend or repeal the Bylaws of the Corporation, but the stockholders may make additional bylaws and may alter or repeal any bylaw whether adopted by them or otherwise. Elections of directors of the Corporation need not be by written ballot except, and to the extent provided in, the bylaws of the Corporation. Advance notice of new business and stockholder nominations for the election of directors shall be given in the manner and to the extent provided in the Bylaws of the Corporation.

ARTICLE VIII

Meetings of stockholders may be held within or without the State of Delaware, as the Bylaws may provide. The books of the Corporation may be kept outside the State of Delaware at such place or places as may be designated from time to time by the Board or in the Bylaws of the Corporation.

ARTICLE IX

The Corporation is authorized to provide indemnification of (and advancement of expenses to) every Corporate Agent (as defined below) to the fullest extent authorized by the DGCL, as the same exists or may hereafter be amended (but, in the case of any such amendment, only to the extent that such amendment permits the Corporation to provide broader indemnification rights than such law permitted the Corporation to provide prior to such amendment), and to the fullest extent otherwise permitted by law; provided, however, that the Corporation shall not indemnify any person seeking indemnification in connection with a proceeding (or part thereof) initiated by such person unless the initiation thereof was approved by the Board, unless such proceeding was brought by a director or officer of the Corporation to enforce such director's or officer's rights to indemnification or, in the case of a director, advancement of expenses in accordance with the Bylaws of the Corporation. As used in this Certificate of Incorporation, the term "Corporate Agent" means any person who was or is a director or officer of the Corporation, is or was serving at the request of the Corporation as a director or officer of another corporation, partnership limited liability company, joint venture or other enterprise, or any other persons to which the DGCL permits the Corporation to provide indemnification. The indemnification of Corporate Agents provided for in this Article IX shall not be deemed exclusive of any other rights to indemnification available to such Corporate Agents, whether through the Bylaws of the Corporation, any agreement with such Corporate Agents, a vote of the stockholders of the Corporation or of the disinterested directors of the Corporation or otherwise.

ARTICLE X

No director of the Corporation shall be personally liable to the Corporation or its stockholders for monetary damages for breach of fiduciary duty as a director, except for liability: (a) for any breach of the director's duty of loyalty to the Corporation or its stockholders; (b) for acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law; (c) under Section 174 of the DGCL; or (d) for any transaction from which the

director derived an improper personal benefit. If the DGCL or any other law of the State of Delaware is amended after the filing of the Certificate of Incorporation of which this Article is a part to authorize corporate action further eliminating or limiting the personal liability of directors or officers of Delaware corporations, then the liability of the directors and officers of the Corporation shall be eliminated or limited to the fullest extent permitted by the DGCL or such other law of the State of Delaware, as so amended. To the fullest extent permitted by law, a director of the Corporation shall not be personally liable to the Corporation or its stockholders for monetary damages for breach of fiduciary duty as a director.

ARTICLE XI

Any repeal or modification of the foregoing Articles IX and/or X of this Certificate of Incorporation by the stockholders of the Corporation shall not adversely affect any right or protection of a Corporate Agent of the Corporation existing at the time of, or increase the liability of any director of the Corporation with respect to any acts or omissions of such director occurring prior to, such repeal or modification.

ARTICLE XII

Unless the Corporation consents in writing to the selection of an alternative forum, the Court of Chancery of the State of Delaware shall be the sole and exclusive forum for: (a) any derivative action or proceeding brought on behalf of the Corporation; (b) any action or proceeding asserting a claim of breach of a fiduciary duty owed by any director, officer, employee or agent of the Corporation to the Corporation or the Corporation's stockholders; (c) any action or proceeding asserting a claim against the Corporation arising pursuant to any provision of the DGCL, this Certificate of Incorporation or the Bylaws of the Corporation; or (d) any action or proceeding asserting a claim governed by the internal affairs doctrine, in each case subject to said Court of Chancery having personal jurisdiction over the indispensable parties named as defendants therein.

ARTICLE XIII

The Corporation reserves the right to amend, alter, change or repeal any provision contained in this Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation.

ARTICLE XIV

This Certificate of Incorporation shall have an effective date of January 1, 2018.

[Remainder of Page Intentionally Left Blank; Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Incorporation to be executed as of December 29, 2017.

By: 
Name: John Maxwell
Title: Sole Incorporator

SIGNATURE PAGE TO
CERTIFICATE OF INCORPORATION OF
AQUESTIVE THERAPEUTICS, INC.

PATENT
REEL: 045196 FRAME: 0398

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF INCORPORATION OF "AQUESTIVE THERAPEUTICS, INC." FILED IN THIS OFFICE ON THE TWENTY-NINTH DAY OF DECEMBER, A.D. 2017, AT 2:25 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF INCORPORATION IS THE FIRST DAY OF JANUARY, A.D. 2018.

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Date: 12-29-17

PATENT
REEL: 045196 FRAME: 0399

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By: 
Name: John Maxwell
Title: Sole Incorporator

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CERTIFICATE OF INCORPORATION OF
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