

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4866801

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
STEPHEN HANESSIAN	09/21/2016
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
Name:	UNIVERSITÉ DE MONTRÉAL
Street Address:	2900 BOULEVARD ÉDOUARD-MONTPETIT
City:	MONTREAL
State/Country:	CANADA
Postal Code:	H3T 1J4
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2016053815
CORRESPONDENCE DATA	
Fax Number:	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9498520000
Email:	pair@kppb.com
Correspondent Name:	KPPB LLP
Address Line 1:	2190 S. TOWNE CENTRE PLACE
Address Line 2:	SUITE 300
Address Line 4:	ANAHEIM, CALIFORNIA 92806
ATTORNEY DOCKET NUMBER:	R1-04076.PCT
NAME OF SUBMITTER:	AGNES POON
SIGNATURE:	/Agnes Poon/

DATE SIGNED:	03/14/2018
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Total Attachments: 3
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source=04076pctHANESSIONassgn#page3.tif

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Stephen Hanessian

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) Sep 21, 2016

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: Twelfth Floor

Street Address: 1111 Franklin Street

City: Oakland

State: CA

Country: US Zip: 94067-5200

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

PCT/US2016/053815

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 300

Street Address: 2190 S. Towne Centre Place

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: R1-04076.PCT

Email Address: pair@kppb.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-4407

Authorized User Name _____

9. Signature:

/John W. Peck/
Signature

03/14/2018
Date

John W. Peck
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Patent Application No. PCT/US2016/053815
Additional Recordation Form Cover Sheet

2. Name and address of receiving party(ies)

Name: Université de Montréal
Internal Address:
Street Address: 2900 Boulevard Édouard-Montpetit
City: Montreal
State: QC
Country: CA
Zip: H3T 1J4

ASSIGNMENT

UC. Case No. UC-2016-031-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Stephen Hanessian

hereby sells, assigns and transfers jointly to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and to ASSIGNEE, The Université de Montréal, a university, having an office and place of business at 2900 Boulevard Édouard-Montpetit, Montreal, Quebec H3T 1J4, and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SPHINGOLIPID DRUGS ACTIVE AGAINST SOLID TUMORS

and which is found in

- (a) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. Provisional Application Serial No. _____, filed on _____
- (c) _____ U.S. Patent Application Serial No. _____, filed on _____
- (d) _____ U.S. Patent No. _____, issued _____
- (e) x International Patent Application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

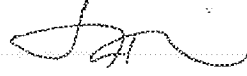
ASSIGNOR(S) further agrees that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and The Université de Montréal.

executed this

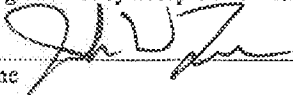
Signature of Inventor(s)

21 day of September, 2016



Stephen Hanessian

Assignee hereby accepts this Assignment:


Name

Attorney of Record
Title

9/22/16
Date