

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4805082

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MARKUS MAUTE	01/12/2018
LUTZ HÖPPEL	01/08/2018
JÜRGEN MOOSBURGER	01/08/2018
THOMAS SCHWARTZ	01/09/2018
MATTHIAS SABATHIL	01/09/2018
RALPH WIRTH	01/25/2018
ALEXANDER LINKOV	01/08/2018
JOHANNES BAUR	01/22/2018
RECEIVING PARTY DATA	
Name:	OSRAM OPTO SEMICONDUCTORS GMBH
Street Address:	LEIBNIZSTR. 4
City:	REGENSBURG
State/Country:	GERMANY
Postal Code:	93055
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15738427
CORRESPONDENCE DATA	
Fax Number:	(215)656-2498
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Email:	pto.phil@dlapiper.com
Correspondent Name:	T. DANIEL CHRISTENBURY
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Address Line 2:	1650 MARKET STREET, SUITE 4900
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ATTORNEY DOCKET NUMBER:	EHF-17-1596
NAME OF SUBMITTER:	CAROL CONEY
SIGNATURE:	/cc/

PATENT

DATE SIGNED:	02/01/2018
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Total Attachments: 28

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Docket No.: EHF-17-1596
P2015,0724 US N / 2015P00161WOUS
(THN/HBA)
Page 1 of 4

ASSIGNMENT

For good and valuable consideration, I (We),

Markus Maute,
residing at Köferinger Weg 15
93087 Alteglofsheim
Germany
citizen of Germany

Lutz Höppel,
residing at Lessingstr. 1
93087 Alteglofsheim
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citizen of Germany

Jürgen Moosburger,
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citizen of Germany

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hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: COMPONENT HAVING IMPROVED COUPLING-OUT
PROPERTIES

Filing Date: 12/20/2017 (MM/DD/YYYY)

Filing Number: 15/738,427

Internal Case Number(s): EHF-17-1596

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or

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 Page 3 of 4

improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

A. Neumann
 Witness Signature

Markus Maute
 Markus Maute

Alex Neumann
 printed name of witness

January 12th 2018
 Date

 Witness Signature

 Lutz Höppel

 printed name of witness

 Date

 Witness Signature

 Jürgen Moosburger

 printed name of witness

 Date

 Witness Signature

 Thomas Schwarz

 printed name of witness

 Date

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Matthias Sabathil

printed name of witness

Date

Witness Signature

Ralph Wirth

printed name of witness

Date

Witness Signature

Alexander Linkov

printed name of witness

Date

Andreas Rüdolph
Witness Signature

Johannes Baur
Johannes Baur

Andreas Rüdolph
printed name of witness

January 22nd, 2018
Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Date

Signature

Name

Date

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 Witness Signature

 Markus Maute

 printed name of witness

 Date

 Witness Signature

 Lutz Höppel

 Horbinian Perlmaier
 printed name of witness

 January 8/2018
 Date

 Witness Signature

 Jürgen Moosburger

 printed name of witness

 Date

 Witness Signature

 Thomas Schwarz

 printed name of witness

 Date

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Ralph Wirth

printed name of witness

Date

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Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature
Name

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Witness Signature

Markus Maute

printed name of witness

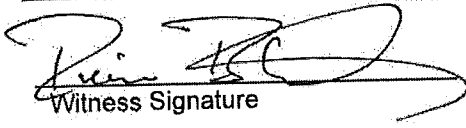
Date

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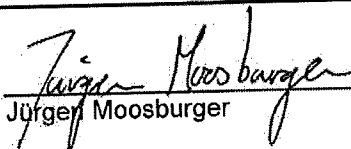
Lutz Höppel

printed name of witness

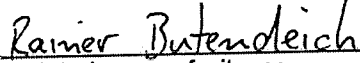
Date



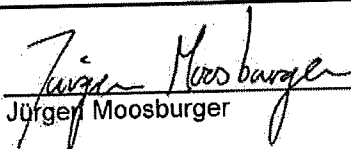
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Jürgen Moosburger



Rainer Butendiech
printed name of witness



Jan 8, 2018
Date

Witness Signature

Thomas Schwarz

printed name of witness

Date

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Matthias Sabathil

printed name of witness

Date

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Ralph Wirth

printed name of witness

Date

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Alexander Linkov

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Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature
Name

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printed name of witness

Date

Witness Signature


Jürgen Moosburger

printed name of witness

Date



Witness Signature



Thomas Schwarz

MATTHIAS SABATHIK

printed name of witness

January 9th 2018

Date

Docket No.: EHF-17-1596
P2015,0724 US N / 2015P00161WOUS
(THN/HBA)
Page 4 of 4

Witness Signature

Matthias Sabathil

printed name of witness

Date

Witness Signature

Ralph Wirth

printed name of witness

Date

Witness Signature

Alexander Linkov

printed name of witness

Date

Witness Signature

Johannes Baur

printed name of witness

Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature
Name

Date

Signature
Name

Date

Docket No.: EHF-17-1596
P2015,0724 US N / 2015P00161WOUS
(THN/HBA)
Page 1 of 4

ASSIGNMENT

For good and valuable consideration, I (We),

Markus Maute,
residing at Köferinger Weg 15
93087 Alteglofsheim
Germany
citizen of Germany

Lutz Höppel,
residing at Lessingstr. 1
93087 Alteglofsheim
Germany
citizen of Germany

Jürgen Moosburger,
residing at D.-Martin-Luther-Str. 7 a
93138 Lappersdorf
Germany
citizen of Germany

Thomas Schwarz,
residing at Steinfederweg 4
93055 Regensburg
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citizen of Germany

Matthias Sabathil,
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citizen of Germany

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citizen of Germany

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citizen of Russian Federation

Johannes Baur,
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93051 Regensburg
Germany
citizen of Germany

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Page 2 of 4

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: COMPONENT HAVING IMPROVED COUPLING-OUT
PROPERTIES

Filing Date: 12/20/2017 (MM/DD/YYYY)

Filing Number: 15/738,427

Internal Case Number(s): EHF-17-1596

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or

Docket No.: EHF-17-1596
P2015,0724 US N / 2015P00161WOUS
(THN/HBA)
Page 3 of 4

improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature

Markus Maute

printed name of witness

Date

Witness Signature

Lutz Höppel

printed name of witness

Date

Witness Signature

Jürgen Moosburger

printed name of witness

Date


Witness Signature

Thomas Schwarz

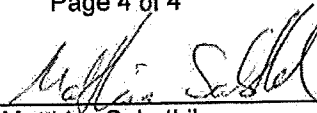
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Date

Docket No.: EHF-17-1596
P2015,0724 US N / 2015P00161WOUS
(THN/HBA)
Page 4 of 4


Witness Signature

Schwarz Thomas
printed name of witness


Matthias Sabathil

9th of January 2018
Date

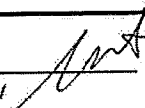
Witness Signature

Ralph Wirth

printed name of witness

Date


Witness Signature


Alexander Linkov

RALPH BERTRAM
printed name of witness

08 of January 2018
Date

Witness Signature

Johannes Baur

printed name of witness

Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature
Name

Date

Signature
Name

Date

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Patent Application Title: COMPONENT HAVING IMPROVED COUPLING-OUT
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 Filing Date: 12/20/2017 (MM/DD/YYYY)
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 Internal Case Number(s): EHF-17-1596

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Witness Signature

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printed name of witness

Date

Witness Signature

Lutz Höppel

printed name of witness

Date

Witness Signature

Jürgen Moosburger

printed name of witness

Date

Witness Signature

Thomas Schwarz

printed name of witness

Date

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Page 4 of 4

Witness Signature

Matthias Sabathil

printed name of witness

Date

Jale S
Witness Signature

Ralph Wirth
Ralph Wirth

Janka Stephan
printed name of witness

January 25th 2018
Date

Witness Signature

Alexander Linkov

printed name of witness

Date

Witness Signature

Johannes Baur

printed name of witness

Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature
Name

Date

Signature
Name

Date

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Patent Application Title: COMPONENT HAVING IMPROVED COUPLING-OUT PROPERTIES

Filing Date: 12/20/2017 (MM/DD/YYYY)

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 printed name of witness

 Date

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 Ralph Wirth

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 Date

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 Johannes Baur

 printed name of witness

 Date

 Companies Representative(s)
 OSRAM Opto Semiconductors GmbH

Signature i.v. [Signature]
 Name Nikolaus Gmelinwieser

Senior Manager
 Intellectual Property

Date 09. JAN. 2018

Signature i.v. [Signature]
 Name Andreas Lochschmidt

Senior Manager
 Intellectual Property

Date 08. JAN. 2018