504820826 03/15/2018

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4867561

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
KYLE P. TAYLOR	03/14/2018
JEROME K. GRUDEM JR.	03/12/2018
CELESTE L. HUSTER	03/06/2018

### **RECEIVING PARTY DATA**

Name:	TORAX MEDICAL, INC.	
Street Address:	4188 LEXINGTON AVENUE NORTH	
City:	SHOREVIEW	
State/Country:	MINNESOTA	
Postal Code:	55126	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	29638805

### CORRESPONDENCE DATA

Fax Number: (513)651-6981

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 513-651-6800 Email: jdewar@fbtlaw.com **Correspondent Name:** JAMIE DEWAR

Address Line 1: 301 EAST FOURTH STREET Address Line 2: 3300 GREAT AMERICAN TOWER

Address Line 4: CINCINNATI, OHIO 45202

ATTORNEY DOCKET NUMBER:	END8492USDP1.0657609	
NAME OF SUBMITTER:	ANDREW B. ULMER	
SIGNATURE:	/Andrew B. Ulmer/	
DATE SIGNED:	03/15/2018	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

#### **Total Attachments: 6**

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COMBINED DECLARATION AND ASSIGNMENT	
Fitle of Invention: LAPAROSCOPIC SIZING INSTRUMENT	
This declaration and assignment is directed to:	
The attached or filed herewith application of (list of named inventors),	
or	
The United States application or PCT international application number 29/638,805 filed on March 1, 2018.	
Declaration	
As the below named inventor, I hereby declare that:	
The above-identified application ("Application") was made or authorized by me.	
I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application.	
I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this Application in the United States of America.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.	
Assignment	
Torax Medical, Inc. 4188 Lexington Avenue North Shoreview, MN 55126 a Corporation of the State of <u>Delaware</u> .	
(hereinafter designated as the "Assignee"),	
I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assignee which is hereby conformed for recordation in the US Patent Office and I confirm I have and do assign and transfer:	
er en	
For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Assignee:	
my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, Buropean Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such needs or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations hereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.	
Page 1 of 2	

I shall execute all papers necessary in connection with the Application in the United States Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Kyle P. Taylor

LEGAL NAME OF INVENTOR

Signature

Date

# COMBINED DECLARATION AND ASSIGNMENT

Fitle of Invention: <u>LAPAROSCOPIC SIZING I</u>	<u>NSTRUMENT</u>
This declaration and assignment is directed to:	
	The attached or filed herewith application of (list of named inventors),
or	
	The United States application or PCT international application number 29/638,805 filed on March 1, 2018.
Declaration	
As the below named inventor, I hereby dec	clare that:
The above-identified application ("Application of the control of t	ation") was made or authorized by me.
I believe that I am the original inventor or	an original inventor of a claimed invention or discovery in the Application.
I have reviewed and understood the contrapolation which is material to patentability as def Application in the United States of America.	ents of the Application, including the claims, and I acknowledge the duty to disclose fined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
I hereby acknowledge that any willful fal mprisonment of not more than five (5) years, or bo	se statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or the for filings of this Application in the United States of America.
Assignment	
	Torax Medical, Inc. 4188 Lexington Avenue North
	Shoreview, MN 55126
а	Corporation of the State of <u>Delaware</u> .
(he	reinafter designated as the "Assignee"),
I hereby acknowledge that I have assigne which is hereby conformed for recordation in the U	d the above-identified invention by previous assignment (attached hereto) to Assignee S Patent Office and I confirm I have and do assign and transfer:
or	
For good and valuable consideration, the sund transferred to Assignee:	ufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned
ight to claim priority to the Application, all inventing European Patent Office and of all other countries inventions or discoveries and all rights in such Aphereof, and to all Letters Patent that may be grand protection certificates, reexaminations, renewals, a	the Application, including all priority rights for other countries arising therefrom and the cons or discoveries therein disclosed, and any and all Letters Patent of the United States, which may be granted for such inventions or discoveries, or any of them, all such plication including any and all provisionals, substitutions, divisions, and continuations atted for said inventions and discoveries, and in and to all extensions, supplementary and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment Letters Patent may be granted, as fully and entirely as the same would have been held of been made.
	Page 1 of 2

I shall execute all papers necessary in connection with the Application in the United States Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

<u>Jerome K. Grudem, Jr.</u>

LEGAL NAME OF INVENTOR

Signature

12 MAR 2018

Date

# COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: <u>LAPAROSCOPIC</u>	SIZING IN	NSTRUMENT
This declaration and assignment is directed		15 ILONDAT
		The attached or filed herewith application of (list of named inventors)
	or	.,
		The United States application or PCT international application number 29/638,805 filed on March 1, 2018.
Declaration		
As the below named inventor, I h	ereby dec	lare that:
The above-identified application	("Applica	tion") was made or authorized by me.
I believe that I am the original in	ventor or a	an original inventor of a claimed invention or discovery in the Application.
	lity as defi	nts of the Application, including the claims, and I acknowledge the duty to disclose ined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
		e statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or the for filings of this Application in the United States of America.
Assignment	a (	Torax Medical, Inc. 4188 Lexington Avenue North Shoreview, MN 55126 Corporation of the State of <u>Delaware</u> .
	(her	reinafter designated as the "Assignee"),
		I the above-identified invention by previous assignment (attached hereto) to Assignee S Patent Office and I confirm I have and do assign and transfer:
or		
For good and valuable considera and transferred to Assignee:	tion, the su	ufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned
right to claim priority to the Application, a European Patent Office and of all other inventions or discoveries and all rights in thereof, and to all Letters Patent that ma protection certificates, reexaminations, re	all invention countries, a such Appay be grann newals, ar hich such	the Application, including all priority rights for other countries arising therefrom and the cons or discoveries therein disclosed, and any and all Letters Patent of the United States, which may be granted for such inventions or discoveries, or any of them, all such oblication including any and all provisionals, substitutions, divisions, and continuations atted for said inventions and discoveries, and in and to all extensions, supplementary and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment Letters Patent may be granted, as fully and entirely as the same would have been held to been made.
		Page 1 of 2

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I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Celeste L. Huster	
LEGAL NAME OF INVENTOR	
Celeste fust	OLE MARIS
Signature	Date

Page 2 of 2