

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT4867625

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
IVAR M. MCDONALD	02/09/2018
PRASANNA SIVAPRAKASAM	02/14/2018
CHRISTIANA I. IWUAGWU	02/09/2018
KEVIN M. PEESE	02/17/2018
HENG CHENG	02/12/2018
NAIDU S. CHOWDARI	02/12/2018
SANJEEV GANGWAR	02/12/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BRISTOL-MYERS SQUIBB COMPANY
<b>Street Address:</b>	ROUTE 206 AND PROVINCE LINE ROAD
<b>City:</b>	PRINCETON
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	08543
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15789027
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(609)252-4526
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6502609683
<b>Email:</b>	patents@bms.com
<b>Correspondent Name:</b>	BRISTOL-MYERS SQUIBB COMPANY
<b>Address Line 1:</b>	ROUTE 206 AND PROVINCE LINE ROAD
<b>Address Line 4:</b>	PRINCETON, NEW JERSEY 08543
<b>ATTORNEY DOCKET NUMBER:</b>	12834-US-NP
<b>NAME OF SUBMITTER:</b>	CHRISTOPHER R. LEACH
<b>SIGNATURE:</b>	/CHRISTOPHER R. LEACH/
<b>DATE SIGNED:</b>	03/15/2018

**Total Attachments: 8**

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ASSIGNMENT

We,

Ivar M. MCDONALD; Prasanna SIVAPRAKASAM; Christiana I. IWUAGWU;  
Kevin M. PEESE; Heng CHENG; Naidu S. CHOWDARI; Sanjeev GANGWAR

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Route 206 and Province Line Road, Princeton, NJ 08543, and its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:


**ANTIPROLIFERATIVE COMPOUNDS AND CONJUGATES MADE THEREFROM**

invented by us and described in the United States non-provisional Patent Application

**Application Number 15/789, 027, filed 20 October 2017,**

including said United States non-provisional Patent Application and any patent application claiming priority from said United States non-provisional Patent Application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, said interest being the entire ownership of said invention and all of said patent applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

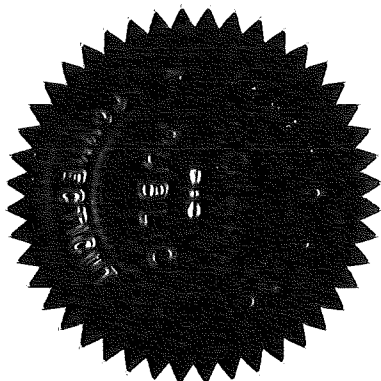
And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all patent applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said patent applications, patents (including reissue patents), extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, and its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 9<sup>th</sup> day of February, 2018, by   
**Ivar M. MCDONALD**

### NOTARIAL CERTIFICATE


UNITED STATES OF AMERICA    )  
 STATE OF CONNECTICUT    ) ss.  
 COUNTY OF NEW HAVEN    )

On this 9 day of February, 2018, personally appeared before me, Ivar M. MCDONALD, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.



  
 Notary Public

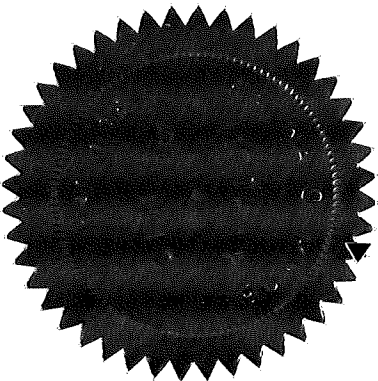
**JANET C. SUDOL**  
**NOTARY PUBLIC - State of Connecticut**  
**My Commission Expires**  
**August 31, 2022**


Signed this 14 day of February, 2018, by   
**Prasanna SIVAPRAKASAM**

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA )  
STATE OF NEW JERSEY ) ss.  
COUNTY OF MERCER )

On this 14th day of February, 2018, personally appeared before me,  
**Prasanna SIVAPRAKASAM** known or identified to me to be the individual(s) described in and who executed  
the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein  
expressed.



  
Notary Public

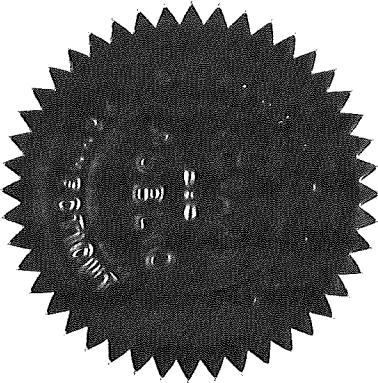
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

Signed this 9 day of February, 2018, by C. I. Iwuagwu  
**Christiana I. IWUAGWU**

NOTARIAL CERTIFICATE


UNITED STATES OF AMERICA )  
STATE OF CONNECTICUT ) ss.  
COUNTY OF NEW HAVEN )

On this 9 day of February, 2018, personally appeared before me,  
**Christiana I. IWUAGWU** known or identified to me to be the individual(s) described in and who executed the  
foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein  
expressed.



Janet C Sudol  
Notary Public

**JANET C. SUDOL**  
**NOTARY PUBLIC - State of Connecticut**  
**My Commission Expires**  
**August 31, 2022**

Signed this 17 day of Feb, 2018, by   
**Kevin M. PEESE**

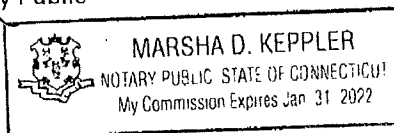
NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA )  
STATE OF CONNECTICUT ) ss.  
COUNTY OF MIDDLESEX )

On this 17 day of February, 2018, personally appeared before me,  
Kevin M. PEESE, known or identified to me to be the individual(s) described in and who executed the  
foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein  
expressed.

SEAL

  
Notary Public



Signed this 12<sup>th</sup> day of February, 2018 by Heng Cheng  
**Heng CHENG**

**NOTARIAL CERTIFICATE**

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

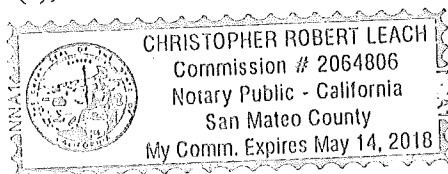
STATE OF CALIFORNIA )  
 )  
 COUNTY OF SAN MATEO )

On 02/12/2018 before me, Christopher R. Leach - Notary Public,  
*Here Insert Name and Title of the Officer*  
 personally appeared

Heng CHENG

*Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Christopher R. Leach  
 Signature of Notary Public

Place Notary Seal Above

**PATENT**

**REEL: 045231 FRAME: 0326**



Signed this 12 day of February, 2018 by N. Chowdary  
**Naidu S. CHOWDARI**

**NOTARIAL CERTIFICATE**

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )

COUNTY OF SAN MATEO )

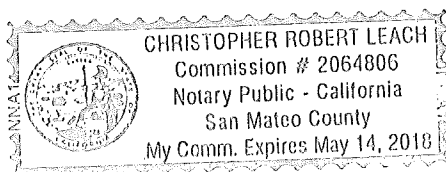
On 02/12/2018 before me, Christopher R. Leach - Notary Public,  
*Here Insert Name and Title of the Officer*

personally appeared

Naidu S. CHOWDARI

*Name(s) of Signer(s)*

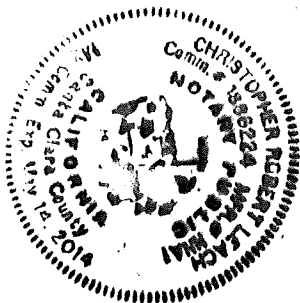
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Christopher R. Leach  
 Signature of Notary Public



Place Notary Seal Above

Signed this 12 day of February 2018 by Sanjeev Gangwar  
**Sanjeev GANGWAR**

**NOTARIAL CERTIFICATE**

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )

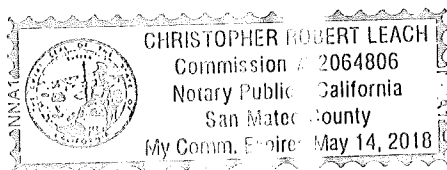
COUNTY OF SAN MATEO )

On 02/12/2018 before me, Christopher R. Leach - Notary Public,  
*Here Insert Name and Title of the Officer*  
 personally appeared

Sanjeev GANGWAR

*Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Chris R Leach  
 Signature of Notary Public

Place Notary Seal Above

