

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4878718

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
REBECCA CROASDALE	07/15/2014
GUY GEORGES	07/15/2014
WOLFGANG SCHAEFER	07/09/2014
JUERGEN MICHAEL SCHANZER	07/15/2014
WERNER SCHEUER	07/15/2014
CLAUDIO SUSTMANN	07/15/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	Roche Diagnostics GmbH
<b>Street Address:</b>	Sandhofer Strasse 116
<b>City:</b>	Mannheim
<b>State/Country:</b>	GERMANY
<b>Postal Code:</b>	68305
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15186098
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650) 467-0481
<b>Email:</b>	joanlm@gene.com
<b>Correspondent Name:</b>	TRACI H. ROPP
<b>Address Line 1:</b>	1 DNA WAY
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P31865-US
<b>NAME OF SUBMITTER:</b>	TRACI H. ROPP
<b>SIGNATURE:</b>	/Traci H. Ropp/
<b>DATE SIGNED:</b>	03/21/2018
<b>Total Attachments: 7</b>	

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**ASSIGNMENT AGREEMENT**

I/We, the undersigned

**Inventor(s)**

Rebecca Croasdale, a British citizen  
 Flohbuehlweg 11, D-82377 Penzberg, Germany

Guy Georges, a Belgian citizen  
 Am Berggraben 11, D-82392 Habach, Germany

Wolfgang Schaefer, a German citizen  
 Tannhaeusering 190, D-68199 Mannheim, Germany

Juergen Michael Schanzer, a German citizen  
 Metzstrasse 26, D-81667 Muenchen, Germany

Werner Scheuer, a German citizen  
 Primelstrasse 3, D-82377 Penzberg, Deutschland

Claudio Sustmann, a German citizen  
 Penzberger Strasse 3, D-81373 Muenchen, Germany

**Employed by:**

Roche Diagnostics GmbH

Roche Diagnostics GmbH

Roche Diagnostics GmbH

Roche Diagnostics GmbH

Roche Diagnostics GmbH

Roche Diagnostics GmbH

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

**Inventor(s)**

Lydia Jasmin Duerner  
 Thomas Hofer  
 Ralf Hosse  
 Christian Klein  
 Ekkehard Moessner  
 Samuel Moser  
 Pablo Umara

**Employed by:**

Roche Glycart AG  
 Roche Glycart AG  
 Roche Glycart AG  
 Roche Glycart AG  
 Roche Glycart AG  
 Roche Glycart AG  
 Roche Glycart AG

hereinafter CO-INVENTOR(S)

of the invention entitled:

**BISPECIFIC HER2 ANTIBODIES AND METHODS OF USE**

for which (a) priority application(s) was (were) filed with the

Patent Office  
 European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
31865	EP	20 December 2013	13198819.8

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche Diagnostics GmbH  
 Sandhofer Strasse 116  
 68305 Mannheim  
 Germany

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed  
 in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

## ASSIGNOR(S)

<u>Penzberg 15.7.2014</u> Place, Date	<u>Rebecca Croasdale</u> Rebecca Croasdale
<u>Penzberg 15.7.2014</u> Place, Date	<u>Guy Georges</u> Guy Georges
<u>Penzberg 15.07.14</u> Place, Date	<u>Wolfgang Schaefer</u> Wolfgang Schaefer
<u>Penzberg 15.07.14</u> Place, Date	<u>Juergen Michael Schanzer</u> Juergen Michael Schanzer
<u>Penzberg 15.07.14</u> Place, Date	<u>Werner Scheuer</u> Werner Scheuer
<u>Penzberg 15.07.2014</u> Place, Date	<u>Claudio Sustmann</u> Claudio Sustmann

## ASSIGNEE

Roche Diagnostics GmbH

<u>Penzberg, 15.07.14</u> Place, Date	<u>W. Naser</u> Werner Naser Mandatory	<u>W. Jenni</u> Wolfgang Jenni Mandatory
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File-No. R 1305 / 2014

of July 15, 2014 / li

I hereby certify that the document affixed and sealed hereto was signed today in my presence by

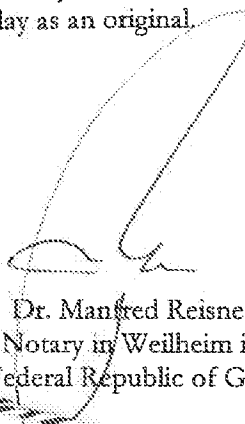
1. Mrs. Dr. Rebecca Croasdale, born December 7, 1979,  
resident Flohbühlweg 11, 82377 Penzberg,  
identified by her British passport,
2. Mr. Guy Georges, born September 14, 1963,  
resident Am Berggraben 11, 82392 Habach,  
identified by his Belgian passport,
3. Mr. Jürgen Michael Schanzer, born August 18, 1969,  
resident Metzstraße 26, 81667 München,  
identified by his German identity card,
4. Mr. Dr. Werner Scheuer, born November 10, 1950,  
resident Primelstraße 3, 82377 Penzberg,  
identified by his German identity card,
5. Mr. Dr. Claudio Sustmann, born October 21, 1977,  
resident Penzberger Straße 3, 81373 München  
identified by his German identity card,
6. Mr. Dr. Wolfgang Jenni, born October 21, 1968,  
business address: Nonnenwald 2, 82377 Penzberg,  
personally known to me,
7. Mr. Dr. Werner Naser, born May 25, 1955,  
business address: Nonnenwald 2, 82377 Penzberg,  
personally known to me.

Dr. Wolfgang Jenni and Dr. Werner Naser are here acting on behalf of

Roche Diagnostics GmbH  
with its registered seat in Mannheim, HRB 3962

on the basis of the power of attorney, notarial deed no. 7 UR 1935 / 2013 and 7 UR  
1938/2013, each notarized by notary Seeler in Mannheim (Notariat Mannheim VII),  
which was presented to me today as an original.

Penzberg, July 15, 2014



Dr. Manfred Reisnecker,  
Notary in Weilheim i. OB,  
Federal Republic of Germany

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I/We, the undersigned

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Guy Georges, a Belgian citizen  
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Penzberger Strasse 3, D-81373 Muenchen, Germany

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hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

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Roche Diagnostics GmbH  
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**ASSIGNOR(S)**

Place, Date	Rebecca Croasdale
Place, Date	Guy Georges
Mannheim, 9.7.2014	Wolfgang Schaefer
Place, Date	Wolfgang Schaefer
Place, Date	Juergen Michael Schanzer
Place, Date	Werner Scheuer
Place, Date	Claudio Sustmann

**ASSIGNEE****Roche Diagnostics GmbH**

Mannheim, 01.08.2014	PPA. I.V. J. Weidmann A. T. W.
Place, Date	



Certification/Beglaubigung

I hereby certify that the foregoing  
are the true signatures acknowledged  
in my presence by

Hiermit beglaube ich, dass  
vorseitige Unterschriften vor  
mir als eigenhändig vollzogen  
anerkannt wurden durch

1. Mr./Herrn Wolfgang Schäfer,  
born/geb. am 16.04.1953, domiciled  
Tannhäusering 190, 68199 Mannheim

- acting for himself -

2. Mr./Herrn Dr. Andreas Poreda  
born/geb. am 19.10.1964,
3. Mr./Herrn Dr. Andreas Rauscher,  
born/geb. am 01.01.1967,

both with business address

beide geschäftsansässig

Sandhofer Straße 116, 68305 Mannheim

personally known

persönlich bekannt

acting for


handelnd für

**Firma Roche Diagnostics GmbH**  
mit dem Sitz in Mannheim

Mannheim, den 01.08.2014

Notariat VII Mannheim

(Seeler)  
Notarin

A circular notary seal for Notariat VII Mannheim. The outer ring contains the text "NOTARIAT VII" at the top and "MANNHEIM" at the bottom. The center features a heraldic crest with a crown on top and a shield below, which is divided into four quadrants with various symbols.