# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name             | Execution Date |
|------------------|----------------|
| TRISHA L. ANDREW | 03/24/2017     |
| LUSHUAI ZHANG    | 03/24/2017     |

#### **RECEIVING PARTY DATA**

| Name:             | THE UNIVERSITY OF MASSACHUSETTS |
|-------------------|---------------------------------|
| Street Address:   | ONE BEACON STREET               |
| Internal Address: | 31ST FLOOR                      |
| City:             | BOSTON                          |
| State/Country:    | MASSACHUSETTS                   |
| Postal Code:      | 02108                           |

### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15926081 |

#### **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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| ATTORNEY DOCKET NUMBER: | UMA 17-041 (UMA0102US2)                                    |  |
|-------------------------|--|--|
| NAME OF SUBMITTER:      | DAVID E. RODRIGUES   |  |
| SIGNATURE:              | /David E. Rodrigues/                                       |  |
| DATE SIGNED:            | 03/26/2018   |  |
|                         | This document serves as an Oath/Declaration (37 CFR 1.63). |  |

**Total Attachments: 2** source=8079946#page1.tif source=8079946#page2.tif

PATENT 504836247 REEL: 045345 FRAME: 0063

# DECLARATION AND ASSIGNMENT

Title of Invention: POLYMERIC CAPACITORS FOR ENERGY STORAGE DEVICES, METHOD OF MANUFACTURE THEREOF AND ARTICLES COMPRISING THE SAME

| MANUFACIURE IMEREUF AND ARTICLES COMPRISING THE SAME  |
|---|
| As a below named inventor, I hereby declare that:   |
| This Declaration and Assignment is directed to:   |
| [ ] the attached application (Attorney Docket Number), or   |
| [x] United States application or PCT international application number 62/473,615 filed on March 20, 2017.   |
| The above-identified application was made or authorized to be made by me, and I have reviewed and understand the contents of the application, including the claims.   |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.  |
| I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.  |
| Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");  |
| Whereas, THE UNIVERSITY OF MASSACHUSETTS, having a business address at One Beacon Street, 31* Floor, Boston, MA 02108 (herein referred to as "ASSIGNEE"), desires to attain or has attained, and each undersigned invento desires to grant or has granted to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;  |
| Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I, one of the undersigned inventors (herein referred to "ASSIGNOR"), hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in any and all countries and jurisdictions, including all divisionals, continuations, continuations-in-part, reissues, reexaminations, renewals, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents, and further including any right to sue for past infringement and to recover and retain damages and profits in respect thereof. In addition, I hereby authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and I agree for myself and my respective heirs, legal representatives, and assigns, without further compensation, to execute any and all documents, oaths and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns. |
| I also hereby grant ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Declaration and Assignment any further identification of the APPLICATION (including, but not limited to, patent Application Number and filing date).  |
| I hereby covenant that I have the full right to convey the entire right, title, and interest herein assigned and that I have not executed and will not execute any agreement in conflict herewith.  |
| I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.   |
| Signature: Date: 3 24 17 Legal Name of Inventor: Trisha L. Andrew  Date: 3 24 17  Legal Name of Inventor: Date: 3/24/17   |
| Witness Signature Witness Signature   |
| Morgan Bainea Linden Allison Printed Legal Name of Witness  Printed Legal Name of Witness   |

PATENT REEL: 045345 FRAME: 0064

# DECLARATION AND ASSIGNMENT

Title of Invention: POLYMERIC CAPACITORS FOR ENERGY STORAGE DEVICES, METHOD OF MANUFACTURE THEREOF AND ARTICLES COMPRISING THE SAME

| This Declaration and Assignment is directed to:  [ ] the attached application (Attorney Docket Number), or  [x] United States application or PCT international application number 62/473,615 filed on March 20, 2017.  The above-identified application was made or authorized to be made by me, and I have reviewed and understand the contents of the application, including the claims.  I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.  |
|---|
| [x] United States application or PCT international application number 62/473,615 filed on March 20, 2017. The above-identified application was made or authorized to be made by me, and I have reviewed and understand the contents of the application, including the claims.   |
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| I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.  |
| Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");  |
| Whereas, THE UNIVERSITY OF MASSACHUSETTS, having a business address at One Beacon Street, 31st Floor, Boston, MA 02108 (herein referred to as "ASSIGNEE"), desires to attain or has attained, and each undersigned inventor desires to grant or has granted to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;  |
| Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I, one of the undersigned inventors (herein referred to "ASSIGNOR"), hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in any and all countries and jurisdictions, including all divisionals, continuations, continuations-in-part, reissues, reexaminations, renewals, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents, and further including any right to sue for past infringement and to recover and retain damages and profits in respect thereof. In addition, I hereby authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and I agree for myself and my respective heirs, legal representatives, and assigns, without further compensation, to execute any and all documents, oaths and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns. |
| I also hereby grant ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Declaration and Assignment any further identification of the APPLICATION (including, but not limited to, patent Application Number and filing date).  |
| I hereby covenant that I have the full right to convey the entire right, title, and interest herein assigned and that I have not executed and will not execute any agreement in conflict herewith.  |
| I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  Signature:  Date: 05/24/1  Legal Name of Inventor: Lushuai Zhang  Witness Signature  Witness Signature  Witness Signature  |
| Morgan Barra Under Allison Printed Legal Name of Witness Printed Legal Name of Witness  |

PATENT REEL: 045345 FRAME: 0065

**RECORDED: 03/26/2018**