504838705 03/27/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT4885442 Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
YENAN BRYCESON	03/15/2018
JEFFREY MILLER	03/08/2018
FRANK CICHOCKI	03/08/2018
HEINRICH SCHLUMS	03/08/2018

RECEIVING PARTY DATA

Name:	REGENTS OF THE UNIVERSITY OF MINNESOTA
Street Address:	600 MCNAMARA ALUMNI CENTER
Internal Address:	200 OAK STREET SE
City:	MINNEAPOLIS
State/Country:	MINNESOTA
Postal Code:	55455

PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	US2016051685

CORRESPONDENCE DATA

Fax Number: (612)305-1228

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 612-767-2456

Email: SHAYEK@MRGIPLAW.COM

Correspondent Name: LAURA N. ARNESON

Address Line 1: 111 THIRD AVENUE SOUTH, SUITE 350
Address Line 4: MINNEAPOLIS, MINNESOTA 55401

ATTORNEY DOCKET NUMBER: 110.05000201

NAME OF SUBMITTER: LAURA N. ARNESON

SIGNATURE: /Laura N. Arneson/

DATE SIGNED: 03/27/2018

Total Attachments: 12

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PATENT REEL: 045361 FRAME: 0815



PATENT REEL: 045361 FRAME: 0816



University of Minnesota assignment

WHEREAS, I, Frank Cichocki, have invented certain new and useful improvements in NK CELLS EXHIBITING AN ADAPTIVE PHENOTYPE AND METHODS FOR PREPARING AND FOR USING, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: PCT/US2016/051685 on September 14, 2016, (the "Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket Number 110.05000201 UM Case No. 20150252

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto se	my hand on this day of
·	
	rank Cichockí
COUNTY OF Henry of Mord	
COUNTY OF Henre poin	
On this 8 day of Mo-U	, 2018, before me personally appeared
Frank Cichocki, to me known to be the person des instrument and acknowledged that s/he executed the sa	chiped in and who executed the foregoing
IN WITNESS WHEREOF, I have hereunto a my office, the day and year above written.	ffixed my official signature with the seal of
	Ben In Galil
) in the second of the second	lotary Public



N WITNESS WHEREOF, 2018, on be accepting this assignment.		set my hand on this Regents of the Unive	day of day of day of day of day of Minnesota
	REGENTS O	F THE UNIVERSITY	OF MINNESOTA
		Thomas K. Hutton Operations Director a per Presidential Dele	
STATE OF MINNESOTA)		
COUNTY OF HENNEPIN)		
COUNTY OF HENNEPIN On this day of Thomas K. Hutton, to me known to instrument and acknowledged that s	o be the person	described in and who	
IN WITNESS WHEREOF, my office, the day and year above w		affixed my official s	ignature with the seal of
		Notary Public	u bill
		F Land N	RESA M. GILATS Stotary Public-Minnesota Commission Expires Jan 31, 2021

Attorney Docket Number 110.05000201 UM Case No. 20150252

PATENT



University of Minnesota assignment

WHEREAS, I, Yenan Bryceson, have invented certain new and useful improvements in NK CELLS EXHIBITING AN ADAPTIVE PHENOTYPE AND METHODS FOR PREPARING AND FOR USING, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: PCT/US2016/051685 on September 14, 2016, (the "Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignce, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket Number 110.05000201 UM Case No. 20150252

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I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

Letters Patent to Assignee in accordance with this i	nsrument.
IN WITNESS WHEREOF, I have hereunto	set my hand on this March 15th day of
	7.5 R.
	Yenan Bryceson
Witnessed by:	Witnessed by:
Signature	Signature
MATTHIAS VOSS Print Name	PETTER S. WOUL Print Name
<u>ZO18 03 15</u> Date	15/3/15 Date

Attorney Docket Number 110.05000201 UM Case No. 20150252

	Paul B. Savereide Senior Associate General Counsel per Presidential Delegation DA_028016
STATE OF MINNESOTA COUNTY OF HENNEPIN On this 12 day of Paul B. Savereide, to me know	2018, before me personally appeared to be the person described in and who executed the foregoing at s/he executed the same as his/her free act and deed.
	OF, I have hereunto affixed my official signature with the seal of
	RESA M. GILATS Notary Public-Minnesota No Commission Expire Jun 91, 2021

REEL: 045361 FRAME: 0822



University of Minnesota <u>ASSIGNMENT</u>

WHEREAS, I, Jeffrey Miller, have invented certain new and useful improvements in NK CELLS EXHIBITING AN ADAPTIVE PHENOTYPE AND METHODS FOR PREPARING AND FOR USING, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: PCT/US2016/051685 on September 14, 2016, (the "Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket Number 110.05000201 UM Case No. 20150252

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.
IN WITNESS WHEREOF, I have hereunto set my hand on this day of
STATE OF MINNEROKE)
county of Hennepin }
COUNTY OF Hennepin On this day of March, 2018, before me personally appeared Jeffrey Miller, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.
IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.
Ben En Grace
Notary Public
RESA M. GILATS Notary Public-Minnesota thy Commission Expires Jan 31, 2021

Attorney Docket Number 110.05000201 UM Case No. 20150252

IN WITNESS WHEREOF, I have hereunto s	et my hand on this day of Regents of the University of Minnesota
REGENTS OF	THE UNIVERSITY OF MINNESOTA
	TRALL
	Thomas K. Hutton Operations Director and Chief of Staff per Presidential Delegation DA_25325
STATE OF MINNESOTA) COUNTY OF HENNEPIN)	
e34 AA A	
IN WITNESS WHEREOF, I have hereunto my office, the day and year above written.	affixed my official signature with the seal of
	Ruch Silet Notary Public
	PESA M. GILATS Notary Public-Minnesota Ny Commission Expires Jan 31, 5031

Attorney Docket Number 110.05000201 UM Case No. 20150252

REEL: 045361 FRAME: 0825



University of Minnesota ASSIGNMENT

WHEREAS, I, Heinrich Schlums, have invented certain new and useful improvements in NK CELLS EXHIBITING AN ADAPTIVE PHENOTYPE AND METHODS FOR PREPARING AND FOR USING, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: PCT/US2016/051685 on September 14, 2016, (the "Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket Number 110.05000201 UM Case No. 20150252

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

G	Coll
WITNESS WHEREOF, I have	e hereunto set my hand on thisday of
, 2018.	491
	Heimich Schlums
Witnessed by:	Witnessed by
a. la Jac	VXXX
Signature /	Signature
Monika Jansson	ANNE-SOTIE DOHAYSSON
Print Name	Print Name
18 03 08	190308
Date	Date

Attorney Docket Number 110.05000201 UM Case No. 20150252

accepting this assignment.	ssignee Regents of the University of Minnesota
REGEN	NTS OF THE UNIVERSITY OF MINNESOTA
	TKU.H
	Thomas K. Hutton
	Operations Director and Chief of Staff
	per Presidential Delegation DA_25325
STATE OF MINNESOTA)	
COUNTY OF HENNEPIN)	
On this 8th day of Marc	, 2018, before me personally appeared
instrument and acknowledged that s/he execut	person described in and who executed the foregoing
instrument and acknowledged that s/he execut	person described in and who executed the foregoing
instrument and acknowledged that s/he execution IN WITNESS WHEREOF, I have he	person described in and who executed the foregoing ted the same as his/her free act and deed.

Attorney Docket Number 110.05000201 UM Case No. 20150252

Patent

REEL: 045361 FRAME: 0828