

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4830442

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	LIEN	
CONVEYING PARTY DATA		
	Name	Execution Date
	MR MICHAEL J. PARRELLA SR	02/25/2011
RECEIVING PARTY DATA		
Name:	MR JERROLD M. METCOFF	
Street Address:	14 DEER RIDGE RD	
City:	ROXBURY	
State/Country:	CONNECTICUT	
Postal Code:	06783	
PROPERTY NUMBERS Total: 5		
Property Type	Number	
PCT Number:	US2015054650	
PCT Number:	US2015054646	
PCT Number:	US2015054668	
PCT Number:	US2016063523	
PCT Number:	US2012070104	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	860-355-3922	
Email:	jerry.metcoff@att.net	
Correspondent Name:	JERROLD METCOFF	
Address Line 1:	14 DEER RIDGE RD	
Address Line 4:	ROXBURY, CONNECTICUT 06783	
NAME OF SUBMITTER:	JERROLD METCOFF	
SIGNATURE:	/Jerrold Metcoff/	
DATE SIGNED:	02/20/2018	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 11		
source=2018-02-20 Cover Letter#page1.tif		

source=2011-02-25 CT Judgment Lien Filing against Parrella#page1.tif
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source=2011-02-25 CT Judgment Lien Filing against Parrella#page4.tif
source=2011-02-25 CT Judgment Lien Filing against Parrella#page5.tif
source=2011-04-18 CT UCC3 RE PARRELLA#page1.tif
source=2011-04-18 CT UCC3 RE PARRELLA#page2.tif
source=2011-04-18 CT UCC3 RE PARRELLA#page3.tif
source=2016-01-21 UCC-3 re. Parrella#page1.tif
source=2018-02-19 Certification#page1.tif

February 20, 2018

To whom it may concern,

The judgment against Michael Parrella Sr. and the corresponding liens filed at the Connecticut Secretary of State apply only to Mr. Parrella's interest in the patent applications here-in named. The judgment lien pre-dates all other assignments and covers "all patents and future patents and patent applications". Also, no inventor oath or declaration was filed to affirm ownership by the applicant, GTherm Inc.

Pub. #, Application #, or PCT No.:

PCT/US2015/054650

PCT/US2015/054646

PCT/US2015/054668

PCT/US2016/063523

PCT/US2012/070104



SECRETARY OF THE STATE OF CONNECTICUT

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470

DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06108

PHONE: 860-509-6002

WEBSITE: www.concord-sots.ct.gov

11.52478

JUDGMENT LIEN CERTIFICATE

FILING #0002800363 PG 01 OF 04 VOL U-00433

FILED 02/25/2011 01:19 PM PAGE 02669

SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTACH 81/2

FILING PARTY (CONFIRMATION WILL BE SENT TO THIS ADDRESS):		FILING FEE: \$50		
CUSTOMER ID:		MAKE CHECKS PAYABLE TO "SECRETARY OF STATE"		
NAME: Berman and Sable LLC				
ADDRESS: 100 Pearl Street, 4th Floor				
CITY: Hartford				
STATE: Connecticut ZIP: 06103				
1. JUDGMENT DEBTORS FULL LEGAL NAME				
OR	1A. ORGANIZATION'S NAME			
	1B. INDIVIDUAL'S			
	LAST NAME Parrella	FIRST NAME Michael	MIDDLE J.	SUFFIX Sr.
1C. MAILING ADDRESS:				
ADDRESS: 40 Kellogg Hill Road				
CITY: Weston				
STATE: Connecticut ZIP: 06883 COUNTRY: USA				
2. ADDITIONAL JUDGMENT DEBTORS FULL LEGAL NAME				
OR	2A. ORGANIZATION'S NAME			
	2B. INDIVIDUAL'S			
	LAST NAME	FIRST NAME	MIDDLE	SUFFIX
2C. MAILING ADDRESS:				
ADDRESS:				
CITY:				
STATE: ZIP: COUNTRY:				

3. JUDGMENT CREDITORS FULL LEGAL NAME**3A. ORGANIZATION'S NAME**

OR

3B. INDIVIDUAL'S

LAST NAME Metcoff

FIRST NAME Jerrold

MIDDLE M

SUFFIX

FILING #0002800363 PG 02 OF 04 VOL U-00433
FILED 02/25/2011 01:19 PM PAGE 02670
SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE**3C. MAILING ADDRESS:**

ADDRESS: 14 Deer Ridge Road

CITY: Roxbury

STATE: Connecticut ZIP: 06783 COUNTRY: USA

4. ADDITIONAL JUDGMENT CREDITORS FULL LEGAL NAME**4A. ORGANIZATION'S NAME**

OR

4B. INDIVIDUAL'S

LAST NAME

FIRST NAME

MIDDLE

SUFFIX

4C. MAILING ADDRESS:

ADDRESS:

CITY:

STATE: ZIP: COUNTRY:

5. COURT IN WHICH JUDGMENT WAS RENDERED

Superior Court, Judicial District of Waterbury, at Waterbury, Complex Litigation Docket

6. DATE OF JUDGMENT

February 17, 2011

7. ORIGINAL JUDGMENT AMOUNT

\$1,081,026.00

8. AMOUNT DUE

\$1,081,026.00

9. PERSONAL PROPERTY ON WHICH LIEN IS PLACED

See attached.

10. JUDGMENT CREDITOR SIGNATUREJudgment Creditor's attorney:
Michael P. Berman**11. DATE:**

February 25, 2011


SCHEDULE A
JUDGMENT LIEN CERTIFICATE

JUDGMENT DEBTOR
MICHAEL J. PARRELLA, SR.
40 KELLOGG HILL ROAD
WESTON, CT 06883

JUDGMENT CREDITOR
JERROLD M. METCOFF
14 DEER RIDGE ROAD
ROXBURY, CT 06783

DESCRIPTION OF PERSONAL PROPERTY ON WHICH LIEN IS TO BE PLACED:

TO THE EXTENT THAT THE FOLLOWING ARE NOT EXEMPT UNDER THE LAWS OF THE STATE OF CONNECTICUT THIS JUDGMENT LIEN SHALL ATTACH TO AND COVER THE FOLLOWING TYPES AND/OR ITEMS OF PROPERTY: ALL LIMITED LIABILITY COMPANY MEMBERSHIP INTERESTS, ALL PARTNERSHIP INTERESTS, ALL EQUIPMENT, MACHINERY, APPLIANCES, FURNITURE, FIXTURES, INVENTORY, GOODS, ACCOUNTS, CONTRACT RIGHTS, ACCOUNTS RECEIVABLE, BANK ACCOUNTS, FINANCIAL ACCOUNTS, INSTRUMENTS, DOCUMENTS, CHATTEL PAPER, CASH, GENERAL INTANGIBLES AND ALL OTHER ARTICLES OF PERSONAL PROPERTY OF EVERY KIND AND NATURE WHATSOEVER, NOW EXISTING OR HEREAFTER ARISING WITH RESPECT THERETO, ALL INCREASES, ADDITIONS AND ACCESSIONS THERETO AND ALL RENEWALS, SUBSTITUTIONS AND REPLACEMENTS THEREOF AND PROCEEDS AND PRODUCTS OF ALL OF THE FOREGOING, WHEREVER ANY OF THE FOREGOING ARE LOCATED.


MICHAEL P. BERMAN
BERMAN AND SABLE LLC
ATTORNEY FOR PLAINTIFF/
JUDGMENT CREDITOR

DOCKET NO.: (X06) CV 04-0184701 S : SUPERIOR COURT
JERROLD M. METCOFF : COMPLEX LITIGATION DOCKET
and DAVID B. WILSON, :
Plaintiffs, : AT
VS. : WATERBURY
NCT GROUP, INC., ET AL. :
Defendants. : FEBRUARY 25, 2011

CERTIFICATION


Pursuant to Connecticut General Statutes § 52-351a, this is to certify that a copy of the Judgment Lien Certificate and Schedule A, attached hereto has been mailed this 25TH day of February, 2011, via U.S. mail, first class, postage prepaid, to:

Michael J. Parrella, Sr.
40 Kellogg Hill Road
Weston, CT 06883

Edward Krumeich, Esq.
Ivey, Barnum & O'Mara, LLC
170 Mason Road
Greenwich, CT 06830

And further, that said Judgment Lien Certificate and Schedule A has been hand delivered to the office of the Secretary of State of the State of Connecticut, for recording and filing this 25th day of February, 2011.

THE PLAINTIFF
JERROLD METCOFF

By 
MICHAEL P. BERMAN
Berman and Sable LLC
His Attorneys

STATE OF CONNECTICUT
OFFICE OF THE SECRETARY OF THE STATE } SS. HARTFORD

I hereby certify that this is a true copy of record
in this Office

In Testimony whereof, I have hereunto set my hand,
and affixed the Seal of said State, at Hartford,
this 21st day of April A.D. 2011


SECRETARY OF THE STATE

BCU

**STATE OF CONNECTICUT
UCC-3 FINANCING STATEMENT AMENDMENT**

Follow Instructions Carefully

Requesting Party **Cust ID :** 001820273

Fee \$50.00 (Space for filing office use only)

Name : JERROLD METCOFF

Address : 14 DEER RIDGE

City : ROXBURY

State : CT

Zip : 06783

FILING #0002809664 PG 1 OF 2
VOL 00436 PAGE 3688
FILED ON 04/18/2011 07:20 AM
CONNECTICUT SECRETARY OF THE STATE

1. INITIAL FINANCING STATEMENT FILE # :

0002800363

2. ☐ **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the secured party authorizing this termination statement.

3. ☐ **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to security interest(s) of the secured party authorizing this continuation statement is continued for the additional period provided by applicable law.

4. ☐ **ASSIGNMENT(full or partial):** Give name of assignor at point 7.

5. ☐ AMENDMENT(PARTY INFORMATION) :

5.1 This Amendment Affects :

☐ Defendant ☐ Plaintiff

☐ Added ☐ Deleted ☐ Change

6. ☒ AMENDMENT (COLLATERAL CHANGE): (Check only one box)

☒ Added ☐ Deleted ☐ Restated ☐ Assigned

7. NAME OF PARTY OF RECORD AUTHORIZING THIS AMENDMENT : ((name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor). ☐

METCOFF M JERROLD

8. OPTIONAL FILER REFERENCE DATA :

9. Amendment (Collateral Change Description):

THIS JUDGMENT LIEN SHALL ALSO ATTACH TO AND COVER ALL US AND INTERNATIONAL PATENTS, PROVISIONAL PATENTS AND PATENT APPLICATIONS AND ALL FUTURE REGISTERED PATENTS, PROVISIONAL PATENTS AND PATENT APPLICATIONS. THESE INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING US PATENT APPLICATIONS: 20090320475, 20100269501, 20100270001, 20100270002, 20100276115.

STATE OF CONNECTICUT
OFFICE OF THE SECRETARY OF THE STATE } SS. HARTFORD

I hereby certify that this is a true copy of record
in this Office

In Testimony whereof, I have hereunto set my hand,
and affixed the Seal of said State, at Hartford,
this 21st day of April A.D. 2011



SECRETARY OF THE STATE

Online Report

**SECRETARY OF THE STATE OF CONNECTICUT
UCC-3 FINANCING STATEMENT AMENDMENT**

FILING #0003099088 PG 1 OF 1
VOL 00577 PAGE 0765
FILED ON 01/21/2016 08:50 AM
SECRETARY OF THE STATE OF CONNECTICUT

1. INITIAL FINANCING STATEMENT FILE # :

0002800363

2. ☐ **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the secured party authorizing this termination statement.

3. ☒ **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to security interest(s) of the secured party authorizing this continuation statement is continued for the additional period provided by applicable law.

4. ☐ **ASSIGNMENT(full or partial):** Give name of assignor at point 7.

5. ☐ AMENDMENT(PARTY INFORMATION) :**5.1 This Amendment Affects :**☐ Defendant ☐ Plaintiff☐ Added ☐ Deleted ☐ Change**6. ☐ AMENDMENT (COLLATERAL CHANGE):** (Check only one box)☐ Added ☐ Deleted ☐ Restated ☐ Assigned

7. NAME OF PARTY OF RECORD AUTHORIZING THIS AMENDMENT : ((name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor check here ☐ and enter name of debtor authorizing this amendment).

METCOFF M JERROLD

8. OPTIONAL FILER REFERENCE DATA :

***** END *****

DOCKET NO: (X06) CV 04-0184701 S : SUPERIOR COURT
JERROLD M. METCOFF : COMPLEX LITIGATION DOCKET
and DAVID B. WILSON, :
Plaintiffs, : AT
VS. : WATERBURY
NCT GROUP, INC., ET AL., :
Defendants : February 20, 2018

CERTIFICATION

Pursuant to Connecticut General Statutes 52-351a, this is to certify that a copy of the Judgment Lien Certificate and attachments attached hereto have been mailed on February 20, 2018, via U.S. mail, first class, postage prepaid, to:

Michael J. Parrella, Sr.
40 Kellogg Hill Rd,
Weston, CT 06883

and

Michael J. Parrella, Sr.
c/o GTherm Inc.
251 Riverside Ave.
Westport, CT 06880

And further, that said Judgment Lien Certificate and attachments have been submitted to the United States Patent Office for recording and filing this 20th day of February, 2018 on the properties listed in the documents.



JERROLD M. METCOFF
THE PLAINTIFF