

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4890568

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
C. SIMONE JUDE-FISHBURN	04/21/2011
LAURIE A. VANDERVEEN	04/19/2011
TIMOTHY A. RILEY	04/15/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NEKTAR THERAPEUTICS
<b>Street Address:</b>	455 MISSION BAY BOULEVARD SOUTH
<b>Internal Address:</b>	SUITE 100
<b>City:</b>	SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94158
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15228793
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(415)339-5323
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	atran@nektar.com
<b>Correspondent Name:</b>	NEKTAR THERAPEUTICS
<b>Address Line 1:</b>	455 MISSION BAY BOULEVARD SOUTH
<b>Address Line 2:</b>	SUITE 100
<b>Address Line 4:</b>	SAN FRANCISCO, CALIFORNIA 94158
<b>ATTORNEY DOCKET NUMBER:</b>	SHE0215.10
<b>NAME OF SUBMITTER:</b>	ANNA TRAN
<b>SIGNATURE:</b>	/Anna Tran/
<b>DATE SIGNED:</b>	03/29/2018
<b>Total Attachments: 15</b>	
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A S S I G N M E N T

WHEREAS, We, C. SIMONE JUDE-FISHBURN, LAURIE A. VANDERVEEN, and TIMOTHY A. RILEY, hereinafter referred to as ASSIGNORS, have invented a new and unobvious invention entitled:

PROTEASE INHIBITORS HAVING ENHANCED FEATURES

for which application for Letters Patent of the United States is identified by U. S. Application No. 13/119,079, having a filing date of March 15, 2011; and

WHEREAS, NEKTAR THERAPEUTICS, a Delaware corporation having a place of business at 455 Mission Bay Boulevard South, Suite 100, San Francisco, California 94158, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, ASSIGNORS acknowledge that pursuant to their employment agreements and the terms of this instrument, they are under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of the referred-to application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to NEKTAR THERAPEUTICS, its successors, legal representatives and assigns in accordance with this instrument;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS:

C. Simone Jude-Fishburn  
186 Elwood Street  
Redwood City, California 94062

Laurie A. VanderVeen  
882 Parma Way  
Los Altos, California 94024

Timothy A. Riley  
211 Morningwalk Lane  
Huntsville, Alabama 35824

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE, the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNORS hereby warrant, covenant and represent the fact to be that they have not theretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNORS covenant and agree that at the request and expense of ASSIGNEE they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent in ASSIGNEE, and execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by ASSIGNEE, for reissuance of said Letters Patent, or for the filing of foreign countries of applications for Letters Patent conferring said invention or improvements.

ASSIGNORS further covenant and agree that at the expense and request of ASSIGNEE they will promptly assist ASSIGNEE in interference proceedings involving said invention or improvements, and in litigation involving said Letters Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention or improvements.

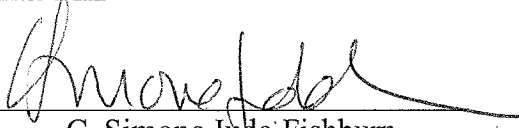
4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other, legal representatives, and shall be binding upon ASSIGNORS, their heirs, legal representatives and assigns.

5. ASSIGNORS hereby authorize and request the COMMISSIONER FOR PATENTS to issue the United States Letters Patent on their invention, when granted, unto

**NEKTAR THERAPEUTICS**

its successors, assigns and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, **C. SIMONE JUDE-FISHBURN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS 21<sup>ST</sup> DAY OF APRIL, 2011.

  
C. Simone Jude-Fishburn

STATE OF CALIFORNIA

County of SAN MATEO

On 21, April, 2011 before me, \_\_\_\_\_  
Date

SUSAN L. DIXON, Notary Public  
C. Simone Jude-Fishburn SND 4-21-2011  
Name and Title of the Officer

personally appeared C. Simone Jude-Fishburn  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Susan L. Dixon  
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **LAURIE A. VANDERVEEN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
Laurie A. VanderVeen

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

IN TESTIMONY WHEREOF, I, **TIMOTHY A. RILEY**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
Timothy A. Riley

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

\_\_\_\_\_  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

ASSIGNMENT

WHEREAS, We, C. SIMONE JUDE-FISHBURN, LAURIE A. VANDERVEEN, and TIMOTHY A. RILEY, hereinafter referred to as ASSIGNORS, have invented a new and unobvious invention entitled:

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for which application for Letters Patent of the United States is identified by U. S. Application No. 13/119,079, having a filing date of March 15, 2011; and

WHEREAS, NEKTAR THERAPEUTICS, a Delaware corporation having a place of business at 455 Mission Bay Boulevard South, Suite 100, San Francisco, California 94158, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, ASSIGNORS acknowledge that pursuant to their employment agreements and the terms of this instrument, they are under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of the referred-to application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to NEKTAR THERAPEUTICS, its successors, legal representatives and assigns in accordance with this instrument;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS:

C. Simone Jude-Fishburn  
186 Elwood Street  
Redwood City, California 94062

Laurie A. VanderVeen  
882 Parma Way  
Los Altos, California 94024

Timothy A. Riley  
211 Morningwalk Lane  
Huntsville, Alabama 35824



1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE, the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNORS hereby warrant, covenant and represent the fact to be that they have not theretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNORS covenant and agree that at the request and expense of ASSIGNEE they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent in ASSIGNEE, and execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by ASSIGNEE, for reissuance of said Letters Patent, or for the filing of foreign countries of applications for Letters Patent conferring said invention or improvements.

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4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other, legal representatives, and shall be binding upon ASSIGNORS, their heirs, legal representatives and assigns.

5. ASSIGNORS hereby authorize and request the COMMISSIONER FOR PATENTS to issue the United States Letters Patent on their invention, when granted, unto

**NEKTAR THERAPEUTICS**

its successors, assigns and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, **C. SIMONE JUDE-FISHBURN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
C. Simone Jude-Fishburn

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

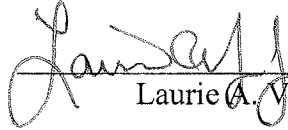
\_\_\_\_\_  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

IN TESTIMONY WHEREOF, I, **LAURIE A. VANDERVEEN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS 19<sup>th</sup> DAY OF April, 2011.

  
Laurie A. VanderVeen

STATE OF CALIFORNIA

County of SAN FRANCISCO


On APRIL 19, 2011 before me, ANNA E. TRAN, NOTARY PUBLIC,  
Date Name and Title of the Officer

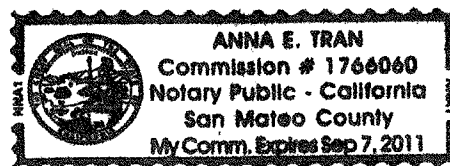
personally appeared LAURIE A. VANDERVEEN  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
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WITNESS my hand and official seal.

Signature   
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **TIMOTHY A. RILEY**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
Timothy A. Riley

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

\_\_\_\_\_  
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Signature \_\_\_\_\_  
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C. Simone Jude-Fishburn  
186 Elwood Street  
Redwood City, California 94062

Laurie A. VanderVeen  
882 Parma Way  
Los Altos, California 94024

Timothy A. Riley  
211 Morningwalk Lane  
Huntsville, Alabama 35824

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**NEKTAR THERAPEUTICS**

its successors, assigns and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, **C. SIMONE JUDE-FISHBURN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
C. Simone Jude-Fishburn

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

IN TESTIMONY WHEREOF, I, **LAURIE A. VANDERVEEN**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2011.

\_\_\_\_\_  
Laurie A. VanderVeen

STATE OF \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Date Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
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I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **TIMOTHY A. RILEY**, HAVE EXECUTED AND  
DELIVERED THIS INSTRUMENT THIS 15<sup>th</sup> DAY OF April, 2011.

Timothy A. Riley  
Timothy A. Riley

STATE OF Alabama

County of Madison

On April 15, 2011 before me, Wendy D. Wilson, Executive Assistant  
Date Name and Title of the Officer

personally appeared Timothy A. Riley  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
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foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Wendy D. Wilson  
Signature of Notary Public

