### 04/03/2018 504849411

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4896149

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name             | Execution Date |
|------------------|----------------|
| KATHERINE MILLER | 03/27/2018     |
| JOSEPH FAIVRE    | 03/23/2018     |
| BRADLEY KRONE    | 03/23/2018     |
| TONY METZGER     | 03/23/2018     |
| STEVE OTT        | 04/02/2018     |

### **RECEIVING PARTY DATA**

| Name:             | CATERPILLAR INC.   |
|-------------------|--------------------|
| Street Address:   | 510 LAKE COOK ROAD |
| Internal Address: | SUITE 100          |
| City:             | DEERFIELD          |
| State/Country:    | ILLINOIS           |
| Postal Code:      | 60015              |

### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |  |
|---------------------|----------|--|
| Application Number: | 15943073 |  |

### **CORRESPONDENCE DATA**

Fax Number: (202)450-5538

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-808-3550

Email: shughes@bookoffmcandrews.com BOOKOFF MCANDREWS, PLLC **Correspondent Name:** 

Address Line 1: 2020 K STREET NW

Address Line 2: SUITE 400

Address Line 4: WASHINGTON, D.C. 20006

| ATTORNEY DOCKET NUMBER: | 00152-7303-00000 |
|-------------------------|------------------|
| NAME OF SUBMITTER:      | /BIJU CHANDRAN/  |
| SIGNATURE:              | /Biju Chandran/  |
| DATE SIGNED:            | 04/03/2018       |

**PATENT** REEL: 045420 FRAME: 0500 504849411

# Total Attachments: 12 source=2018-04-02 FINAL Assignment 00264026#page1.tif source=2018-04-02 FINAL Assignment 00264026#page2.tif source=2018-04-02 FINAL Assignment 00264026#page3.tif source=2018-04-02 FINAL Assignment 00264026#page4.tif source=2018-04-02 FINAL Assignment 00264026#page5.tif source=2018-04-02 FINAL Assignment 00264026#page6.tif source=2018-04-02 FINAL Assignment 00264026#page7.tif source=2018-04-02 FINAL Assignment 00264026#page8.tif source=2018-04-02 FINAL Assignment 00264026#page9.tif source=2018-04-02 FINAL Assignment 00264026#page10.tif source=2018-04-02 FINAL Assignment 00264026#page11.tif source=2018-04-02 FINAL Assignment 00264026#page11.tif

PATENT REEL: 045420 FRAME: 0501

### **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

## PERFORMANCE MONITOR FOR A MACHINE

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a Delaware corporation whose post office address is 100 NE Adams Street, Peorla, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof, and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, IAVE HEREBY further coverant and agree that I/We will, without further consideration, communicate with Assignee, its successors and essigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, IWE HEREBY authorize the attorneys/representatives that I/WE have empowered to prosecute this application to insert here in parentheses the country, application number, and official filing date ( <u>U.S.</u> Application No. <u>15/943,073</u>, filed <u>April 2, 2018</u>).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

| FULL NAME OF SOLE OR FIRST ASSIGNOR     Katherine MILLER | ASSIGNOR'S SIGNATURE  KALHUMU MUULA | DATE<br>03/27/18    |
|--|-------------------------------------|---------------------|
| ADDRESS<br>1405 W. Elm St.                               |                                     | CITIZENSHIP<br>U.S. |
| Chillicothe, IL 61523                                    |                                     |                     |

Page 1 of 4

| 1. WITHESS NAME                                  | WITNESS SIGNATURE    | DATE                                    |  |  |
|--|----------------------|---|--|--|
| Brian Morrical ADDRESS 339 W. Stanegate C        | 2: mil               | 3/27/18                                 |  |  |
| ADDRESS  | <b>*</b>             | CITIZENSHIP                             |  |  |
| 339 W. Stenegole C.                              | Δ                    | US                                      |  |  |
| Peoria, IL 61614                                 | <i>f</i>             |   |  |  |
|  |                      | <i></i>                                 |  |  |
| Kevin Carew<br>Accoress<br>1024 N. Institute Pla | WITNESS SIGNATURE    | 3/27/14                                 |  |  |
| ACORESS  |                      | CITIZENSHIP                             |  |  |
| 1034 N. Institute Pla                            | V.                   | USA                                     |  |  |
| Peoria, 12 61606                                 |                      |   |  |  |
| 2. FULL NAME OF SOLE OR FIRST ASSIGNOR           | ASSIGNOR'S SIGNATURE | DATE                                    |  |  |
| Joseph FAIVRE                                    |                      |   |  |  |
| лоонеss<br>603 E. Lakeshore Dr.                  |                      |   |  |  |
| Edelstein, IL 61526                              |                      |   |  |  |
|  |                      |   |  |  |
| 1. WITNESS NAME                                  | WITNESS SIGNATURE    | DATE                                    |  |  |
| ADDRESS:   | ADDRESS              |   |  |  |
|  |                      |   |  |  |
|  |                      |   |  |  |
| 2. WITNESS NAME                                  | witness signature    | DATE                                    |  |  |
| ADDRESS  |                      | CITIZENSHIP                             |  |  |
|  |                      | *************************************** |  |  |

Page 2 of 4

| 3. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE  | DATE                |
|--|-----------------------|---------------------|
| Bradley KRONE                          |                       |                     |
| ADDRESS<br>10931 N. Tuscany Ridge      |                       | CITIZENSHIP<br>U.S. |
| Dunlap, IL 61525                       |                       |                     |
| 1. WITNESS NAME                        | WITNESS SIGNATURE     | DATE                |
|  |                       | Write.              |
| ADDRESS                                |                       | CITIZENSHIP         |
|  |                       |                     |
| 2. WITNESS NAME                        | WITNESS SIGNATURE     | DATE                |
|  |                       |                     |
| ADDRESS                                |                       | CITIZENSHIP         |
|  |                       |                     |
|  |                       |                     |
| 4. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE  | DATE                |
| ngan                                   | 87 MARS 1111118       |                     |
| Tony METZGER                           | Long Mary             | 3/23/2018           |
| ADDRESS<br>491 Reaba Ave.              |                       | CITIZENSHIP<br>U.S. |
| Congerville, IL 61729                  |                       |                     |
| 1. WITNESS NAME                        | WITNESS SIGNATURE 200 | DATE                |
| MICHAEL A SPIELLAND, JR                |                       | 3/23/2018           |
| HOUL VEENEN AUE                        |                       | CITIZENSHIP         |
| BROOKFIELD, IC. 6051                   | 3                     |                     |

| 2. WITNESS NAME                        | WITNESS SIGNATURE    | DATE        |
|--|----------------------|-------------|
| Michael Gerne                          | Till the             | 3 - 23 - 13 |
| ADDRESS                                |                      | CITIZENSHIP |
| 302 A. LINDEN ST                       |                      | U-5/2       |
| MARJA, IL 61756                        |                      |             |
| 5. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE | DATE        |
| Steve OTT                              |                      |             |
| ADDRESS<br>1505 Mackenzie St.          |                      |             |
| Washington, IL 61571                   |                      |             |
| 1. WITNESS NAME                        |                      |             |
| 1. WINESO RAME                         | witness signature    | DATE        |
| ADDRESS                                |                      | CITIZENSHIP |
|  |                      |             |
| 2 1972/20 1018                         | ,                    |             |
| 2. Witness Name                        | witness signature    | DATE        |
| ADDRESS                                |                      | CITIZENSHIP |
|  |                      |             |

# **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

### PERFORMANCE MONITOR FOR A MACHINE

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a Delaware corporation whose post office address is 100 NE Adams Street, Peoria, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize the attorneys/representatives that I/WE have empowered to prosecute this application to insert here in parentheses the country, application number, and official filing date ( <u>U.S.</u>
Application No. <u>15/943,073</u>, filed <u>April 2, 2018</u>)

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

| FULL NAME OF SOLE OR FIRST ASSIGNOR     Katherine MILLER | ASSIGNOR'S SIGNATURE                    | DATE                |
|--|---|---------------------|
| ADDRESS<br>1405 W. Elm St.                               | *************************************** | CITIZENSHIP<br>U.S. |
| Chillicothe, IL 61523                                    |   |                     |

Page 1 of 4

|  | A                       | www.commencer.commencer.commencer.com   |  |
|--|-------------------------|---|--|
| 1. Witness name                        | witness signature       | DATE                                    |  |
| ADDRESS                                |                         | CITIZENSHIP                             |  |
|  |                         | G. A.C. Co.                             |  |
|  |                         |   |  |
|  |                         |   |  |
| 2. WITNESS NAME                        | witness signature       | DATE                                    |  |
| ADDRESS                                |                         | СПІЗЕНВНІР                              |  |
|  |                         |   |  |
|  |                         |   |  |
| 2. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE    | DATE                                    |  |
| Joseph FAIVRE                          | Dough Faire             | 3/23/2018                               |  |
| ADDRESS<br>603 E. Lakeshore Dr.        |                         | CITIZENSHIP<br>U.S.                     |  |
| Edelstein, IL 61526                    |                         |   |  |
| 1. WITNESS NAME                        | 127.000.000             |   |  |
| Tianjiao Zuo                           | WITNESS SIGNATURE       | 3/23/2018                               |  |
| ADDRESS                                |                         | CMIZENSHIP                              |  |
| 6005 N Cedarcrest Ct.                  | Apt 209                 | China. PR                               |  |
| Peoria, IL \$1615                      |                         |   |  |
| 2. WITNESS NAME                        | WITNESS SIGNATURE       |   |  |
| Steven Knause                          | WITNESS SIGNATURE       | DOLEMUNG, 23                            |  |
| ADDRESS                                | 1 y Cover               | CHITCHEUM                               |  |
| 15903N Revenu Pk                       | PL Chillicothe Il 6,523 | VSA                                     |  |
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Page 2 of 4

| 3. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNORS SIGNATURE  | DATE                |  |
|--|----------------------|---------------------|--|
| Bradley KRONE                          | <b>45</b>            | 03/23/21.8          |  |
| ADDRESS<br>10931 N. Tuscany Ridge      |                      | CITIZENSHIP<br>U.S. |  |
| Dunlap, IL 61525                       |                      |                     |  |
|  |                      |                     |  |
| 1. WITNESS NAME                        | WITHESS SIGNATURE    | DATE                |  |
| Acres J MEYER                          | Amer J. Neggr        | Much 23" 2018       |  |
| ADDRESS                                |                      | CITIZENSHIP         |  |
| 1215 W P., Esdus Dr                    |                      | ü.s                 |  |
| Peara, IL 61619                        |                      |                     |  |
|  |                      |                     |  |
| 2. WITNESS NAME                        | WITNESS SIGNATURE    | DATE                |  |
| Jaren A. Russell                       | Q A N-V              | 03/23/2018          |  |
| ADORESS                                |                      | CITIZEKSHIP         |  |
| 2814 N Elmroff D.                      |                      | U.S                 |  |
| Peoric IL G1604                        |                      |                     |  |
| 4. FULL NAME OF SOLE OR FIRST ASSIGNOR | AŞŞIGNOR'S SIGNATURE | DATE                |  |
| Tony METZGER                           |                      |                     |  |
| ADORESS                                |                      | CITIZENSHIP         |  |
| 491 Reaba Ave.                         |                      | U.S.                |  |
| Congerville, IL 61729                  |                      |                     |  |
|  |                      |                     |  |
| 1. WITNESS NAME ADDRESS                | witness signature    | DATE                |  |
| APPERE                                 |                      |                     |  |
| NOWIESS                                |                      | CHISENSHIP          |  |
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| 2. WITNESS NAME   | WITNESS SIGNATURE   | DATE  |
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| ADDRESS   |   | CITIZENSHIP   |
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|   |   |   |
|   |   |   |
| 5. FULL NAME OF SOLE OR FIRST ASSIGNOR  | ASSIGNOR'S SIGNATURE                                      | DATE  |
| Steve OTT   | St Ct   | 4-2-18  |
| ADDRESS   |   | CITIZENSHIP   |
| 1505 Mackenzie St.  |   | U,S.  |
| Washington, IL 61571  |   |   |
|   |   | 1   |
|   |   |   |
| 1. WITNESS NAME   | WITNESS SIGNATURE   | DATE  |
| •   | Carlinana   | DATE 4-2-18   |
| 1. WITNESS NAME  Tony Metzger  ADDRESS  | WITNESS SIGNATURE DOWY//UZ3-92                            | **  |
| Tony Metzger  | Carlinana   | 4-2-18  |
| Tony Metzger  | Doney / Color gas   | 4-2-/8<br>CITIZENSHIP   |
| Tony Métzger<br>ADDRESS<br>491 Reaba Ave  | Doney / Color gas   | 4-2-/8<br>CITIZENSHIP   |
| Tony Metzger  ADDRESS  491 Reaba Ave  Congarville, IL  2. WITNESS NAME                          | Dowy/lless go   | 4-2-18<br>CITIZENSHIP<br>US   |
| Tony Metzger  ADDRESS  491 Reaba Ave  Congerville, IL  2. WITNESS NAME  Byron Delaware  ADDRESS | Doney / Calograp  WITNESS SCRATCH Daware  Lyon & Lalaware | 4-2-/8 CITIZENSHIP US DATE  |
| Tony Métzger  ADDRESS  491 Reaba Ave  Congarville, IL  2. WITNESS NAME  Byron Delaware          | Doney / Calograp  WITNESS SCRATCH Daware  Lyon & Lalaware | 4-2-/8 citizenship US  DATE 4/2/2018  |

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IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

| 1. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE | DATE        |
|--|----------------------|-------------|
| Katherine MILLER                       |                      |             |
| ADDRESS                                |                      | CITIZENSHIP |
| 1405 W. Elm St.                        |                      | U.S.        |
| Chillicothe, IL 61523                  |                      |             |
|  |                      | 4000        |

Page 1 of 4

| 1. WITNESS NAME                        | WITNESS SIGNATURE    | DATE              |
|--|----------------------|-------------------|
|  |                      |                   |
| ADDRESS                                |                      | CITIZENSHIP       |
|  |                      |                   |
|  |                      |                   |
|  |                      |                   |
| 2. WITNESS NAME                        | WITNESS SIGNATURE    | DATE              |
|  |                      |                   |
| ADDRESS                                |                      | CITIZENSHIP       |
|  |                      |                   |
|  |                      |                   |
| 2. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE | DATE              |
| Joseph FAIVRE                          |                      |                   |
| ADDRESS                                |                      | CITIZENSHIP       |
| 603 E. Lakeshore Dr.                   |                      | U.S.              |
| Edelstein, IL 61526                    |                      |                   |
|  |                      |                   |
| 1. WITNESS NAME                        | WITNESS SIGNATURE    | DATE              |
|  |                      |                   |
| ADDRESS                                |                      | CITIZENSHIP       |
|  |                      |                   |
|  |                      |                   |
|  |                      |                   |
| 2. WITNESS NAME                        | WITNESS SIGNATURE    | DATE              |
|  |                      |                   |
| ADDRESS                                |                      | CITIZENSHIP       |
|  |                      | DATE  CITIZENSHIP |
|  |                      |                   |

Page 2 of 4

PATENT REEL: 045420 FRAME: 0511

| 3. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE  | DATE                |
|--|-----------------------|---------------------|
| Bradley KRONE                          |                       |                     |
| ADDRESS                                |                       | CITIZENSHIP         |
| 10931 N. Tuscany Ridge                 |                       | ] U.S.              |
| Dunlap, IL 61525                       |                       |                     |
| 1. WITNESS NAME                        | WITNESS SIGNATURE     | DATE                |
|  |                       | 50716               |
| ADDRESS                                | ADDRESS               |                     |
|  |                       |                     |
| 2. WITNESS NAME                        | WITNESS SIGNATURE     | DATE                |
|  |                       |                     |
| ADDRESS                                |                       | CITIZENSHIP         |
|  |                       |                     |
|  |                       |                     |
| 4. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE  | DATE                |
| Tony METZGER                           | Tony Mag              | 3/23/2018           |
| ADDRESS 491 Reaba Ave.                 |                       | CITIZENSHIP<br>U.S. |
| Congerville, IL 61729                  |                       |                     |
| 1. WITNESS NAME                        | WITNESS SIGNATURE / \ | DATE                |
| MICHAEL A SPIELLAN, JR.                | mast -                | 3/23/2018           |
| ADDRESS                                |                       | CITIZENSHIP         |
| 4002 VERNON AUE                        |                       | U.5.A.              |
| BROOKEISCO, 16. 6051                   | 3                     |                     |

| 2. WITNESS NAME                        | WITNESS SIGNATURE    | DATE                |
|--|----------------------|---------------------|
| MOUSEL GETTLE                          | Table Und            | 3-23 18             |
| ADDRESS                                |                      | CITIZENSHIP         |
| 3-2 A. LINDEN ST                       |                      | <u> </u>            |
| 352 N. LINDEN ST<br>MAROA, IL 61756    |                      |                     |
| 5. FULL NAME OF SOLE OR FIRST ASSIGNOR | ASSIGNOR'S SIGNATURE | DATE                |
| Steve OTT                              |                      |                     |
| ADDRESS 1505 Mackenzie St.             |                      | CITIZENSHIP<br>U.S. |
| Washington, IL 61571                   |                      |                     |
|  | )                    |                     |
| 1. Witness name                        | WTNESS SIGNATURE     | DATE                |
| ADDRESS                                |                      | CITIZENSHIP         |
|  |                      |                     |
| 2. WITNESS NAME                        | WITNESS SIGNATURE    | CATE                |
| ADDRESS                                |                      | CITIZENSHIP         |
|  |                      |                     |

**RECORDED: 04/03/2018**