

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4897769

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MICHAEL L. LITTMAN	04/14/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY
<b>Street Address:</b>	83 SOMERSET STREET
<b>City:</b>	NEW BRUNSWICK
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	08901
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	11426748
<b>Application Number:</b>	15483213
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(443)964-2201
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	443-964-2200
<b>Email:</b>	qle@polsinelli.com
<b>Correspondent Name:</b>	POLSINELLI
<b>Address Line 1:</b>	2810 LAURIAN LANE
<b>Address Line 2:</b>	SUITE 300
<b>Address Line 4:</b>	DUNKIRK, MARYLAND 20754
<b>ATTORNEY DOCKET NUMBER:</b>	2005-0552
<b>NAME OF SUBMITTER:</b>	QUY LE
<b>SIGNATURE:</b>	/Quy Le/
<b>DATE SIGNED:</b>	04/03/2018
<b>Total Attachments: 2</b>	
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## ASSIGNMENT OF INVENTION

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties, intending to be legally bound, agree as follows:

### ASSIGNORS:

Name	Address
Michael L. Littman	52 Church Street, Barrington, Rhode Island 02806

hereby sell, assign and transfer to:

### ASSIGNEE:

Rutgers, the State University of New Jersey	83 Somerset Street, New Brunswick, New Jersey 08901
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and the successors, assigns and legal representatives of the ASSIGNEE, entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

### LEARNING FROM INTERACTIONS FOR A SPOKEN DIALOG SYSTEM

invented by et al. and which is found in:

- ☐ the U.S. patent application executed on even date herewith;
- ☒ the U.S. provisional patent application no.: 60/573,847 filed: May 25, 2004
- ☒ the U.S. patent application no.: 11/426,748 filed: June 27, 2006
- ☐ the International Application no.:
- ☐ the U.S. patent no. issued on;

and, in and to all Letters Patent, both foreign and domestic, to be obtained for said invention by the aforesaid U.S. patent application, and in and to all Non-Provisional and International Applications claiming priority therefrom, and all Letters Patent, both foreign and domestic, to be obtained for said invention by the aforesaid Non-Provisional and International Applications claiming priority therefrom, and all continuation, division, renewal, continuation-in-part or substitute of said Non-Provisional U.S. Patent Applications, and as to Letters Patent and any reissue or re-examination thereof, and to any legal equivalent thereof in a foreign country, including, the right to apply for patents and inventor certificates in respect thereof, the right to claim priority, and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of the invention.

ASSIGNORS hereby authorize ASSIGNEE or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document.

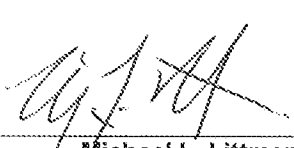
ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant and agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS, and that ASSIGNORS will, at ASSIGNEE's expense, testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE, its assigns or its legal representatives, without further or additional consideration, any and all papers, instruments or affidavits required, render all necessary assistance, and do such additional acts as ASSIGNEE may deem necessary or desirable, to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE sole use and benefit, and for the use and benefit of ASSIGNEE legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNORS had this assignment and sale not been made.

The parties agree that the foregoing covenants and obligations shall bind and inure to the benefit of the heirs, assigns and legal representatives of both parties.

Name:

  
Michael L. Littman

Date:

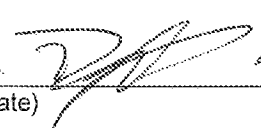
4/14/2017

State of  
County of

Rhode Island  
Providence

Before me this 14 day of April, 2017, personally appeared the above-named individual, to me known to be the person who is described in, and who executed the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein expressed.

DILIP ARUNACHAN  
Witness (Print, Sign and Date)

 4/14/17