

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4899835

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KYLE W.H. CHAN	03/17/2017
LEAH FUNG	03/17/2017
ROBERT SULLIVAN	03/17/2017
PAUL E. ERDMAN	03/17/2017
FRANK MERCURIO	03/22/2017
RECEIVING PARTY DATA	
Name:	BIOOTHERYX, INC.
Street Address:	20 CABIN RIDGE ROAD
City:	CHAPPAQUA
State/Country:	NEW YORK
Postal Code:	10514
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15892267
CORRESPONDENCE DATA	
Fax Number:	(949)760-9502
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9497600404
Email:	efiling@knobbe.com
Correspondent Name:	KNOBBE MARTENS OLSEN AND BEAR
Address Line 1:	2040 MAIN STREET
Address Line 2:	14TH FLOOR
Address Line 4:	IRVINE, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	BIOTX.002A3C1
NAME OF SUBMITTER:	PAUL C STEINHARDT
SIGNATURE:	/PAUL C. STEINHARDT/
DATE SIGNED:	04/04/2018
Total Attachments: 6	

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source=2017-03-22 Assignment - BIOTX.002PR2#page6.tif

ASSIGNMENT

WHEREAS, Kyle W.H. Chan, residing in San Diego, CA, Leah Fung, residing in San Diego, CA, Robert Sullivan, residing in Vista, CA, Paul E. Erdman, residing in San Diego, CA, and Frank Mercurio, residing in Rancho Santa Fe, CA, (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental design or discoveries related to CHIMERIC COMPOUNDS TARGETING PROTEINS, COMPOSITIONS, METHODS, AND USES THEREOF (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States has been prepared for filing (identified above) with the United States Patent and Trademark Office (hereinafter the "Application");

AND WHEREAS, BioTheryX, Inc., a New York Corporation, having an address at 20 Cabin Ridge Road, Chappaqua, NY 10514 (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 62/3383k03, filed May 18, 2016 (respectively if plural applications)), and all nonprovisional applications claiming priority thereto, including, all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Application and the Work or any improvements made thereto, sign all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

Legal Name of inventor: Kyle W.H. Chan

Signature: _____

[Handwritten Signature]

Date: 3/17/17

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

} ss.

COUNTY OF SAN DIEGO

On March 17, 2017, before me, Edgar H. Compton III, notary public,
personally appeared Kyle W.H. Chan who proved to me on the basis of satisfactory evidence to
be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

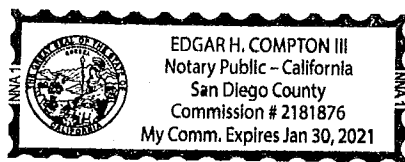
I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[Handwritten Signature]

[SEAL]

Notary Signature



Legal Name of inventor: Leah Fung

Signature: _____

LFung

Date: 3/17/17

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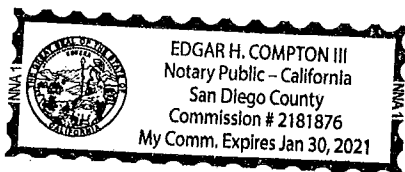
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person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

[SEAL]



Edgar H. Compton III

Notary Signature

Legal Name of inventor: Robert Sullivan

Signature: _____

hms *RS*

Date: 17 Mar 17

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COUNTY OF SAN DIEGO

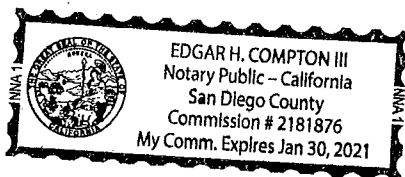
ss.

On March 17, 2017, before me, Edgar H. Compton III, notary public, personally appeared Robert Sullivan who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

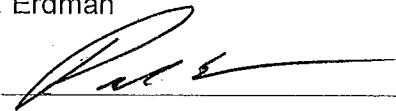


Edgar H. Compton III

Notary Signature

Legal Name of inventor: Paul E. Erdman

Signature:



Date: 3-17-2017

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STATE OF CALIFORNIA

COUNTY OF SAN DIEGO

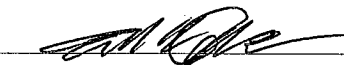
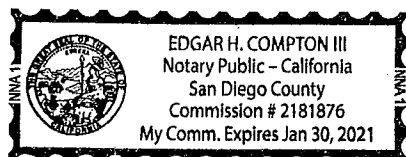
} ss.

On March 17, 2017, before me, Edgar H. Compton III, notary public,
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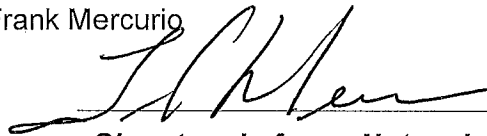
[SEAL]



Notary Signature

Legal Name of inventor: Frank Mercurio

Signature:



Date:

3/22/17

Signature before a Notary is desirable but not required.

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STATE OF CALIFORNIA

ss.

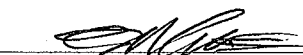
COUNTY OF SAN DIEGO

On March 22, 2017, before me, Edgar H. Compton III, notary public, personally appeared Frank Mercurio who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

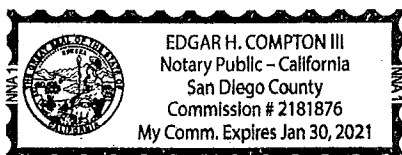
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Notary Signature



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