504856412 04/06/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4903151

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE

CONVEYING PARTY DATA

Name	Execution Date
UNIVERSITY OF CALIF-LAWRENC BERKELEY LAB	03/24/2014

RECEIVING PARTY DATA

Name:	UNITED STATES DEPARTMENT OF ENERGY	
Street Address:	1000 INDEPENDENCE AVE. S.W.	
City:	WASHINGTON	
State/Country:	D.C.	
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PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14216749

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ATTORNEY DOCKET NUMBER:	14/216749 CAR
NAME OF SUBMITTER:	CYNTHIA RIDGE
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DATE SIGNED:	04/06/2018

Total Attachments: 1

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PATENT 504856412 REEL: 045459 FRAME: 0028

CONFIRMATORY LICENSE

Title:

PEPTIDES HAVING REDUCED TOXICITY THAT STIMULATE

CHOLESTEROL EFFLUX

Contractor:

The Regents of the University of California

Contract No:

DE-AC02-05CH11231

NIH Grant No.:

HL 085791

LBNL Docket No:

WIB-3305US

DOE Docket No.: S-131,256

Inventor(s): Bielicki, John K.;

Johansson, Jan O.; Danho, Waleed US Patent App No.: 14/216,749 Filing Date: 17-Mar-2014

U.S. Patent No.: Issue Date:

Foreign patent applications filed or intended to be filed at contractor's expense in (countries): (tba at National Phase)

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated <u>October 29, 1996</u>.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of: The Regents of the University of California, Lawrence Berkeley National Laboratory

(Contractor)

Witnessed:

(Signatura)

(Date)

Sharon Kay Reineman, Admin. Asst.

(Name and Title)

Michelle Chew Wong, J.D.

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> PATENT REEL: 045459 FRAME: 0029

RECORDED: 04/06/2018