

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4903325

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MASAKAZU NIWA	02/19/2014
RECEIVING PARTY DATA	
Name:	FANUC CORPORATION
Street Address:	3580, SHIBOKUSA AZA-KOMANBA
Internal Address:	OSHINO-MURA, MINAMITSURU-GUN
City:	YAMANASHI
State/Country:	JAPAN
Postal Code:	401-0597
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14661030
CORRESPONDENCE DATA	
Fax Number:	(703)518-5499
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7036841111
Email:	bjhgroup@ipfirm.com
Correspondent Name:	BENJAMIN J. HAUPTMAN
Address Line 1:	HAUPTMAN HAM, LLP,
Address Line 2:	2318 MILL ROAD, SUITE 1400
Address Line 4:	ALEXANDRIA, VIRGINIA 22314
ATTORNEY DOCKET NUMBER:	4952-276
NAME OF SUBMITTER:	BENJAMIN J. HAUPTMAN
SIGNATURE:	/Benjamin J. Hauptman/
DATE SIGNED:	04/06/2018
Total Attachments: 10	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
:
Junpei MARUYAMA et al. : Confirmation No. 9298
:
U.S. Patent Application No. 14/661,030 : Art Unit: 2837
:
Filed: March 18, 2015 : Examiner: Rita I. Duda

For: CONTROL APPARATUS OF INJECTION MOLDING MACHINE

ASSIGNMENT RECORDAL COVER PAGE

The enclosed documents serve as evidence that the right to obtain a patent for the subject matter disclosed in the above-captioned application had been assigned

from

inventor Masakazu NIWA

to

FANUC Corporation
of 3580, Shibokusa Aza-komanba, Oshino-mura, Minamitsuru-gun,
Yamanashi, 401-0597, Japan.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Junpei MARUYAMA et al.	: Confirmation No. 9298
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U.S. Patent Application No. 14/661,030	: Art Unit: 2837
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Filed: March 18, 2015	: Examiner: Rita I. Duda

For: CONTROL APPARATUS OF INJECTION MOLDING MACHINE

LEGAL MEMORANDUM

I, Ichiro Maruyama, the undersigned, hereby declare that:

- 1) I am a Japanese Attorney at Law, at Tokyo Seiwa Law Office of Konwa Bldg. 13F, 12-22, Tsukiji 1-chome Chuo-ku, Tokyo 104-0045 JAPAN.
- 2) I rely on the following documents in this Legal Memorandum
 - a) Japanese Patent Law Article 35(2), a copy and a certified English translation thereof are attached herewith,
 - b) the attached Certificate (in Japanese and with a certified English translation attached herewith) issued by FANUC Corporation of 3580, Shibokusa Aza-komanba, Oshino-mura, Minamitsuru-gun, Yamanashi, 401-0597, Japan, showing that inventor NIWA was employed by FANUC Corporation between April 1, 1993, and September 18, 2014, and
 - c) the attached Declaration by Makoto Ichinomiya, a Japanese Patent Attorney of AIWA INTERNATIONAL PATENT AGENCY of KONWA Bldg. 4F, 12-22, Tsukiji 1-chome, Chuo-ku, Tokyo 104-0045, Japan, showing that co-inventor NIWA, around February 19, 2014, i.e., during employment by FANUC Corporation, co-invented the invention described and claimed in Japanese Patent Application No. 2014-056732 which was eventually filed in the USPTO as the above-captioned application, i.e., US Patent Application No. 14/661,030.
- 3) In view of the facts at b) and c), which show that inventor NIWA co-invented the invention

described and claimed in Japanese Patent Application No. 2014-056732 and the above-captioned application, while being employed by FANUC Corporation, and pursuant to the Japanese law at a), I conclude that a court of competent jurisdiction in Japan, by the weight of authority in that jurisdiction, would hold that a right to obtain a patent had been assigned from inventor NIWA to FANUC Corporation in Japan and foreign countries under the Japanese law, and would award title of the invention in Japanese Patent Application No. 2014-056732 and the above-captioned application to FANUC Corporation.

Dated: 2018. 4. 4

Ichiro Maruyama

Ichiro Maruyama
Attorney at Law

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:
	:
Junpei MARUYAMA et al.	: Confirmation No. 9298
	:
U.S. Patent Application No. 14/661,030	: Art Unit: 2837
	:
Filed: March 18, 2015	: Examiner: Rita I. Duda


For: CONTROL APPARATUS OF INJECTION MOLDING MACHINE

DECLARATION IN SUPPORT OF LEGAL MEMORANDUM

I, Makoto Ichinomiya, the undersigned, hereby declare that:

1. I am a Japanese Patent Attorney at AIWA INTERNATIONAL PATENT AGENCY (hereinafter "AIWA") of KONWA Bldg. 4F, 12-22, Tsukiji 1-chome, Chuo-ku, Tokyo 104-0045, Japan.
2. I discussed the contents of the invention with co-inventor NIWA when preparing a patent application entrusted by FANUC CORPORATION of 3580, Shibokusa Aza-komanba, Oshino-mura, Minamitsuru-gun, Yamanashi, 401-0597, Japan, on or around February 19, 2014. This application was eventually filed in the Japan Patent Office on March 19, 2014, as Japanese Patent Application No. 2014-056732, naming FANUC CORPORATION as Applicant. I understood that US Patent Application No. 14/661,030 was later filed by FANUC CORPORATION based on the aforementioned Japanese application.
3. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 04/04/18



Makoto Ichinomiya
Patent Attorney

日本特許法

第三十五条

2 従業者等がした発明については、その発明が職務発明である場合を除き、あらかじめ使用者等に特許を受ける権利若しくは特許権を承継させ又は使用者等のため専用実施権を設定することを定めた契約、勤務規則その他の定め条項は、無効とする。

Japanese Patent Law

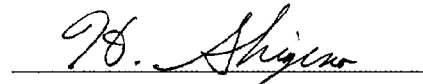
Article 35

(2) In the case of an invention by an employee, etc., any provision in any agreement, employment regulation or any other stipulation providing in advance that the right to obtain a patent or that the patent rights for any invention made by an employee, etc. shall vest in the employer, etc., or that an exclusive license for the said invention shall be granted to the employer, etc., shall be null and void unless the said invention is an employee invention.

CERTIFIED TRANSLATION

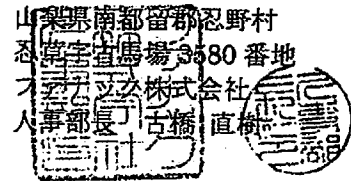
I hereby certify that I am familiar with the English and Japanese languages and to the best of my knowledge the following is a true and accurate translation of the Japanese Patent Law Article 35(2) in Japanese attached hereto.

Signed this 7th day of July, 2017

A handwritten signature in cursive script, appearing to read "H. Shigeno", is written above a horizontal line.

Hiroyuki Shigeno

2015年7月6日



証 明 書

下記の者が当社に在籍していたことを証明致します。

記

- | | |
|----------|-------------|
| 1. 氏 名 | 丹羽 正一 |
| 2. 生年月日 | 1967年 4月28日 |
| 3. 入社年月日 | 1993年 4月 1日 |
| 4. 退職年月日 | 2014年 9月18日 |

以 上

July 6, 2015
3580, Shibokusa Aza-Komanba, Oshino-mura,
Minamitsuru-gun, Yamanashi
FANUC CORPORATION
Human Resources Department Manager: Naoki Furuhashi

CERTIFICATE

This is to certify that the following person was enrolled in this corporation.

Note

1. Name	Masakazu Niwa
2. Date of Birth	April 28, 1967
3. Date of Employment	April 1, 1993
4. Date of Resignation	September 18, 2014

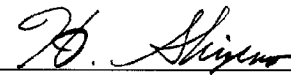
(End of the document)

FANUC CORPORATION

CERTIFIED TRANSLATION

I hereby certify that I am familiar with the English and Japanese languages and to the best of my knowledge the following is a true and accurate translation of the CERTIFICATE in Japanese attached hereto.

Signed this 7th day of July, 2017



Hiroyuki Shigeno