

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4904769

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	DAVID P. BOUR	10/18/2001
	NATHAN F. GARDNER	09/25/2001
	WERNER K. GOETZ	09/26/2001
	STEPHEN A. STOCKMAN	09/17/2001
	TETSUYA TAKEUCHI	10/18/2001
	GHULAM HASNAIN	09/17/2001
	CHRISTOPHER P. KOCOT	10/24/2001
	MARK R. HUESCHEN	10/19/2001
RECEIVING PARTY DATA		
Name:	LUMILEDS LIGHTING, U.S. LLC	
Street Address:	370 W. TRIMBLE RD.	
City:	SAN JOSE	
State/Country:	CALIFORNIA	
Postal Code:	95131	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	11177670
CORRESPONDENCE DATA		
Fax Number:	(650)472-0644	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6504720644	
Email:	paralegal.maxval@maxval.com	
Correspondent Name:	CONVERGENCE INTELLECTUAL PROPERTY LAW P.C	
Address Line 1:	2251 GRANT RD. SUITE G	
Address Line 4:	LOS ALTOS, CALIFORNIA 94304	
ATTORNEY DOCKET NUMBER:	LUMI-0163US	
NAME OF SUBMITTER:	RANJINE	
SIGNATURE:	/Ranjine/	

DATE SIGNED:	04/09/2018
Total Attachments: 6 source=assignment-pat-12402-403#page1.tif source=assignment-pat-12402-403#page2.tif source=assignment-pat-12402-403#page3.tif source=assignment-pat-12402-403#page4.tif source=assignment-pat-12402-403#page5.tif source=assignment-pat-12402-403#page6.tif	

HEET

01-03-2002



101932998

TO THE HONORABLE DIRECTOR OF
ORIGINAL DOCUMENTS OR COPY THEREOF. PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED

1. Name of conveying party(ies):

(1) David P. Bour, (2) Nathan F. Gardner, (3) Werner K. Goetz,
(4) Stephen A. Stockman, (5) Tetsuya Takeuchi, (6) Ghulam Hasnain,
(7) Christopher P. Kocot, (8) Mark R. Hueschen
Additional name(s) of conveying party(ies) attached?☐ Yes☒ No

2. Name and address of receiving party(ies):

Name: LumiLeds Lighting, U.S., LLC
Street Address: 370 W. Trimble Road
City: San Jose, California 95131
Country: USA

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: (1) October 18, 2001, (2) September 25, 2001, (3)
September 26, 2001, (4) September 17, 2001, (5) October 18, 2001, (6)
September 17, 2001, (7) October 24, 2001, and (8) October 19, 2001

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:

Additional name(s) & address(es) attached?

☐ Yes☐ No

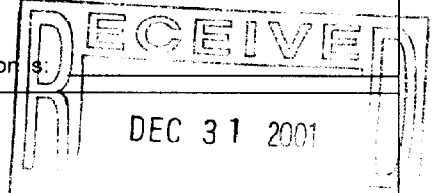
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) - 09/912,589

Title: Light Emitting Diodes With Graded Composition
Active Regions

B. Patent No.(s)



Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark E. Schmidt
Internal Address: SKJERVEN MORRILL MacPHERSON LLP
Street Address: 25 METRO DRIVE, SUITE 700
City: SAN JOSE State: CA Zip: 95110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒
- Authorized to be charged to Deposit Account 19-2386
-
- ☒
- Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

01/02/2002 GTDN11 00000275 192386 09912589
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Schmidt 47.063
Name of Person SigningMark E. Schmidt
Signature30 October 2001
Date

Total number of pages including cover sheet, attachments, and documents: 6

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Bour, David P.; Gardner, Nathan F.; Goetz, Werner K.; Stockman, Stephen A.; Takeuchi, Tetsuya; Hasnain, Ghulam; Kocot, Christopher P.; Hueschen, Mark R.

hereby sell, assign and transfer to LumiLeds Lighting, U.S., LLC, a Delaware corporation, having a place of business at 370 W. Trimble Road, San Jose, California 95131, its successors and assigns, the entire right, title and interest throughout the world in our invention in

**LIGHT EMITTING DIODES WITH GRADED COMPOSITION
ACTIVE REGIONS**

for which we filed a United States Patent Application on July 24, 2001, which now has Serial No. 09/912,589, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

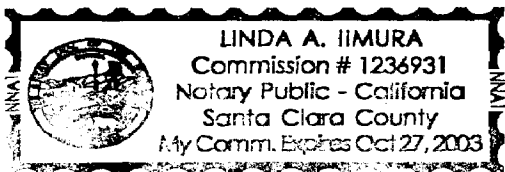
Executed this 18 day of October, 2001.




David P. Bour

State of California)
)
County of Santa Clara) ss.

On Oct. 18, 2001 before me, LINDA A. IIMURA
personally appeared DAVID P. BOUR personally
known to me or ~~proved to me on the basis of~~ satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.



SIGNATURE OF NOTARY

Executed this 25th day of September, 2001.

Nathan F. Gardner

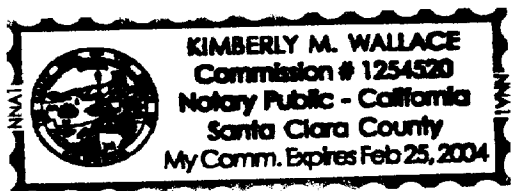
Nathan F. Gardner

State of California)
) ss.
County of Santa Clara)

On September 25, 2001 before me, Kimberly M. Wallace, Notary Public
personally appeared Nathan F. Gardner personally
known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person~~(s)~~ whose
name~~(s)~~ is~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~
executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~
signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~
acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 26th day of September, 2001.

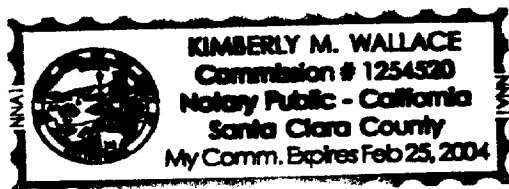
Werner K. Goetz
Werner K. Goetz

State of California)
) ss.
County of Santa Clara)

On September 26, 2001 before me, Kimberly M. Wallace, Notary Public
personally appeared Werner K. Goetz personally
known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person~~(s)~~ whose
name~~(s)~~ is~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~
executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~
signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~
acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



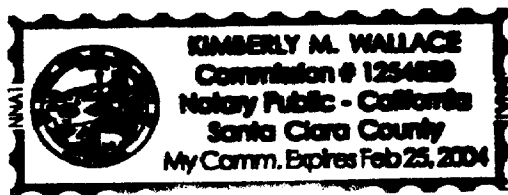
Executed this 17th day of September, 2001.

Stephen A. Stockman

Stephen A. Stockman

State of CA)
) ss.
County of Santa Clara)

On September 17, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Stephen A. Stockman personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person(~~s~~) whose name(~~s~~) is(~~are~~) subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.



WITNESS my hand and official seal.

Kimberly M. Wallace

SIGNATURE OF NOTARY

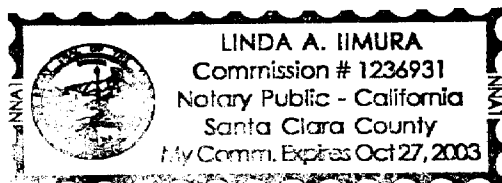
Executed this 18th day of October, 2001.

Tetsuya Takeuchi

Tetsuya Takeuchi

State of California)
) ss.
County of Santa Clara)

On Oct. 18, 2001 before me, LINDA A. IIMURA personally appeared TETSUYA TAKEUCHI personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Linda A. Iimura

SIGNATURE OF NOTARY

Executed this 17 day of September, 2001.

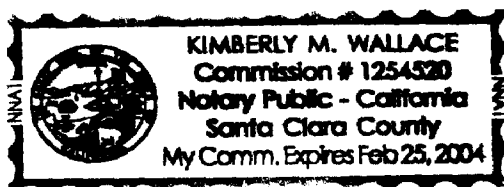
Ghulam Hasnain
Ghulam Hasnain

State of CA)
) ss.
County of Santa Clara)

On September 17, 2001 before me, Kimberly M Wallace, Notary Public personally appeared Ghulam Hasnain personally known to me or proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 27th day of October, 2001.

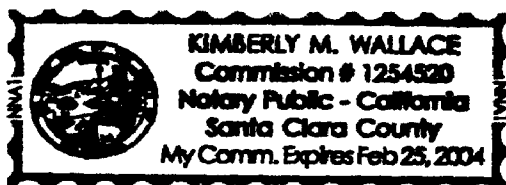
Christopher P. Kocot
Christopher P. Kocot

State of CA)
) ss.
County of Santa Clara)

On October 24, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Christopher P. Kocot personally known to me or proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 19th day of October, 2001.

Mark R. Hueschen

Mark R. Hueschen

State of California)
County of Santa Clara) ss.

On Oct. 19, 2001 before me, LINDA A. LIMURA
personally appeared MARK R. HUESCHEN personally
known to me or ~~proved to me on the basis of~~ satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.

WITNESS my hand and official seal.

Linda A. Limura

SIGNATURE OF NOTARY

