

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4904948

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
Name			Execution Date
ROCHE GLYCART AG			07/11/2016
RECEIVING PARTY DATA			
Name:	F. HOFFMANN-LA ROCHE AG		
Street Address:	GRENZACHERSTRASSE 124		
City:	BASEL		
State/Country:	SWITZERLAND		
Postal Code:	4070		
PROPERTY NUMBERS Total: 1			
Property Type	Number		
Application Number:	15941524		
CORRESPONDENCE DATA			
Fax Number:	(650)952-9881		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
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Email:	kokkac@gene.com		
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Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080		
ATTORNEY DOCKET NUMBER:	P33110-US		
NAME OF SUBMITTER:	CHIAKI KOKKA		
SIGNATURE:	/Chiaki Kokka/		
DATE SIGNED:	04/09/2018		
Total Attachments: 3			
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ASSIGNMENT AGREEMENT

Roche Glycart AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Wagistrasse 18, CH-8952 Schlieren, Switzerland

acknowledges the previous transfer to

F. Hoffmann-La Roche AG ("ASSIGNEE") a corporation duly organized under the laws of Switzerland, and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basle, Switzerland

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for all countries of the world by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in all countries of the world including any application claiming priority, divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for all countries of the world all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.	Country	Filing Date	Serial No.
P33110-EP	European Patent Convention	02 Oct 2015	15188067.1

entitled

BISPECIFIC ANTI-HUMAN CD20/HUMAN TRANSFERRIN RECEPTOR ANTIBODIES AND METHODS OF USE

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above in all countries of the world and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the patent offices to issue any Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

Case No. P33110-EP

TO BE NOTARIZED

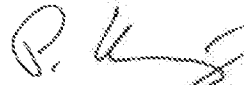
This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR

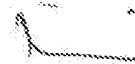
Roche Glycart AG

Basel, July 11, 2016

Place, Date



Peter Kueng



Beatrice Stevi

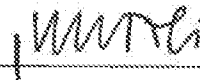
both duly authorized signatories

ASSIGNEE

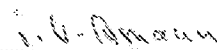
F. Hoffmann-La Roche AG

Basel, July 11, 2016

Place, Date



Antonio Natoli
Holder of procuration



Susanne Amann
Mandatory

PATENT

REEL: 045474 FRAME: 0891

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Yves Cron, herewith legalizes the overleaf signature of

Roche Glycart AG, a stock company with legal domicile in Schlieren (Switzerland), rendered by

Mister Dr. Peter K  ng, citizen of Eriswil (Switzerland), residing in Allschwil (Switzerland), and

Miss Beatrice Sievi, citizen of Bonaduz (Switzerland), residing in Allschwil (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled also to sign documents wherein property of the company is alienated.

All Signers and signatures personally known.

B a s e l (Switzerland), this 15th (fifteenth) day of July 2016 (two thousand and sixteen).



Y. Cron

BR 203/2016