504871880 04/17/2018

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

CONVEYING PARTY DATA

Name	Execution Date
BRUCE M. MCCORMACK	12/14/2009
JEFFREY D. SMITH	12/14/2009

RECEIVING PARTY DATA

Name:	PROVIDENCE MEDICAL TECHNOLOGY, INC.	
Street Address:	3875 HOPYARD ROAD	
Internal Address:	SUITE 300	
City:	PLEASANTON	
State/Country:	CALIFORNIA	
Postal Code:	94588	

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	15885253
Application Number:	15488989

CORRESPONDENCE DATA

Fax Number: (303)629-3450

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 303-629-3400

Email:docket.denver@dorsey.comCorrespondent Name:DORSEY & WHITNEY LLP

Address Line 1:1400 WEWATTA STREET, SUITE 400Address Line 4:DENVER, COLORADO 80202-5549

ATTORNEY DOCKET NUMBER:	P190651US07/US08	
NAME OF SUBMITTER:	KATHERINE BENTON	
SIGNATURE:	/Katherine Benton/	
DATE SIGNED:	04/17/2018	

Total Attachments: 5

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PATENT REEL: 045559 FRAME: 0762

ASSIGNMENT

WHEREAS, we, Bruce M. McCormack, residing at 3948 Clay Street, San Francisco, California 94118 U.S.A. and Jeffrey D. Smith, residing at 5175 Keller Ridge Drive, Clayton, California 94517 U.S.A. (hereinafter, individually and collectively the "Assignor"), have invented certain new and useful systems, devices, and methods disclosed and described in a utility application for Letters Patent in the United States ("U.S."), entitled "Cervical Distraction/Implant Delivery Device," which can be identified in the United States Patent and Trademark Office ("USPTO") by Application No. 12/559,193, filed on September 14, 2009 (the "Utility Application") with attorney docket No. 190651/US/2 and PCT International Application No. PCT/US2009/056841, entitled "Cervical Distraction/Implant Delivery Device," filed on September 14, 2009, with attorney docket No. 190615/PCT (the "Foreign Application"); and

WHEREAS, Providence Medical Technology, Inc. a corporation organized and existing under the laws of the State of California, and having its principal place of business at 2320 Sutter Street, Suite 202, San Francisco, California 94115 U.S.A. (the "Assignee"), owns all right, title, and interest in and to the following U.S. priority applications by earlier Assignments:

- (1) U.S. Provisional Application No. 61/097,103, filed on September 15, 2008 and entitled "Cervical Distraction/Implant Delivery Device";
- (2) U.S. Provisional Application No. 61/109,776, filed on October 30, 2008 and entitled "Facet Joint Implants";
- (3) U.S. Provisional Application No. 61/169,601, filed on April 15, 2009 and entitled "Facet Joint Implants and Delivery Tools";
- (4) U.S. Patent Application No. 12/455,814, filed on June 5, 2009 and entitled "Facet Joint Implants and Delivery Tools";
- (5) U.S. Patent Application No. 12/317,682, filed on December 23, 2008 and entitled "Facet Joint Implants and Delivery Tools"; and
- (6) U.S. Provisional Application No. 61/059,723 filed on June 6, 2008 and entitled "Spine Distraction Device".

WHEREAS, to the extent that Assignor now owns any right, title and interest in the invention described and claimed in the U.S. Provisional Applications, U.S. Utility Applications, and the Foreign Applications not already transferred to Providence Medical Technology, Inc. by the earlier Assignments, Assignor is desirous of assigning such interest and the Utility Application, and the Foreign Application, any provisional, non-provisional, continuation, continuation-in-part, divisional, international, foreign, regional and convention applications corresponding thereto, and any and all Letters Patent of the United States and countries and regions foreign thereto which may grant or have granted thereto or be lodged in relation thereto, any reissue or reexamination thereof or to be obtained therefor, any renewals, or substitutes thereof, and any and all priority rights or priority claims, International Convention rights, any and all rights to collect past damages for infringement of any and all Letters Patent of the United States and countries and regions foreign thereto which may be published, which may grant, or have granted thereto or be lodged in relation thereto, and other benefits accruing to or to accrue to Assignor with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto (the "Patent Rights"); and

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WHEREAS, Assignee desires to acquire any and all, right, title, and interest of Assignor in and to the invention(s), the Patent Rights, and in, to, and under any and all Letters Patent to be obtained therefor:

NOW THEREFORE, for the sum of \$1.00 and other good and valuable consideration to Assignor in hand paid, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, and transfer and by these presents does hereby sell, assign and transfer to the said Assignee, and said Assignee's legal representatives, successors and assigns, any and all of the entire right, title and interest in and to the invention(s), Patent Rights, and any and all Letters Patent to be obtained therefor;

UPON SAID CONSIDERATION, the Assignor hereby covenants and agrees with the said Assignee that it will not execute any writing or do any act whatsoever conflicting with these presents, and that it will, at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, provisionals, non-provisionals, continuations, continuations-in-part, continuing prosecutions, divisionals, renewals, reissues, reexamined or extended Letters Patent of the United States, or of any and all foreign countries, on said Patent Rights, and execute confirmatory assignments or acknowledgments of this assignment as necessary for full enjoyment of the Patent Rights and for recording in foreign patent offices, and in enforcing any rights or choses in action accruing as a result of such Patent Rights, by giving testimony in any proceedings or transactions involving such Patent Rights, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties;

UPON SAID CONSIDERATION, Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey any and all right, title, and interest in the Patent Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Patent Rights to a third party;

ASSIGNOR HEREBY AUTHORIZES said Assignee, its successors, and assigns, or anyone it may properly designate, to apply for Letters Patent in the U.S. and any and all foreign countries and regions, in its own name if desired, and additionally to claim priority to the filing date of the Utility Application and Foreign Application and otherwise take advantage of the provisions of any international conventions.

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IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.		
Date: December 14, 2009 By: Bruce M. McCormack		
STATE OF CALIFORNIA)		
) ss. COUNTY OF SAN FRANCISCO)		
On this 14 TH day of 16 CEMBER , 2009, before me a Notary Public in and for said county, personally appeared Bruce M. McCormack who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument, and acknowledged that he signed, sealed and delivered the same instrument as a free and voluntary act for the purposes and considerations therein expressed.		
I hereby under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.		
WITNESS my hand and official seal.		
VICTORIA LIN Commission # 1859070 Notary Public - California San Francisco County My Comm. Expires Aug 22, 2013 Notary Public		
My commission expires: <u>Subus</u> 22, 2013		
IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.		
Date: Decembe 14, 2009 By:		
STATE OF CALIFORNIA) ss.		
COUNTY OF SAN FRANCISCO)		
On this 14th day of December, 2009, before me a Notary Public in and for said county, personally appeared Jeffrey D. Smith, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his		

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signature on the instrument the person, or the entity upon behalf of which the person acted,

executed the instrument, and acknowledged that he signed, sealed and delivered the same instrument as a free and voluntary act for the purposes and considerations therein expressed.

I hereby under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

VICTORIA LIN Commission # 1859070 Notary Public - California San Francisco County

My Comm. Expires Aug 22, 2013

My commission expires: <u>Auaus</u>

eust 22, 20

Notary Public

ACCEPTANCE OF ASSIGNMENT

The Assignee hereby acknowledges and accepts the foregoing assignment of rights by Assignor.

IN TESTIMONY WHEREOF, the Assignee, by its undersigned officer, confirms its acceptance on the date and in the place set forth below.

PROVIDENCE MEDICAL TECHNOLOGY, INC.

Date: <u> </u>	By: Name: Jeff Swin Title: C56
STATE OF CALIFORNIA)	
COUNTY OF SAW FRANCISCO) ss.	

On this 14^{T4} day of December, 2009, before me a Notary Public in and for said county, personally appeared <u>Jeff Swith</u>, the above-mentioned representative of the Assignee, Providence Medical Technology, Inc. who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument, and executed the foregoing Acceptance of Assignment, and represented and acknowledged that he had the requisite corporate authority to execute and accept this patent Assignment and the same was executed as a free act and deed.

I hereby under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

VICTORIA LIN

Commission # 1859070

Notary Public - California

San Francisco County

My Comm. Expires Aug 22, 2013

Notary Public

My commission expires:

AUGUST Q2, Q013

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