

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4929083

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	RENNOVIA (ASSIGNMENT FOR THE BENEFIT OF CREDITORS), LLC	04/06/2018
RECEIVING PARTY DATA		
Name:	ARCHER-DANIELS-MIDLAND COMPANY	
Street Address:	77 W. WACKER DR.	
City:	CHICAGO	
State/Country:	ILLINOIS	
Postal Code:	60601	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	15441732	
CORRESPONDENCE DATA		
Fax Number:	(314)231-4342	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(314) 345-7000	
Email:	uspatents@senniger.com	
Correspondent Name:	SENNIGER POWER LLP	
Address Line 1:	100 NORTH BROADWAY, 17TH FLOOR	
Address Line 4:	ST. LOUIS, MISSOURI 63102	
ATTORNEY DOCKET NUMBER:	ADM 6373.USDV	
NAME OF SUBMITTER:	SHARON WINKLER	
SIGNATURE:	/Sharon Winkler/	
DATE SIGNED:	04/24/2018	
Total Attachments: 8		
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EXHIBIT 9.1(c)

Patent Assignment

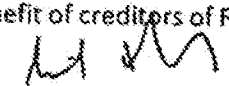
For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Rennovia (assignment for the benefit of creditors), LLC., a California limited liability corporation located at 1100 La Avenida Street, Building A, Mountain View, California 94043, United States, ("Seller"), hereby sells, assigns, transfers, and conveys to Archer-Daniels-Midland Company, a Delaware corporation located at 77 W. Wacker Dr., Chicago, IL 60601 ("Buyer"), pursuant to the Asset Purchase Agreement dated as of April 6, 2018, by and between Seller and Buyer, all right, title, and interest in and to the patents and patent applications set forth on Exhibit A, attached hereto, including all divisionals, continuations, continuations in part, reissues, reexaminations and foreign application and patents that may claims priority thereto incorporated by this reference herein, together with all claims and causes of action with respect to any of the foregoing, including without limitation all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, misappropriation, violation, breach, or default, and all other rights, privileges, and protections of any kind whatsoever of Seller accruing under any of the foregoing provided by any applicable law, treaty, or other international convention.

Seller hereby authorizes the Commissioner for Patents in the United States Patent and Trademark Office and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this Assignment of Patents upon request by Buyer.

IN WITNESS WHEREOF, the undersigned has caused this Assignment of Patents to be executed on this 6th day of April, 2018 by its duly authorized officer.

Seller: Rennovia (assignment for the benefit of creditors), LLC solely as assignee for the benefit of creditors of Rennovia, Inc.

Buyer: Archer Daniels Midland Company

By: 

Name Title Michael A. Moray, Mgr.

Name Title _____

STATE OF _____
COUNTY OF _____) ss.

On _____, before me, _____, personally appeared _____ who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of _____ that the foregoing paragraph is true and correct. A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

WITNESS my hand and official seal.

Signature _____ (Seal)

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Santa Clara

On April 9 2018 before me, Carissa Kozacek
(insert name and title of the officer)

personally appeared Michael A. Moidy
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Carissa Kozacek (Seal)

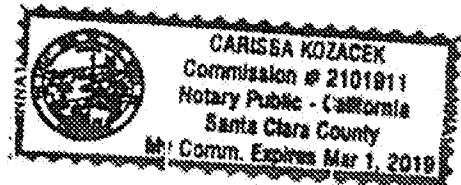


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Patent Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Rennovia (assignment for the benefit of creditors), LLC., a California limited liability corporation located at 1100 La Avenida Street, Building A, Mountain View, California 94043, United States, ("Seller"), hereby sells, assigns, transfers, and conveys to Archer-Daniels-Midland Company, a Delaware corporation located at 77 W. Wacker Dr., Chicago, IL 60601 ("Buyer"), pursuant to the Asset Purchase Agreement dated as of April 6, 2018, by and between Seller and Buyer, all right, title, and interest in and to the patents and patent applications set forth on Exhibit A, attached hereto, including all divisionals, continuations, continuations in part, reissues, reexaminations and foreign application and patents that may claims priority thereto incorporated by this reference herein, together with all claims and causes of action with respect to any of the foregoing, including without limitation all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, misappropriation, violation, breach, or default, and all other rights, privileges, and protections of any kind whatsoever of Seller accruing under any of the foregoing provided by any applicable law, treaty, or other international convention.

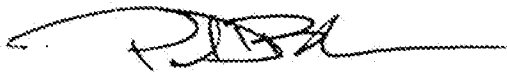
Seller hereby authorizes the Commissioner for Patents in the United States Patent and Trademark Office and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this Assignment of Patents upon request by Buyer.

IN WITNESS WHEREOF, the undersigned has caused this Assignment of Patents to be executed on this 6th day of April, 2018 by its duly authorized officer.

Seller: Rennovia (assignment for the
benefit of creditors), LLC

Buyer: Archer Daniels Midland Company

By: _____
Name Title


Name Title PAUL D. BLOOM
Vice President, Research

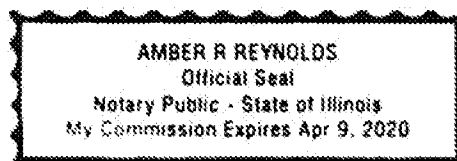
STATE OF ILLINOIS
COUNTY OF MACON) ss.

On 4/6/18, before me, A Notary Public, personally appeared PAUL BLOOM who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of ILLINOIS that the foregoing paragraph is true and correct. A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

WITNESS my hand and official seal.

Signature Amber R. Reynolds (Seal)



SCHEDULE A

Renovia Patent List
As of 31 January 2018

RENOVIA CONFIDENTIAL

COUNTRY	DOCKET NO.	STATUS	SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE
Title: Conversion of Fructose-Containing Feedstocks to HMF-Containing Product (Background IP – subject to Stora Enso option)						
AU	6370.AU	Pending	2015209026	1/27/2015		
BR	6370.BR	Pending	BR 11 2016 016751 1	1/27/2015		
CA	6370.CA	Pending	2937506	1/27/2015		
CN	6370.CN	Pending	2015800097546	1/27/2015		
EA	6370.EA	Pending	201691459	1/27/2015		
EP	6370.EP	Pending	15703214.5	1/27/2015		
ID	6370.ID	Abandoned	P00201605597	1/27/2015		
IN	6370.IN	Pending	201627028949	1/27/2015		
JP	6370.JP	Pending	2016-548180	1/27/2015		
KR	6370.KR	Pending	10-2016-7022495	1/27/2015		

SCHEDULE A

Rennovia Patent List

RENNOVIA CONFIDENTIAL

As of 31 January 2018

COUNTRY	DOCKET NO.	STATUS	SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE
MX	6370.MX	Pending	MX/a/2016/009657	1/27/2015		
MY	6370.MY	Pending	PI 2016702701	1/27/2015		
NZ	6370.NZ	Pending	722060	1/27/2015		
US	6370.PRO	Expired	61/932,185	1/27/2014		
SA	6370.SA	Abandoned	516371551	1/27/2015		
SG	6370.SG	Pending	11201606169P	1/27/2015		
TH	6370.TH	Pending	1601004232	1/27/2015		
US	6370.US	Granted	14/606,789	1/27/2015	9611241	4/4/2017
US	6370.USCIP	Pending	15/132,178	4/18/2016		
US	6370.USDV	Pending	15/437,570	2/21/2017		
WO	6370.WO	National Phase	PCT/US2015/013130	1/27/2015		
WO	6370.WOCIP	Pending	PCT/US2017/028036	4/18/2017		
ZA	6370.ZA	Pending	2016/05849	1/27/2015		
Title: Shaped Porous Carbon Products (Background IP – subject to Stora Enso option) (Background IP – subject to JIM Agreements)						
AU	6373.AU	Pending	2015253175	4/29/2015		
BR	6373.BR	Pending	BR112016023368-9	4/29/2015		
CA	6373.CA	Pending	2945640	4/29/2015		
CN	6373.CN	Pending	2015800262026	4/29/2015		
EP	6373.EP	Pending	15723597.9	4/29/2015		

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Renovia Patent List
As of 31 January 2018

RENOVIA CONFIDENTIAL

COUNTRY	DOCKET NO.	STATUS	SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE
HK	6373.EPHK	Pending	17109233.9	4/29/2015		
IN	6373.IN	Pending	201627040587	4/29/2015		
JP	6373.JP	Pending	2016-563915	4/29/2015		
KR	6373.KR	Pending	10-2016-7032951	4/29/2015		
NZ	6373.NZ	Pending	724257	4/29/2015		
RU	6373.RU	Pending	2016145854	4/29/2015		
SG	6373.SG	Pending	11201607687W	4/29/2015		
TH	6373.TH	Pending	1601005990	4/29/2015		
TW	6373.TW	Pending	104113717	4/29/2015		
US	6373.PRO1	Expired	61/985,988	4/29/2014		
US	6373.PRO2	Expired	61/985,994	4/29/2014		
US	6373.PRO3	Expired	61/985,997	4/29/2014		
US	6373.PRO4	Expired	61/986,001	4/29/2014		
US	6373.PRO5	Expired	61/986,009	4/29/2014		
US	6373.US	Granted	14/699,942	4/29/2015	9682368	6/20/2017
US	6373.USDV	Pending	15/441,732	2/24/2017		
WO	6373.WO	National Phase	PCT/US2015/028358	4/29/2015		
Title: Shaped Porous Metal-Carbon Products (Background IP – subject to Stora Enso option)						
US	0104US110	Expired	62/247,727	10/28/2015		

Renovia Patent List
As of 31 January 2018

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RENOVIA CONFIDENTIAL

COUNTRY	DOCKET NO.	STATUS	SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE
US	0104US210	Pending	15/132,048	4/18/2016		
WO	0104WO210	Pending	PCT/US2016/059424	10/28/2016		
Title: Shaped Porous Carbon Products (Background IP – subject to Stora Enso option) (Background IP – subject to JM Agreements)						
US	0105US110	Expired	62/247,721	10/28/2015		
US	0105US210	Pending	15/131,829	4/18/2016		
WO	0105WO210	Pending	PCT/US2016/059377	10/28/2016		
Title: Oxidation Catalysts (Background IP – subject to Stora Enso option) (Background IP – subject to JM Agreements)						
US	6357	Granted	12/966,702	12/13/2010	9770705	9/26/2017
WO	6357.1	National Phase	PCT/US2010/060143	12/13/2010		
AU	6357.AU	Granted	2010355259	12/13/2010	2010355259	9/8/2016
BR	6357.BR	Pending	BR1120120314947	12/13/2010		
CN	6357.CN	Pending	201080067370.7	12/13/2010		
US	6357.DV1	Granted	15/291,786	10/12/2016	9808790	11/7/2017
EP	6357.EP	Pending	10/98661.4	12/13/2010		
HK	6357.EPHK	Pending	13111315	12/13/2010		
ID	6357.ID	Abandoned	W00201300100	12/13/2010	IDP0046016	5/23/2017
IN	6357.IM	Pending	158/DELNP/2013	12/13/2010		
JP	6357.JP	Granted	2013-514149	12/13/2010	5882309	2/12/2016
MY	6357.MY	Abandoned	PI2012701107	12/13/2010		

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As of 31 January 2018

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COUNTRY	DOCKET NO.	STATUS	SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE
SG	6357.SG	Granted	201208970-2	12/13/2010	186205	6/26/2015
US	6357.USCNI	Pending	15/803,434	11/3/2017		
ZA	6357.ZA	Abandoned	2013/00110	12/13/2010		
Title: Metal Oxide Parts and Methods for Preparing Metal Oxides (Background IP – subject to Stora Enso option)						
US	0108US110	Pending	62/594,945	12/5/2017		