

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4934642

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JUAN F. GRANADA	02/04/2014
GARY ERZBERGER	01/27/2014
MICHAEL P. CORCORAN	01/27/2014
DAN WALLACE	01/24/2014
MATTEO MONTORFANO	01/27/2014
ALAIDE CHIEFFO	01/27/2014
ROBERT LADUCA	02/04/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CEPHEA VALVE TECHNOLOGIES, INC.
<b>Street Address:</b>	3050 THREE SPRINGS COURT
<b>City:</b>	SAN JOSE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95140
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15688701
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	650-212-1700
<b>Email:</b>	kle@shayglenn.com, info@shayglenn.com
<b>Correspondent Name:</b>	SHAY GLENN LLP
<b>Address Line 1:</b>	2755 CAMPUS DRIVE
<b>Address Line 2:</b>	SUITE 210
<b>Address Line 4:</b>	SAN MATEO, CALIFORNIA 94403
<b>ATTORNEY DOCKET NUMBER:</b>	12792-700.303
<b>NAME OF SUBMITTER:</b>	KATHLEEN R. KELLEHER
<b>SIGNATURE:</b>	/Kathleen R. Kelleher, Reg. No. 62,230/
<b>DATE SIGNED:</b>	04/26/2018

PATENT

**Total Attachments: 8**

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RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
 PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(1) <b>Juan F. GRANADA</b> (02/04/2014)                  (2) <b>Gary ERZBERGER</b> (01/27/2014)                  (3) <b>Michael P. CORCORAN</b> (01/27/2014)                  (4) <b>Dan WALLACE</b> (01/24/2014)                  (5) <b>Matteo MONTORFANO</b> (01/27/2014)                  (6) <b>Alaide CHIEFFO</b> (01/27/2014)                  (7) <b>Robert LaDUCA</b> (02/04/2014)</p> <p>Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <b>CEPHEA VALVE TECHNOLOGIES, INC.</b>  <b>3050 Three Springs Court</b>  <b>San Jose, CA 95140</b></p>
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<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement      <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p>	<p>Name and address of receiving party(ies):</p> <p>Name:                  Street Address:                  City: State:                                  Zip:                  Country:                  Additional name(s) &amp; address(es) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is:

<p>A. Patent Application No.(s) – <b>15/688,701</b>                  Title: <b>SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT</b></p>	<p>B. Patent No.(s)</p>
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Additional numbers attached?       Yes       No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p><b>Kathleen R. Kelleher</b>  <b>Shay Glenn LLP</b>  <b>2755 Campus Drive, Suite 210</b>  <b>San Mateo, CA 94403</b></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 1.21(h)(1)):      \$0.00  <input checked="" type="checkbox"/> None required. Please charge fees to <b>Deposit Account No. 50-4050.</b></p>
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DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Kathleen R. Kelleher, Reg. No. 62,230**      /Kathleen R. Kelleher/      \_\_\_\_\_      April 26, 2018  
 Name of Person Signing                                  Signature                                  Date

Total number of pages including cover sheet, attachments, and documents: **8**

This Assignment of Patent Application is between: **Juan F. GRANADA** of Upper Saddle River, NJ; **Gary ERZBERGER** of Minneapolis, MN; **Michael P. CORCORAN** of Woodbury, MN; **Dan WALLACE** of Santa Cruz, CA; **Matteo MONTORFANO** of Milan, Italy; **Alaide CHIEFFO** of Milan, Italy; and **Robert LaDUCA** of Santa Cruz, CA (hereinafter referred to as "Inventor") and **Cephea Valve Technologies, Inc.**, a corporation of the State of Delaware, having a place of business at 3050 Three Springs Court, San Jose, CA 95140 (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

**"SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT"**

for which the Inventors have executed an application for a United States Patent on or about the date of this Assignment.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.


**ASSIGNMENT OF PATENT APPLICATION**

**Docket Number: 12792-700.201**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

2.4.2014

Date



Juan F. GRANADA

Date

Gary ERZBERGER

Date

Michael P. CORCORAN

Date

Dan WALLACE

Date

Matteo MONTORFANO

Date

Alaide CHIEFFO

Date

Robert LaDUCA

**ASSIGNMENT OF PATENT APPLICATION**

**Docket Number: 12792-700.201**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

1-27-14

Date

1-27-14

Date

Date

Date

Date

Date

Juan F. GRANADA

*Gary Erzberger*

Gary ERZBERGER

*Michael P. Corcoran*

Michael P. CORCORAN

Dan WALLACE

Matteo MONTORFANO

Alaide CHIEFFO

Robert LaDUCA

**ASSIGNMENT OF PATENT APPLICATION**

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Date

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Juan F. GRANADA

\_\_\_\_\_  
Date

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Gary ERZBERGER

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Date

\_\_\_\_\_  
Michael P. CORCORAN

1/24/14  
Date

  
\_\_\_\_\_  
Dan WALLACE

\_\_\_\_\_  
Date

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Matteo MONTORFANO

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Alaide CHIEFFO

\_\_\_\_\_  
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Robert LaDUCA

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**Juan F. GRANADA**

\_\_\_\_\_  
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**Dan WALLACE**

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**Matteo MONTORFANO**

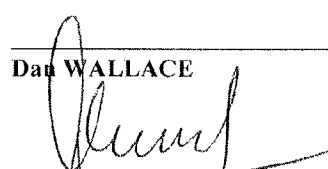
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**Alaide CHIEFFO**

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Date

\_\_\_\_\_  
**Robert LaDUCA**

27.01.2014





ASSIGNMENT OF PATENT APPLICATION

Docket Number: 12792-700.201

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Date

Juan F. GRANADA

Date

Gary ERZBERGER

Date

Michael P. CORCORAN

Date

Dan WALLACE

Date

Matteo MONTORFANO

Date

27.07.2014

Alaide CHIEFFO

Date

Robert LaDUCIA

**ASSIGNMENT OF PATENT APPLICATION**

**Docket Number: 12792-700.201**

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Date

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**Juan F. GRANADA**

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\_\_\_\_\_  
Date

\_\_\_\_\_  
**Alaide CHIEFFO**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Robert LaDUCA**

2/4/14

