### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4947435

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Execution Date
KNOBBE, MARTENS, OLSON & BEAR, LLP	11/16/2012

### **RECEIVING PARTY DATA**

Name:	NEST LABS, INC.
Street Address:	900 HANSEN WAY
City:	PALO ALTO
State/Country:	CALIFORNIA
Postal Code:	94304

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14877733

### **CORRESPONDENCE DATA**

### Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: Todd@colbynipper.com

Correspondent Name: COLBY NIPPER
Address Line 1: 291 E SHORE DRIVE

Address Line 2: SUITE 200

Address Line 4: MOUNTAIN VIEW, CALIFORNIA 83616

ATTORNEY DOCKET NUMBER:	564700USCON5
NAME OF SUBMITTER:	MATTHEW JOHNSON
SIGNATURE:	/Matthew Johnson/
DATE SIGNED:	05/04/2018

### **Total Attachments: 10**

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> PATENT REEL: 045720 FRAME: 0040

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PATENT REEL: 045720 FRAME: 0041

### PATENT ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 RELEASE BY SECURED PARTY

### **CONVEYING PARTY DATA**

Name	Execution Date
KNOBBE, MARTENS, OLSON & BEAR, LLP	11/16/2012

### **RECEIVING PARTY DATA**

Name:	Nest Labs, Inc.
Street Address:	900 Hansen Way
City:	Palo Alto
State/Country:	CALIFORNIA
Postal Code:	94304

### **PROPERTY NUMBERS Total: 16**

Property Type	Number
Patent Number:	7156316
Patent Number:	7163156
Patent Number:	7168627
Patent Number:	7455236
Patent Number:	7455237
Patent Number:	8014902
Patent Number:	8020777
Patent Number:	8033479
Application Number:	11613110
Application Number:	11669102
Application Number:	12426886
Application Number:	13269155
PCT Number:	US2005032022
PCT Number:	US2007008322
PCT Number:	US2007008109
	BATENT

PATENT

REEL: 029345 FRAME: 0668 PATENT

**REEL: 045720 FRAME: 0042** 

OP \$640.00 71563

PCT Number: US2008061916

### **CORRESPONDENCE DATA**

Fax Number: 4155760300

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 303-571-4000

Email: msarles@kilpatricktownsend.com

Correspondent Name: KILPATRICK TOWNSEND & STOCKTON LLP
Address Line 1: TWO EMBARCADERO CENTER, EIGHTH FLOOR
Address Line 4: SAN FRANCISCO, CALIFORNIA 94111-3834

ATTORNEY DOCKET NUMBER: KAT009-US

NAME OF SUBMITTER: Matthew T. Sarles

Total Attachments: 8

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> PATENT REEL: 029345 FRAME: 0669 PATENT

**REEL: 045720 FRAME: 0043** 

# <u>ASSIGNMENT</u>

In consideration of the Purchase Price paid under the terms of the ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED ASSETS TO NEST LABS, INC. (the "ORDER"), attached hereto as Appendix A, the receipt of which is hereby acknowledged, the Bankruptcy Estate of Lawrence Kates (Bankr. W.D. Wash., No. 09-10188-TWD) (the "Assignor"),

Hereby sells, assigns, and transfers to

Nest Labs, Inc., a corporation of the State of Delaware, having a place of business at 900 Hansen Way, Palo Alto, CA 94304

(the "Assignee"),

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, any and all inventions which are disclosed but not claimed, in the patents and patent applications identified in **Exhibit A of the ORDER**;

the aforementioned patents and patent applications to be held by Assignee for its own use and the use of its successors, assigns and other legal representatives, as fully and entirely as the same would have been held by Assignor if this assignment had not been made, as assignee of its entire right, title and interest therein.

IN WITNESS WHEREOF, the Plan Administrator for the Assignor has caused this ASSIGNMENT to be executed as of the date below written:

v		_			
	Signature:_	MIP	W		
	Name:	Dard Stan	leton S.	tapletur Gro	4.P
Date: Nov 16, 2012	—Title:	Plan	Admin	·strator	٠,
•	Stapleton G	roup, Inc., Pla ankruptcy Estat	n Administra	tor, for the	
Subscribed and sworn to or affirmed before	e me on this _	date of 1	Jovember	, 20 <u>12</u>	
by David Stankton	who p	roved to me on	the basiswy	dlistausery	
evidence to be the person who appeared be			ZZZZ A M.		
Just M. Stephens			TEA	TAA, TAA	
Notary Public Signature			STATE OF THE STATE	MAY SEETS	
			"IIIUF V	VASH''LLL'	

# Appendix A

ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED ASSETS TO NEST LABS, INC. (the "ORDER")

entered into docket October 2, 2012 by

the United States Bankruptcy Court, Western District of Washington, at Seattle

(Bankr. W.D. Wash., No. 09-10188-TWD)

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Page 2 of 2

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Below is the Order of the Court.



Timothy W. Dore U.S. Bankruptcy Court

(Dated as of Entered on Docket date above)

### UNITED STATES BANKRUPTCY COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE

In Re: Lawrence Kates,	Debtor.	) No. 09-10188-TWD ) ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED ASSETS TO NEST LABS, INC.
	:	) ) ) )

THIS MATTER having come before the Court upon the Plan Administrator's (the "PA's") Motion (the "Motion") for entry of an Order Approving Sale of Lot 52 of Patent-Related Assets to Nest Labs, Inc. or Other Prevailing Bidder Under Proposed Bidding Procedures (the "Bid Procedures"); and the Court having duly considered the Motion with all the supporting declarations, the responses thereto, as well as the arguments of counsel, and the Court having determined that the PA's proposed Bid Procedures outlined in the Motion are adequate and fair<sup>1</sup>; and the Court having determined that the all-cash purchase offer submitted by Nest Labs, Inc., as compared to the purchase offer submitted by the Debtor, is of greater

With the exception of the amount of overbid increments, which the Court reduced from \$20,000 to \$5,000.

ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED ASSETS TO NEST LABS, INC. - 1

124867.0001/5466156.1

LANE POWELL PC 1420 FIFTH AVENUE, SUITE 4100 SEATTLE, WASHINGTON 98101-2338 206.223.7000 FAX: 206.223.7107

**PATENT** 

Case 09-10188-TWD Doc 976 Filed 10/02/12

Ent. REEL: 029326 FRAME: 0642

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value for the Estate and in the best interests of the Estate and its creditors; and the Court
having determined that the notice given of the Motion was sufficient and appropriate under
the circumstances; and the Court having made its findings of fact and conclusions of law as
set forth in its oral ruling at the conclusion of the September 14, 2012 hearing on the Motion.
which findings of fact and conclusions of law are incorporated herein pursuant to Federal
Rule of Civil Procedure 52 and Federal Rules of Bankruptcy Procedures 7052 and 9014; now,
therefore it is hereby ORDERED as follows:

- 1. The sale of the eight (#2) Energy Conservation U.S. Patent 8,033,479; 7,168,627; 8,014,902; 8,020,777; 7,455,237; 7,163,156; 7,156,316 and 7,455,236, and all the foreign applications, abandoned U.S. applications and the pending U.S. applications, as identified on Exhibit A attached hereto (collectively, "Lot 52"), to Nest Labs, Inc. for the purchase price of \$118,000 (the "Purchase Price") is in the best interests of the Estate, and is hereby approved.
- 2. Nest Labs, Inc. is required to submit the Purchase Price, and any other payments required under the Auction Consignment Agreement, no later than three days from the expiration of the 14-day stay provision under Bankruptcy Rule 6004(h).
- 3. The PA is authorized to take any further action necessary for the consummation of the sale, including, without limitation, the transfer and assignment of all rights in Lot 52 to Nest Labs.
- 4. The sale of Lot 52 to Nest Labs is determined to be a sale to a good faith purchaser, free and clear of any interests in Lot 52, pursuant to 11 U.S.C. §§363(b), (f) and (m).

\*\*and in its oral ruling at the conclusion of the September 28, 2012 hearing regarding the Motion,

### End of Order ###

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ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED ASSETS TO NEST LABS, INC. - 2

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LANE POWELL PC 1420 FIFTH AVENUE, SUITE 4100 SEATTLE, WASHINGTON 98101-2338 206.223.7000 FAX: 206.223.7107

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3	Presented by:
4	LANE POWELL PC
5	
6	By: /s/ Tereza Simonyan Bruce W. Leaverton, WSBA No. 1532
7	Mary Jo Heston, WSBA No. 11065 Tereza Simonyan, WSBA No. 4141 Attorneys for Stapleton Group, Inc., the Plan Administrator
8	
9	Lane Powell PC 1420 Fifth Avenue, Suite 4100
10	1420 Fifth Avenue, Suite 4100 Seattle, WA 98101-2338 Phone: 206-223-7000
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	ORDER APPROVING SALE OF LOT 52 OF PATENT-RELATED

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ASSETS TO NEST LABS, INC. - 3

LANE POWELL PC 1420 FIFTH AVENUE, SUITE 4100 SEATTLE, WASHINGTON 98101-2338 206.223.7000 FAX: 206.223.7107

Case 09-10188-TWD Doc 976 Filed 10/02/12 Ent. 1REEL: 029326 FRAME: 0048

# **EXHIBIT A**

# Schedule 1.1 - Lot 52

# List of Assigned IP and Licenses

Patents

WO 2009105119	PCT/US2008/061916	Apparatus for energy-efficient temperature-based management of a pool pump and heater system
US 8033479	11/669,066	
US 2006071087		
US 2007119958		
US2007102149		
AU 2007345735		
CA 2676969		
EP 2062109		Electronically - controlled register vent for zone heating and cooling
WO 2008094166	PCT/US2007/008322	
US 7168627	10/959,362	
11/613,110		
12/426,886		
13/269,155		
US 8014902	12/036,153	Method and apparatus for energy-efficient temperature-based systems
US 2009211986		management
RU 2007114679		Not Listed
AU 2005294681		
CA 2582232		
CN 101048624		
JP 2008516179		
MX 2007003854		
US 8020777	11/668,429	
US 2007095518		System and method for budgeted for zone heating and cooling
US 2008179052		

US 7163156 10/959,361 US 2006071086 US 2008179053 11/669,102 US 7156316 10/959,494 US 7455236 11/613,090	System and method for zone thermostat budgeting  Zone thermostat for zone heating and cooling
US 2006071089 US 2007119957	,

RECORDED: 05/26/2012