## 504914203 05/15/2018

EPAS ID: PAT4960947

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
EMILIE ANNIE CLAUDIE FROMENTIN	05/11/2018
DOUGLAS ANDREW KLAIBER	05/11/2018
DANIEL DAVID SOUZA	05/11/2018

## **RECEIVING PARTY DATA**

Name:	NATUREX-DBS. LLC	
Street Address:	39 PLEASANT STREET	
City:	SAGAMORE	
State/Country:	MASSACHUSETTS	
Postal Code:	02561	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15979265

## **CORRESPONDENCE DATA**

**Fax Number:** (617)526-9899

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (617) 526-9706

Email: shynes@proskauer.com
Correspondent Name: PROSKAUER ROSE LLP

Address Line 1: ONE INTERNATIONAL PLACE

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	NTX-001US2
NAME OF SUBMITTER: ANDREJ BARBIC, PH.D.	
SIGNATURE: /Andrej Barbic/	
DATE SIGNED:	05/15/2018

## **Total Attachments: 6**

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PATENT 504914203 REEL: 045802 FRAME: 0042

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S):

Fromentin et al.

CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned

FILING DATE:

Herewith

TITLE:

Compositions and Methods Useful in Treatment of Lower Urinary Tract

Symptoms, Benign Prostatic Hyperplasia, Erectile Dysfunction

## DECLARATION PURSUANT TO 37 C.F.R. § 1.63 AND ASSIGNMENT

#### DECLARATION 1.

As the below named inventor, I hereby make the following declarations with respect to the aboveidentified patent application:

The above-identified application was made or authorized to be made by me;

I believe that I am the original inventor or an original joint inventor of a claimed invention in the aboveidentified application;

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims;

I acknowledge the duty to disclose to the Patent Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information known by me which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application; and

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

## II. ASSIGNMENT

WHEREAS, I, Emilie Annie Claudie Fromentin, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Naturex-DBS, LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Massachusetts, and having a usual place of business at 39 Pleasant Street, Sagamore, MA 02561, desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me

had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for letters patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all letters patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all letters patent to ASSIGNEE in its own name as assignee of my entire right, title and interest therein.

I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications.

I hereby further agree to provide statements or testimony in any interference or other proceeding in which said invention(s) or any application or patent directed thereto may be involved.

I hereby authorize and request the Director of the United States Patent and Trademark Office to issue such letters patent as shall be granted upon said application, or applications based thereon, to said ASSIGNEE, its successors, assigns, or legal representatives.

### III. SIGNATURE BLOCK

IN TEST	MONY WHEREOF	, I have hereu	nto set my hand	d-and affixed my seal on the date set
forth below.			Inventor:	Emilie Annie Claudie Fromentin
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County of		) ss		
identification, whi	day ofed Emilie Annie Clau ch wereer attached document		, to	te undersigned notary public, through satisfactory evidence of the person whose name is signed
			Notary Pu	blic
			My Comm	ission Expires:
WITNESS				
Witness Signature	: Allan	dearifo		
Witness Name:	Aurelia	· Hourd	EAWX	
Witness Date:	_5/11/18	}.		

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INVENTOR(S):

Fromentin et al.

CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned

FILING DATE:

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Douglas Andrew Klaiber, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Naturex-DBS, LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Massachusetts, and having a usual place of business at 39 Pleasant Street, Sagamore, MA 02561, desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me

DECLARATION PURSUANT TO 37 C.F.R. § 1.63 AND ASSIGNMENT U.S. Application No. Not yet assigned Page 2 of 2

had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for letters patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all letters patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all letters patent to ASSIGNEE in its own name as assignee of my entire right, title and interest therein.

I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications.

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### III. SIGNATURE BLOCK

	ONY WHEREOF, I have h	ereunto set my hand-	and affixed my seal on the date set
forth below.		Inventor:	Douglas Andrew Klaiber
NOTARIZATION			Ø.
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personally appeared identification, which	day of	roved to me through s	undersigned notary public, satisfactory evidence of se the person whose name is signed
************		Notary Publi My Commis	c sion Expires:
WITNESS	3		
Witness Signature:	- SULLINIA	<u>lanu</u>	****
Witness Name:	Jusian Du	DUANG	
Witness Date:	5-11-2		

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Fromentin et al.

CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

#### II. ASSIGNMENT

WHEREAS, I, Daniel David Souza, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Naturex-DBS, LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Massachusetts, and having a usual place of business at 39 Pleasant Street, Sagamore, MA 02561, desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me

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	III.	SIGNATURE BLOCK
IN TESTIM	ONY WHEREOF. I have	ve hereunto set my hand-and affixed in y seal on the date set
forth below.		Inventor:
		Damiel David Souza
NOTARIZATION		
State/Commonweal County of	th of ) ss	
personally appeared which were	Daniel David Souza, pro	, 20before me, the undersigned notary public, oved to me through satisfactory evidence of identification,, to be the person whose name is signed on the nee.
		Notary Public
		My Commission Expires:
WITNESS	A	
Witness Signature:	Justica (Cl	<u>lari</u>
Witness Name:	UJUNIM !	DUANE
Witness Date:	5-11-20	018

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RECORDED: 05/15/2018