

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4962937

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	JUAN F. GRANADA	02/04/2014
	GARY ERZBERGER	01/27/2014
	MICHAEL P. CORCORAN	01/27/2014
	DAN WALLACE	01/24/2014
	MATTEO MONTORFANO	01/27/2014
	ALAIDE CHIEFFO	01/27/2014
	ROBERT LADUCA	02/04/2014
RECEIVING PARTY DATA		
Name:	CEPHEA VALVE TECHNOLOGIES, INC.	
Street Address:	3050 THREE SPRINGS COURT	
City:	SAN JOSE	
State/Country:	CALIFORNIA	
Postal Code:	95140	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15424742
CORRESPONDENCE DATA		
Fax Number:	(650)212-7562	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	650-212-1700	
Email:	kle@shayglenn.com, info@shayglenn.com	
Correspondent Name:	SHAY GLENN LLP	
Address Line 1:	2755 CAMPUS DRIVE	
Address Line 2:	SUITE 210	
Address Line 4:	SAN MATEO, CALIFORNIA 94403	
ATTORNEY DOCKET NUMBER:	12792-700.302	
NAME OF SUBMITTER:	KATHLEEN R. KELLEHER	
SIGNATURE:	/Kathleen R. Kelleher, Reg. No. 62,230/	
DATE SIGNED:	05/15/2018	

PATENT

Total Attachments: 8

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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(1) Juan F. GRANADA (02/04/2014) (2) Gary ERZBERGER (01/27/2014) (3) Michael P. CORCORAN (01/27/2014) (4) Dan WALLACE (01/24/2014) (5) Matteo MONTORFANO (01/27/2014) (6) Alaide CHIEFFO (01/27/2014) (7) Robert LaDUCA (02/04/2014)</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: CEPHEA VALVE TECHNOLOGIES, INC. 3050 Three Springs Court San Jose, CA 95140</p>
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<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p>	<p>Name and address of receiving party(ies):</p> <p>Name: _____ Street Address: _____ City: _____ State: _____ Zip: _____ Country: _____ Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

<p>A. Patent Application No.(s) – 15/424,742</p> <p>Title: SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT</p>	<p>B. Patent No.(s)</p>
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Kathleen R. Kelleher Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403</p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <hr/> <p>7. Total fee (37 CFR 1.21(h)(1)): \$0.00</p> <p><input checked="" type="checkbox"/> None required. Please charge fees to Deposit Account No. 50-4050.</p>
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DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen R. Kelleher, Reg. No. 62,230 /Kathleen R. Kelleher/ _____ May 15, 2018
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: **8**

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 12792-700.201**

This Assignment of Patent Application is between: **Juan F. GRANADA** of Upper Saddle River, NJ; **Gary ERZBERGER** of Minneapolis, MN; **Michael P. CORCORAN** of Woodbury, MN; **Dan WALLACE** of Santa Cruz, CA; **Matteo MONTORFANO** of Milan, Italy; **Alaide CHIEFFO** of Milan, Italy; and **Robert LaDUCA** of Santa Cruz, CA (hereinafter referred to as "Inventor") and **Cephea Valve Technologies, Inc.**, a corporation of the State of Delaware, having a place of business at 3050 Three Springs Court, San Jose, CA 95140 (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT"

for which the Inventors have executed an application for a United States Patent on or about the date of this Assignment.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.


3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 12792-700.201**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

2.4.2014
Date


Juan F. GRANADA

Date

Gary ERZBERGER

Date

Michael P. CORCORAN

Date

Dan WALLACE

Date

Matteo MONTORFANO

Date

Alaide CHIEFFO

Date

Robert LaDUCA

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 12792-700.201**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date1-27-14_____
Date1-27-14_____
Date_____
Date_____
Date_____
Date_____
Date_____
Juan F. GRANADA

Gary ERZBERGER

Michael P. CORCORAN_____
Dan WALLACE_____
Matteo MONTORFANO_____
Alaide CHIEFFO_____
Robert LaDUCA

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Date_____
Juan F. GRANADA_____
Date_____
Gary ERZBERGER_____
Date_____
Michael P. CORCORAN_____
Date

1/24/14

Dan WALLACE_____
Date_____
Matteo MONTORFANO_____
Date_____
Alaide CHIEFFO_____
Date_____
Robert LaDUCA

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Date_____
Juan F. GRANADA_____
Date_____
Gary ERZBERGER_____
Date_____
Michael P. CORCORAN_____
Date_____
Dan WALLACE27-01-2014_____
Date_____
Matteo MONTORFANO_____
Date_____
Alaide CHIEFFO_____
Date_____
Robert LaDUCA

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Date

Juan F. GRANADA

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Michael P. CORCORAN

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Dan WALLACE

Date

Matteo MONTORFANO

Date

27.01.2014

Alaide CHIEFFO

Date

Robert LaDUCIA

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Date

Alaide CHIEFFO

Date

2/4/14

Robert LaDUCA