

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4966712

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
HELMUT BUCHBERGER	06/30/2014
COLIN DICKENS	08/14/2014
RORY FRASER	08/14/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NICOVENTURES HOLDINGS LIMITED
<b>Street Address:</b>	GLOBE HOUSE
<b>Internal Address:</b>	1 WATER STREET
<b>City:</b>	LONDON
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	WC2R 3LA
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15506594
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(612)349-9266
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	612.349.5740
<b>Email:</b>	RABE@PTSLAW.COM
<b>Correspondent Name:</b>	AMY M. SALMELA
<b>Address Line 1:</b>	80 S 8TH STREET
<b>Address Line 2:</b>	4800 IDS CENTER
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	4839.73WOUS01
<b>NAME OF SUBMITTER:</b>	AUBRE RABE
<b>SIGNATURE:</b>	/AUBRE RABE/
<b>DATE SIGNED:</b>	05/17/2018
<b>Total Attachments: 8</b>	
source=4839.73WOUS01_Assignment_ColinDickens#page1.tif	
source=4839.73WOUS01_Assignment_ColinDickens#page2.tif	

source=4839\_73WOUS01\_Assignment\_HelmutBuchberger#page1.tif  
source=4839\_73WOUS01\_Assignment\_HelmutBuchberger#page2.tif  
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source=4839\_73WOUS01\_Assignment\_HelmutBuchberger#page4.tif  
source=4839\_73WOUS01\_Assignment\_RoryFraser#page1.tif  
source=4839\_73WOUS01\_Assignment\_RoryFraser#page2.tif

**THIS ASSIGNMENT** effective 14<sup>TH</sup> AUGUST 2014 is made **BETWEEN**

**COLIN JOHN DICKENS**, of c/o **BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED** of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Inventor")

and

**BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED** of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Employer")

(the Inventor and Employer being jointly referred to as "the Assignors")

and

**NICOVENTURES HOLDINGS LIMITED** of 22 Tudor Street, London EC4Y 0AY, United Kingdom (hereinafter "the Assignee")

(the Assignors and the Assignee being jointly referred to as "the Parties")

**WHEREAS:**

(A) The Inventor was retained by the Employer as an employee under a contract of employment;

(B) The Inventor has confirmed that, while employed by the Employer, they created an invention entitled "ELECTRONIC AEROSOL PROVISION SYSTEM", identified by Assignee case number P13114092, as described in the specification attached hereto and hereinafter referred to as 'the Invention';

(C) The Assignee intends to file a patent application utilising subject matter of the Invention, the filing details of which may be added in a Schedule to this assignment subsequent to execution of this assignment for convenience of reference; and

(D) The Assignors have agreed to assign unto the Assignee, in all territories of the world, any and all of each of the Assignors' rights, title and interest in the Invention, including the right to apply for and obtain patents, or other forms of protection throughout the world, the right to claim priority of any such applications, including any further developments and improvements in respect thereof.

**NOW THIS ASSIGNMENT WITNESSES:**

In consideration of the sum of one pound sterling (£1.00) and other good and valuable consideration paid by the Assignee to the Assignors (the receipt of which is hereby acknowledged) the Assignors hereby assign to the Assignee, in all territories of the world, any and all of each of the Assignors' right, title and interest in the Invention, and any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to claim priority of any such applications and including the right to recover


and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Assignee absolutely.

The Assignors undertake to execute documents and perform other acts reasonably required to enable the Assignee to enjoy the full benefit of the property and rights and to apply for and effectively vest in patents and other rights in respect of the assigned rights throughout the world.

This Assignment shall be governed by and construed in accordance with English law and each party agrees to submit to the exclusive jurisdiction of the courts of England and Wales.

**IN WITNESS** of which the Parties have executed this Assignment

EXECUTED by  
**COLIN JOHN DICKENS**

Signature: 


Date: 14 Aug 2014

In the presence of a witness:

Signature: 

Name: MARTIN MILLER

EXECUTED for and on behalf of  
**BRITISH AMERICAN TOBACCO  
(INVESTMENTS) LIMITED**

Signature: 

Name: JAMES CUNNINGTON

Capacity: ASSISTANT COMPANY SECRETARY


Date: 22 AUGUST 2014

In the presence of a witness:

Signature: 

Name: William Cooper

EXECUTED for and on behalf of  
**NICOVENTURES HOLDINGS  
LIMITED**

Signature: 

Name: JAMES CUNNINGTON

Capacity: AUTHORISED ATTORNEY

Date: 22 AUGUST 2014

In the presence of a witness:

Signature: 

Name: William Cooper

**THIS ASSIGNMENT** effective 24 June 2014 is made **BETWEEN**

**HELMUT BUCHBERGER**, of Kieferweg 10, A-4482 Ennsdorf, Austria; (hereinafter "the Assignor")

and

**BATMARK LIMITED** of Globe House, 4 Temple Place, London WC2R 2PG, United Kingdom (a company incorporated in England with registered number 2967280) hereinafter "the Assignee")

(the Assignor and the Assignee being jointly referred to as "the Parties")

**WHEREAS:**

(A) The Assignor created an invention entitled "ELECTRONIC AEROSOL PROVISION SYSTEM", identified by Assignee case number P13114092, as described in the specification attached hereto and hereinafter referred to as 'the Invention';

(B) The Assignee intends to file a patent application utilising subject matter of the Invention, the filing details of which may be added in a Schedule to this assignment subsequent to execution of this assignment for convenience of reference; and

(C) The Assignor has agreed to assign unto the Assignee, in all territories of the world, any and all of each of the Assignor's rights, title and interest in the Invention, including the right to apply for and obtain patents, or other forms of protection throughout the world, the right to claim priority of any such applications, including any further developments and improvements in respect thereof.

**NOW THIS ASSIGNMENT WITNESSES:**

In consideration of the sum of one pound sterling (£1.00) and other good and valuable consideration paid by the Assignee to the Assignor (the receipt of which is hereby acknowledged) the Assignor hereby assigns to the Assignee, in all territories of the world, any and all of each of the Assignor's right, title and interest in the Invention, and any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to claim priority of any such applications and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Assignee absolutely.

The Assignor undertakes to execute documents and perform other acts reasonably required to enable the Assignee to enjoy the full benefit of the property and rights

and to apply for and effectively vest in patents and other rights in respect of the assigned rights throughout the world.

This Assignment shall be governed by and construed in accordance with English law and each party agrees to submit to the exclusive jurisdiction of the courts of England and Wales.

**IN WITNESS** of which the Parties have executed this Assignment

EXECUTED by  
**HELMUT BUCHBERGER**

Signature: \_\_\_\_\_



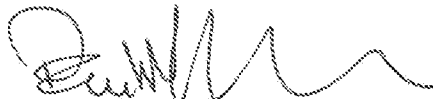
Date: \_\_\_\_\_

30.06.2014

Signature authenticated by notary public.

EXECUTED for and on behalf of  
**BATMARK LIMITED**

Signature: \_\_\_\_\_



Name: \_\_\_\_\_

Paul McClellan

Capacity: \_\_\_\_\_

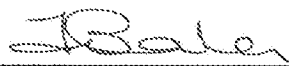
Director

Date: \_\_\_\_\_

15-7-14

In the presence of a witness:

Signature: \_\_\_\_\_



Name: \_\_\_\_\_

JEAN BAKER

Gebühr in Höhe von € 14,30 entrichtet  
Dr. Herbert Gradl, öffentlicher Notar, 4320 Perg

Beurkundungsregisterzahl 960/2014:

Die Echtheit der Unterschrift des Herrn **Doktor Helmut Buchberger**,  
geboren am 29.09.1968 (neunundzwanzigsten September neunzehnhundert-  
achtundsechzig), Kieferweg 10, A-4482 Ennsdorf, wird bestätigt,-----  
Perg, am 30.06.2014 (dreißigsten Juni zweitausendvierzehn).-----

Fee of € 14,30 paid  
Dr. Herbert Gradl, notary public, 4320 Perg

Certification register number 960/2014:-----

The authenticity of the signature of Mr Doctor Helmut Buchberger, born on  
29.09.1968 (September twentyninth, nineteen hundreded sixtyeight) Kieferweg  
10, A-4482 Ennsdorf, is confirmed.-----  
Perg, on the 30.06.2014 (thirtieth June two thousand and fourteen).-----



*Klausberger*  
**Dr. Melanie Klausberger**  
als Substitutin des öffentlichen Notars  
**Dr. Herbert Gradl**  
in Perg





**THIS ASSIGNMENT** effective 16<sup>th</sup> August 2014 is made **BETWEEN**

**RORY FRASER**, of c/o **BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED** of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Inventor")

and

**BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED** of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Employer")

(the Inventor and Employer being jointly referred to as "the Assignors")

and

**NICOVENTURES HOLDINGS LIMITED** of 22 Tudor Street, London EC4Y 0AY, United Kingdom (hereinafter "the Assignee")

(the Assignors and the Assignee being jointly referred to as "the Parties")

**WHEREAS:**

(A) The Inventor was retained by the Employer as an employee under a contract of employment;

(B) The Inventor has confirmed that, while employed by the Employer, they created an invention entitled "ELECTRONIC AEROSOL PROVISION SYSTEM", identified by Assignee case number P13114092, as described in the specification attached hereto and hereinafter referred to as 'the Invention';

(C) The Assignee intends to file a patent application utilising subject matter of the Invention, the filing details of which may be added in a Schedule to this assignment subsequent to execution of this assignment for convenience of reference; and

(D) The Assignors have agreed to assign unto the Assignee, in all territories of the world, any and all of each of the Assignors' rights, title and interest in the Invention, including the right to apply for and obtain patents, or other forms of protection throughout the world, the right to claim priority of any such applications, including any further developments and improvements in respect thereof.

**NOW THIS ASSIGNMENT WITNESSES:**

In consideration of the sum of one pound sterling (£1.00) and other good and valuable consideration paid by the Assignee to the Assignors (the receipt of which is hereby acknowledged) the Assignors hereby assign to the Assignee, in all territories of the world, any and all of each of the Assignors' right, title and interest in the Invention, and any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to claim priority of any such applications and including the right to recover

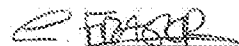
and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Assignee absolutely.

The Assignors undertake to execute documents and perform other acts reasonably required to enable the Assignee to enjoy the full benefit of the property and rights and to apply for and effectively vest in patents and other rights in respect of the assigned rights throughout the world.

This Assignment shall be governed by and construed in accordance with English law and each party agrees to submit to the exclusive jurisdiction of the courts of England and Wales.

IN WITNESS of which the Parties have executed this Assignment

EXECUTED by  
RORY FRASER

Signature: 

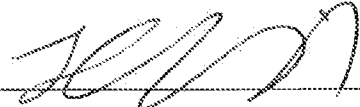
Date: 14/08/2014

In the presence of a witness:

Signature: 

Name: MARTIN MILLER

EXECUTED for and on behalf of  
BRITISH AMERICAN TOBACCO  
(INVESTMENTS) LIMITED

Signature: 

Name: JAMES CUNNINGTON

Capacity: ASSISTANT COMPANY SECRETARY

Date: 22 AUGUST 2014

In the presence of a witness:

Signature: 

Name: William Cooper

EXECUTED for and on behalf of  
NICOVENTURES HOLDINGS  
LIMITED

Signature: 

Name: JAMES CUNNINGTON

Capacity: AUTHORISED ATTORNEY

Date: 22 AUGUST 2014

In the presence of a witness:

Signature: 

Name: William Cooper