# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name               | Execution Date |
|--------------------|----------------|
| KARL A. DEISSEROTH | 08/16/2017     |
| CHARU RAMAKRISHNAN | 09/05/2017     |
| KELLY ZALOCUSKY    | 08/14/2017     |

## **RECEIVING PARTY DATA**

| Name:             | THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY |
|-------------------|--|
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| City:             | STANFORD   |
| State/Country:    | CALIFORNIA   |
| Postal Code:      | 94305-2038   |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15531965 |

### CORRESPONDENCE DATA

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| ATTORNEY DOCKET NUMBER:                       | STAN-1158       |
|---|-----------------|
| NAME OF SUBMITTER:                            | PAULA A. BORDEN |
| SIGNATURE: /Paula A. Borden, Reg. No. 42,344/ |                 |
| DATE SIGNED:                                  | 05/17/2018      |

**Total Attachments: 4** 

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PATENT 504920020 REEL: 045836 FRAME: 0944

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PATENT REEL: 045836 FRAME: 0945

Atty Docket No.: STAN-1158 Client Ref. No.: S14-310

## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Karl A. Deisseroth

2. Charu Ramakrishnan

3. Kelly Zalocusky

hereby sells, assigns and transfers to ASSIGNEE, THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY, a non-profit organization duly organized under and pursuant to the laws of California, having its statewide administrative offices located at the OFFICE OF THE GENERAL COUNSEL, BUILDING 170, 3<sup>RD</sup> FLOOR, MAIN QUAD, P.O. BOX 20386, STANFORD, CA 94305-2038, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

### "DOPAMINE RECEPTOR TYPE 2 SPECIFIC PROMOTER AND METHODS OF USE THEREOF"

| and which is found in |   |                             |                   |          |                  |
|-----------------------|---|-----------------------------|-------------------|----------|------------------|
| (a)                   |   | U.S. Application Serial No. |                   | filed on |                  |
| (b)                   | X | PCT Patent Application No.  | PCT/US2015/063804 | filed on | December 3, 2015 |

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

<u>ASSIGNOR(S)</u> hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

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| Executed this        |                         | Signature of Inventor(s) |         |
|----------------------|-------------------------|--------------------------|---------|
|                      | 20 /7                   | K2                       |         |
|                      |                         | Kurl A. Deisseroth       |         |
| day of               | .20                     |                          |         |
|                      |                         | Charu Ramakrishnan       |         |
| day of               | , 20                    |                          |         |
|                      |                         | Kelly Zalocusky          |         |
|                      |                         |                          |         |
| Hereby accepted on I | behalf of the assignee: |                          |         |
| /Paula A. Borden/    | Patent Agent            | May 10                   | 5, 2018 |
| Vame                 | Title                   | Date                     |         |

|                   |              | Kally Zalacusky |              |
|-------------------|--------------|-----------------|--------------|
|                   |              |                 |              |
| /Paula A. Borden/ | Patent Agent |                 | May 16, 2018 |

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| Executed this          |                      | Signature of Inventor(s) |
|------------------------|----------------------|--------------------------|
| day of                 | _, 20                | Karl A. Deisseroth       |
| day of                 | _, 20                |                          |
| 14th day of August     | _, 20 <u>17</u>      | Charu Ramakrishnan       |
| Hereby accepted on beh | alf of the assignee: |                          |
| /Paula A. Borden/      | Patent Agent         | May 16, 2018             |
| Name                   | Title                | Date                     |

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**RECORDED: 05/17/2018**