504930414 05/24/2018

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4977160

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
KUNWAR SHAILUBHAI	04/20/2018
STEPHEN COMISKEY	04/18/2018
RONG FENG	05/05/2018

RECEIVING PARTY DATA

Name:	SYNERGY PHARMACEUTICALS, INC.	
Street Address:	420 LEXINGTON AVENUE	
Internal Address:	SUITE 2012	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10170	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14896019

CORRESPONDENCE DATA

Fax Number: (202)842-7899

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-842-7800

Email: cphillipnouchet@cooley.com, zpatdcdocketing@cooley.com

Correspondent Name: COOLEY LLP

Address Line 1: 1299 PENNSYLVANIA AVENUE NW, SUITE 700

Address Line 4: WASHINGTON, D.C. 20004

ATTORNEY DOCKET NUMBER: SYPA-018N01US 321994-2246	
NAME OF SUBMITTER:	ANNE E. FLECKENSTEIN
SIGNATURE:	/Anne E Fleckenstein/
DATE SIGNED:	05/24/2018

Total Attachments: 7

source=SYPA_018_N01US_INVENTORStoSYNERGY#page1.tif source=SYPA 018 N01US INVENTORStoSYNERGY#page2.tif source=SYPA_018_N01US_INVENTORStoSYNERGY#page3.tif

source=SYPA_018_N01US_INVENTORStoSYNERGY#page4.tif source=SYPA_018_N01US_INVENTORStoSYNERGY#page5.tif source=SYPA_018_N01US_INVENTORStoSYNERGY#page6.tif source=SYPA_018_N01US_INVENTORStoSYNERGY#page7.tif

ASSIGNMENT

Kunwar SHAILUBHAI, residing at 2707 Bald Eagle Circle, Audubon, PA 1940; Stephen COMISKEY, residing at 105 Steeplechase Drive, Doylestown, PA 18902; and Rong FENG, residing at 74 Pine Glen Road, Langhorne, PA 19047 (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled <u>ULTRA-PURE AGONISTS OF GUANYLATE CYCLASE C, METHOD OF MAKING AND USING SAME</u>, and which is a:

(1)	provisional application
	 (a) to be filed herewith; or (b) bearing Application No. , and filed on ;
(2)	non-provisional application
	(a) to be filed herewith; or
	(b) bearing Application No. 14/896,019, and filed or
	<u>December 4, 2015</u> ; and/or
(3)	PCT application
	(a) bearing Application No., and filed on.

WHEREAS, Synergy Pharmaceuticals, Inc., a corporation having its principal place of business at 420 Lexington Avenue, Suite 2012, New York, NY 10170 its successors, legal representatives and assigns, (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified above; the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of these application(s); and any and all patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application(s) for patent identified above;

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- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified above or any application(s) for patent claiming the Invention(s), including any substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of any application for patent identified in the preceding paragraphs (b)-(e) and of any and all patent(s) granted based thereon in the United States and in all other countries; and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including

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interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified above when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

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Kunwar SHAILUBHAI
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.
State of Mew York County of Mew York State of Mew
County of Mech yoru
On $\frac{4/76/70/8}{}$, before me, $\frac{8aira}{}$ Soscello, Notary Public, personally appeared $\frac{8aira}{}$ Shqilubhai,
Notary Public, personally appeared Kunwar Shailubhai,
who proved to me on the basis of satisfactory evidence, to be the person(s) whose
name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.
REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under
PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.
WITNESS my hand and official seal.
Dana Sundo
Signature of Notary Public Place Notary Seal Above
My Commission Expires: 3/31/2022

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Date: 4/20/20/8

SAIRA SUSCELLO Notary Public, State of New York No. 01SU6300391 Qualified in Nassau County My Commission Expires March 31, 2022

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Date: April 18, 2018 By: 5. Com
Stephen COMI\$KEY
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.
Λ
State of PLANSY VANIA County of Chesta) ss.
County of Messlar
On 04 18 2018, before me, HIRICIA HETHERINGTON,
On 04 18 2018, before me, ATRICIA HETHERINGTON, Notary Public, personally appeared Stephen Comiskey,
who proved to me on the basis of satisfactory evidence, to be the person(s) whose
name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.
REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under
PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.
WITNESS my hand and official seal.
Patricia Hetherington
Signature of Notary Public Place Notary Seal Above
My Commission Expires: $10 00000000000000000000000000000000000$

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
Patricia Hetherington, Notary Public
Tredyffrin Twp., Chester County
My Commission Expires Oct. 20, 2019

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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Date: 05/05/2018	
Date: 03/03/2018	By:
	Rong FENG
	his certificate verifies only the identity of the
individual who signed the document to which truthfulness, accuracy, or validity of that document	· · · · · · · · · · · · · · · · · · ·
trainfulness, accuracy, or variety or that doc	amont.
State of Providence	
State of Jennsylvacia) ss.	
State of fennylvacia County of buels State of fennylvacia Sss.	
On May 5, 2018, before Notary Public, personally appeared K	me, Jeannet M. Franks,
Notary Public, personally appeared	ang teng,
	actory evidence, to be the person(s) whose
name(s) is/are subscribed to the within	instrument and acknowledged to me that
he/she/they executed the same in his/her/	their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument	the person(s), or the entity upon behalf of
which the person(s) acted, executed the instr	ument.
REQUIRED SENTENCE IF NOTARIZ	ED IN CALIFORNIA: I certify under
PENALTY OF PERJURY under the laws	of the State of California that the foregoing
paragraph is true and correct.	COMMONWEALTH OF PENNSYLVANIA
WITNESS my hand and official seal.	NOTARIAL SEAL JEANNET M FRANKS NOTARY Public MIDDLETOWN TWP, BUCKS COUNTY My Commission Expires Dec 28, 2020
Deans M. Carks	
Signature of Notary Public	Place Notary Seal Above
, ,	
My Commission Expires: 12/28/2020	

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Date: 4/17/2018	Signature:	All
	Name:	GARY GENTLENANI
	Title:	Cheir Financial Officer
	Company:	Synergy Pharmaceuticals, Inc.
A notary public or other officer compindividual who signed the document truthfulness, accuracy, or validity of	to which thi	The state of the s
	<u></u>	
State of New York		
State of New York County of New York State of New York	S.	
County of Mewyork	1	
On $\frac{4/17/7018}{}$	before m	e, Saira Suscello,
Notary Public, personally appeared	ed <u>back</u>	Gemignani.
	/	ry evidence, to be the person(s) whose
name(s) is/are subscribed to the	within instr	rument and acknowledged to me that
he/she/they executed the same in	his/her/their	r authorized capacity(ies), and that by
his/her/their signature(s) on the inst	rument the	person(s), or the entity upon behalf of
which the person(s) acted, executed t	he instrume	nt.
REQUIRED SENTENCE IF NO	TARIZED	IN CALIFORNIA: I certify under
PENALTY OF PERJURY under the	e laws of th	ne State of California that the foregoing
paragraph is true and correct.		
WITNESS my hand and official seal.		SAIRA SUSCELLO Notary Public, State of New York No. 01SU6300391 Qualified in Nassau County My Commission Expires March 31, 2022
Xaira Micho		_
Signature of Notary Public		Place Notary Seal Above
	,	
My Commission Expires: March	31,202	<u>Z</u>

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For and on behalf of ASSIGNEE: