

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4994009

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID H. THOMPSON	06/18/2014
ADITYA KULKARNI	06/19/2014
CHRISTOPHER COLLINS	06/18/2014
YAWO MONDJINOU	07/01/2014
RECEIVING PARTY DATA	
Name:	PURDUE RESEARCH FOUNDATION
Street Address:	1801 NEWMAN ROAD
City:	WEST LAFAYETTE
State/Country:	INDIANA
Postal Code:	47906
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15978872
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	request@slwip.com
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER P.A.
Address Line 1:	P.O. BOX 2938
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402
ATTORNEY DOCKET NUMBER:	1165.052US2
NAME OF SUBMITTER:	ROBIN L. MONSEES
SIGNATURE:	/ ROBIN L. MONSEES /
DATE SIGNED:	06/06/2018
Total Attachments: 9	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1165.052US2

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David H. Thompson, Aditya Kulkarni, Christopher
Collins, Yawo Mondjinou

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2. Name and address of receiving party(ies):

Name: Purdue Research Foundation

Street Address: 1801 Newman Road

City: West Lafayette State: IN Zip: 47906

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes
☒ No

Execution Date: June 18, 2014, June 19, 2014, June 18,
2014, July 1, 2014

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 15/978,872

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Ricardo J. Moran

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over
payments to our Deposit Account No.: 19-0743

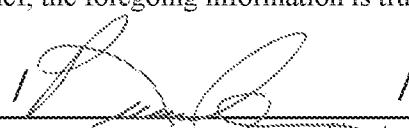
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.

Ricardo J. Moran/Reg. No. 48,735

Name of Person Signing



Signature

5 June 2018

Date

Total number of pages including cover sheet: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 046003 FRAME: 0755

ASSIGNMENT

WHEREAS, WE, DAVID H. THOMPSON, citizen of United States; **ADITYA KULKARNI**, citizen of India; **YAWO MONDJINO**, citizen of United States; **CHRISTOPHER COLLINS**, citizen of United States; (hereinafter "Assignors"), have made or developed a technology identified in a disclosure to Purdue Research Foundation (PRF) and assigned PRF reference number 2013-THOM-66383, generally known as:

"POLYMERIC CYCLODEXTRIN CARRIERS AS NPC THERAPEUTICS ,"

which is further described in a patent application filed in the United States Patent and Trademark Office on May 7, 2014, and accorded Application No. PCT/US2014/037134 ("Technology"); and

WHEREAS, Purdue Research Foundation, a statutory body corporate formed and existing under the Indiana Foundation or Holding Companies Act of 1921, having a place of business at *1281 Win Hentschel Blvd, West Lafayette, IN 47906* (hereinafter "Assignee"), is desirous of accepting and assuming all such right, title, and interests of the Assignor.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor(s) do hereby sell, transfer, convey, assign and deliver unto the Assignee, its successors, assigns and legal representatives, any and all intellectual property rights, whether domestic or foreign related to the Technology, including but not limited to: all portions, and works in progress with respect thereto and all inventions, works of authorship, masks works, technology, information, know-how, materials and tools relating thereto or to the development, support or maintenance thereof; all copyright, patent rights, trade secret rights, trademark rights, mask works rights, and all other intellectual property rights and all business, contract rights and goodwill in, incorporated or embodied in, used to develop, or related to any of the foregoing and any registrations and applications for registrations of the foregoing throughout the United States of America and the world and any and all other applications arising therefrom, including any and all divisional applications, continuation applications, and

continuation-in-part applications (but only and solely to the extent such continuation-in-part applications are described in the priority application to which such continuation-in-part applications claim priority and such priority is not denied), of the Technology, and any and all patents to be issued and obtained therefore and thereon, in the United States and throughout the world, including all reissued Patents, and extensions thereof (collectively, the "Intellectual Property");

AND WE HEREBY agree to communicate to Assignee or its representative any facts known to us respecting the Technology whether or not patentable, which we may conceive, develop, make, produce or reduce to practice in whole or in part as a result of any employment, research, or activity involving or relating to the use of Purdue University resources; and agree to sign all lawful documents and make all rightful oaths and declarations relating to said Technology and Intellectual Property; authorize Assignee to insert herein the date of application, serial number, date of registration, and registration number of patents issued once known; and agree to otherwise aid ASSIGNEE as necessary and desirable to:

1. Obtain, enforce, and maintain any issued letters patent in the United States or throughout the world, when reasonably requested to do so by Assignee, including but not limited to executing, acknowledging, and delivering to Assignee such written documents and instruments as Assignee requests and giving testimony in support of Assignee's inventorship, as may be necessary in Assignee's opinion to obtain and maintain patents in the United States of America and throughout the world;

2. Secure or aid in securing and maintaining copyright protection in the Intellectual Property and assist Assignee or its nominees in filing applications to register copyright in the name of Assignee as owner in such Intellectual Property; and

3. Execute, acknowledge, and deliver to Assignee at its expense, such written documents and instruments and do such other acts as may be necessary in the opinion of Assignee, to vest the entire rights, title, and interests in and to the Intellectual Property to Assignee and to confirm the complete ownership thereof by Assignee.

AND WE HEREBY authorize ASSIGNEE to take any and all action necessary to effectuate this Assignment, including but not limited to, the filing of the Assignment with the U.S. Patent and Trademark Office and U.S. Copyright Office.

AND WE HEREBY authorize and request the Director of the U.S. Patent and Trademark Office or any other proper officer or agency throughout the world to issue any and all said letters patent to Assignee.

AND WE HEREBY warrant and covenant that we have full rights to convey the entire right, title and interest herein assigned and that *we* have not executed and will not execute any instrument or assignment in conflict herewith;

This Assignment shall be binding upon Assignor(s) and their heirs, successors, and assigns. Should any term of this Assignment be found invalid or unenforceable, it shall not affect the validity or enforceability of any other term of this Assignment. The laws of the State of Indiana will govern the interpretation, validity, and effect of this Assignment, without regard to the place of making or to the place of performance.

This Assignment is hereby made effective as of the conception date of the Technology.

[SIGNATURES ON NEXT PAGE]

IN WITNESS WHEREOF, *We* have hereunto set our hands and seals.

ASSIGNOR:

6/18/14
Date

David H. Thompson
DAVID H. THOMPSON

County of Typpccaroc
State of INDIANA

ss:

On this 18th day of June, 2014, before me a Notary Public in and for the County and State aforesaid, personally appeared DAVID H. THOMPSON, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of *his/her* free act and deed.

Donna D. Wilkinson
Notary Public

(SEAL)

My commission Expires

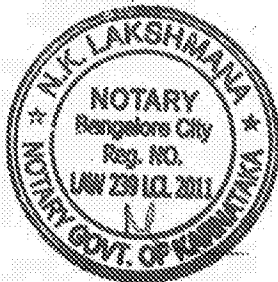
DONNA D. WILKINSON
NOTARY PUBLIC, STATE OF INDIANA
MY COMMISSION EXPIRES FEBRUARY 25, 2015

06/19/14
DateADITYA KULKARNI
ADITYA KULKARNICounty of BANGALOREState of KARNATAKA, INDIA)

ss:

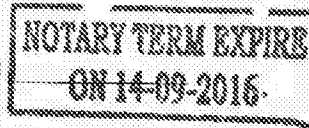
On this 19th day of JUNE, 2014, before me a Notary Public in and for the County and State aforesaid, personally appeared ADITYA KULKARNI, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of [his/her] free act and deed.

(SEAL)



Notary Public

My commission Expires



ATTESTED BY ME

N.K. Lakshmana
N.K. LAKSHMANA, B.A., LL.B.,
ADVOCATE & NOTARY
No. 111, 1st Stage, 1st Main
KHB Colony, Basaveshwara Nagar
BANGALORE - 560 079.

19 JUN 2014



07/01/2014
Date

[Signature]
YAWO MONDJINO

County of Tippecanoe
State of Indiana }

SS:

On this 1st day of July, 2014, before me a Notary Public in and for the County and State aforesaid, personally appeared YAWO MONDJINO, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of [his/her] free act and deed.

Jennifer J. Martin
Notary Public

(SEAL)

My commission Expires 2/15/2017



6-18-2014
Date

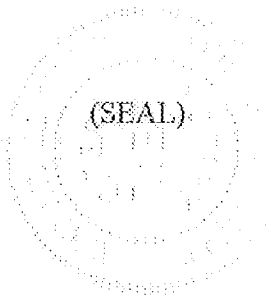
Christopher Collins
CHRISTOPHER COLLINS

County of White

State of Indiana

SS:

On this 18 day of June, 2014, before me a Notary Public in and for the County and State aforesaid, personally appeared CHRISTOPHER COLLINS, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of his/her free act and deed.



Bamberh K. Miller
Notary Public

My commission Expires May 26, 2020

ACCEPTED BY ASSIGNEE:

July 9, 2014
Date

By: 

Printed: Hamid R. Piroozi

Title: Director - Legal

Office of Technology Commercialization

Purdue Research Foundation