

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4931918

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ETH ZURICH	08/03/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PHILOCHEM AG
<b>Street Address:</b>	LIBERNSTRASSE 3
<b>City:</b>	OTELFINGEN
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	8112
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15608689
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(617)428-7045
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(617) 428-0200
<b>Email:</b>	patentadministrator@clarkelbing.com
<b>Correspondent Name:</b>	CLARK & ELBING LLP
<b>Address Line 1:</b>	101 FEDERAL STREET
<b>Address Line 2:</b>	15TH FLOOR
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02110
<b>ATTORNEY DOCKET NUMBER:</b>	50743-007001
<b>NAME OF SUBMITTER:</b>	TODD ARMSTRONG, PH.D.
<b>SIGNATURE:</b>	/Todd Armstrong, Ph.D./
<b>DATE SIGNED:</b>	04/25/2018
<b>Total Attachments: 3</b>	
source=Executed Assignment (Neri Bootz to ETH to Philochem)#page1.tif	
source=Executed Assignment (Neri Bootz to ETH to Philochem)#page2.tif	
source=Executed Assignment (Neri Bootz to ETH to Philochem)#page3.tif	

**ASSIGNMENT / CONFIRMATORY ASSIGNMENT OF INVENTION & RELATED  
INTELLECTUAL PROPERTY RIGHTS**

**PARTIES**

- (1) **Franziska Bootz** of Birmensdorferstrasse 397, CH-8055 Zürich, **Dario Neri** of Ziehlstrasse 35, CH-8107 Buchs, Switzerland (the **Inventors**);
- (2) **ETH-ZÜRICH** existing under the laws of Switzerland of HG, Rämistrasse 101, 8092 Zürich, Switzerland (the **Employer**); and
- (3) **PHILOCHEM AG** incorporated and registered in Switzerland of Libernstrasse 3, 8112 Otelfingen, Switzerland (the **Assignee**).

**BACKGROUND**

- (A) Each Inventor is an inventor of the Invention for which the Patents Applications have been or will be filed. The contributions of the Inventors to the Invention were made, in the course of the duties of each Inventor as an employee of the Employer.
- (B) The Inventors and the Employer believe that, either by operation of law or by virtue of an agreement entered into by each of the Inventors and the Employer before the making of the Invention, the Employer was, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventors to the Invention.
- (C) The Employer has assigned and/or confirms to have assigned all its rights, title and interest in, to and arising from the Invention and the Patent Applications to the Assignee.

**ASSIGNMENT / CONFIRMATION OF ASSIGNMENT**

In consideration of the sum of £1 (sufficiency and receipt of which the Inventors expressly acknowledge), each of the Inventors and the Employer hereby assign and/or confirm assignment to the Assignee of all their right, title and interest in Canada, the United States and all other countries of the world in, to and arising from the Invention and, with effect from immediately prior to the filing of each, the Patent Applications including (but not limited to):

- (a) in respect of the Invention and any invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) in respect of each and any Patent Application: (i) the right to claim priority from and to prosecute and obtain grant of patents; and (ii) the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications.
- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

Schedule: The Patent Applications

Part 1: Priority Applications

Country	Application No.	Application Date	Title
UK	1512486.0	16 July 2015	IL22 IMMUNOCONJUGATES
UK	1517649.8	6 October 2015	IL22 IMMUNOCONJUGATES
UK	1521470.3	4 December 2015	IL22 IMMUNOCONJUGATES

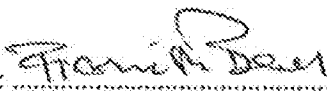
Part 2: Completion Application(s)

Country	Application No.	Application Date	Title
All PCT countries	PCT/EP2016/066979	15 July 2016	IL22 IMMUNOCONJUGATES

SIGNED by

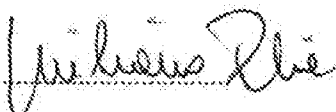
Franziska Bootz

on: August 2<sup>nd</sup> 2016

Signature: 

in the presence of:

Witness

Signature: 

Name: GIULIANO ELIA

Address: ROOSSTR. 76  
8105 REGENSDORF  
SWITZERLAND

SIGNED by

Dario Neri

on: July 29th 2016

Signature: 

in the presence of:

Witness

Signature: 

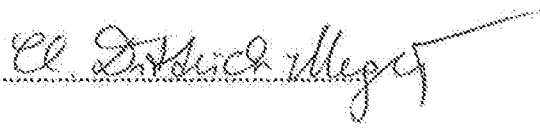
Name: Giovanni Neri

Address: La Lizza 7, 53100 Siena (Italy)

SIGNED for and on behalf of

**ETH-Zürich**

on: August 3, 2016

Signature: 

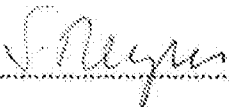
Name:

Position:

Dr. Christina Dittlich-Meigel  
Technology Manager ETH Zürich

in the presence of:

Witness

Signature: 

Name:

Dr. Silke Meyas  
Technology Manager ETH Zurich

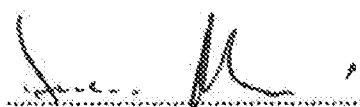
Address:

Raemistr. 101  
CH-8092 ZÜRICH

SIGNED for and on behalf of

**Philochem AG**

on: July 29th 2016


Signature: 

Name: Duccio Neri

Position: President

in the presence of:

Witness

Signature: 

Name: Giovanni Neri

Address: La Lizza 7, 53100 Siena (Italy)